

CSCI 241

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AVL Trees: Definition, Insertion

Goals

Know the definition and properties of an **AVL tree**.

Know how AVL insertion **rebalances** the tree to correct violations of the AVL property.

AVL Trees

An *AVL tree* is a Binary Search Tree in which:

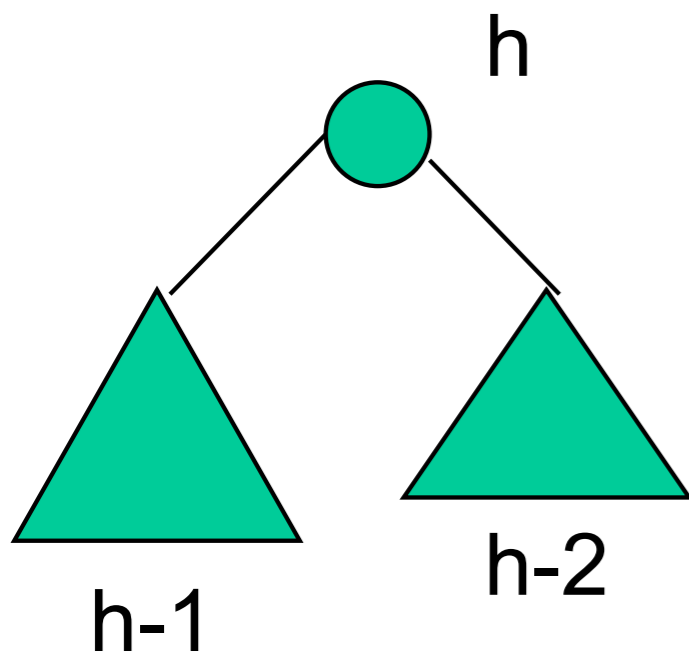
$$-1 \leq \text{balance}(n) \leq 1 \text{ for all nodes } n.$$

$\text{Balance}(n)$: $\text{height}(n.\text{right}) - \text{height}(n.\text{left})$

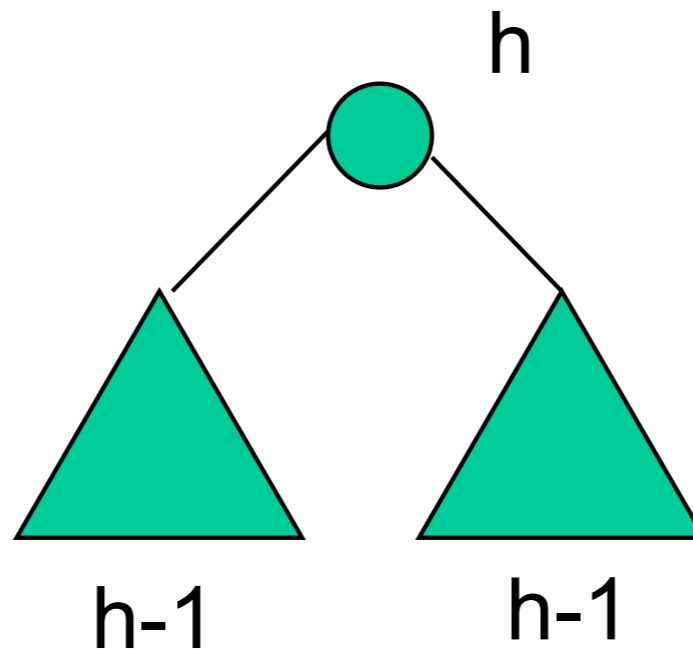
Balance Factor in AVL Trees

AVL property: $-1 \leq \text{balance}(n) \leq 1$ for all nodes n .

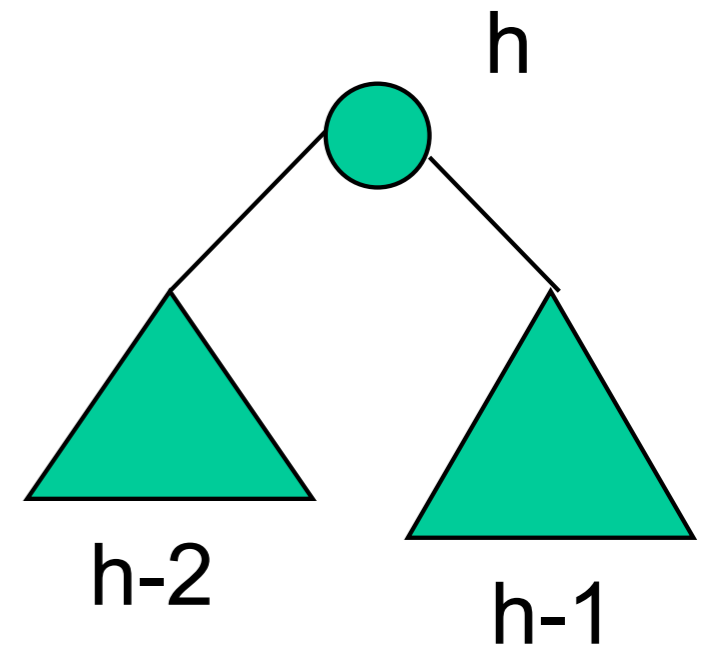
Every subtree in an AVL tree looks like one of these three trees:



(a) Balance factor: -1



(b) Balance factor: 0



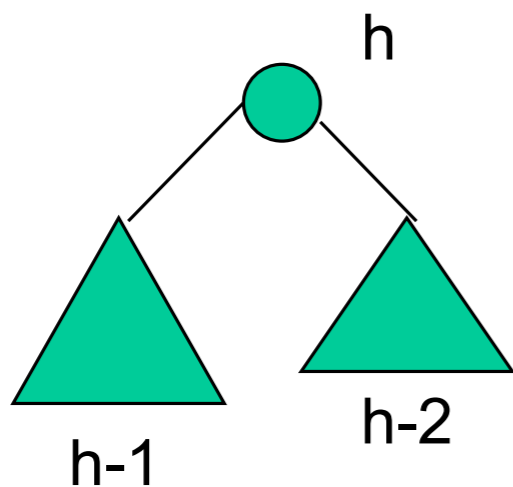
(c) Balance factor: $+1$

AVL Trees: Insertion

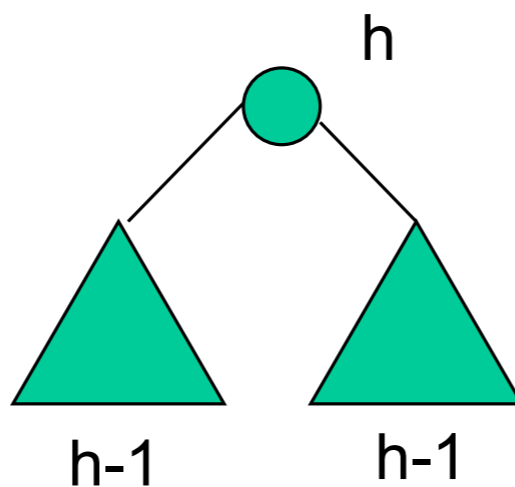
AVL property: $-1 \leq b(n) \leq 1$ for all nodes n .

To insert into an AVL tree:

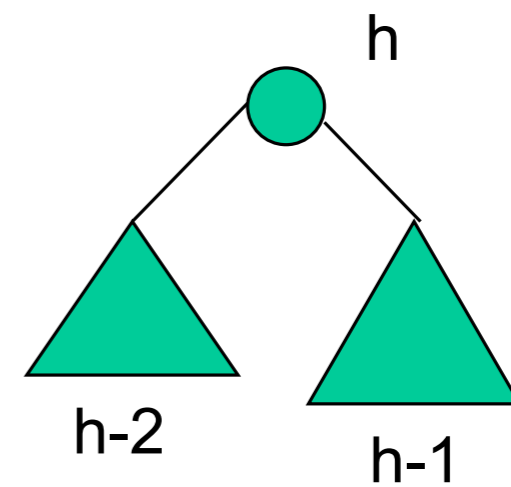
1. Do a normal BST insertion
2. Fix any violations of the AVL property using rotations.



(a) Balance factor: -1



(b) Balance factor: 0




(c) Balance factor: +1

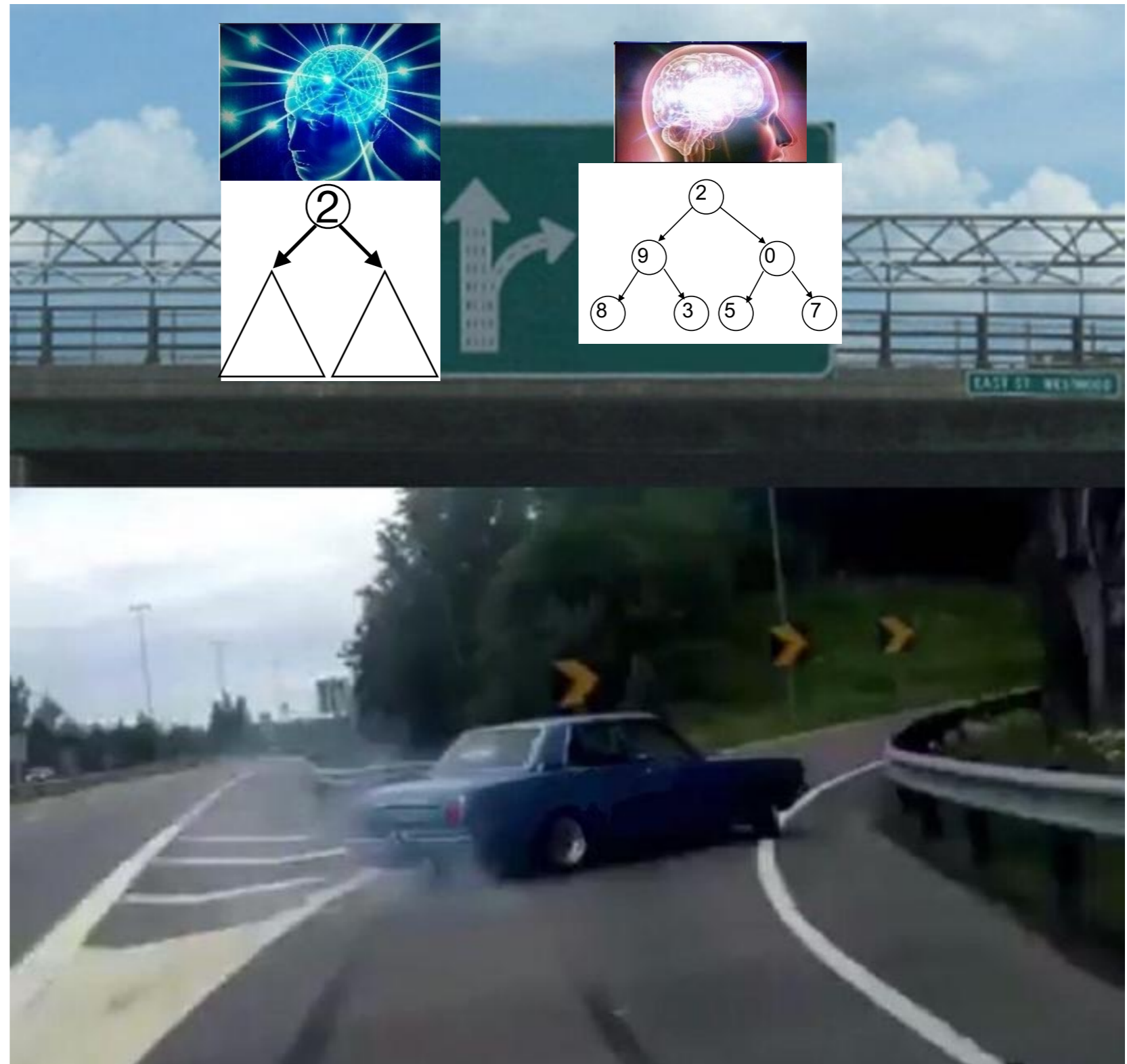
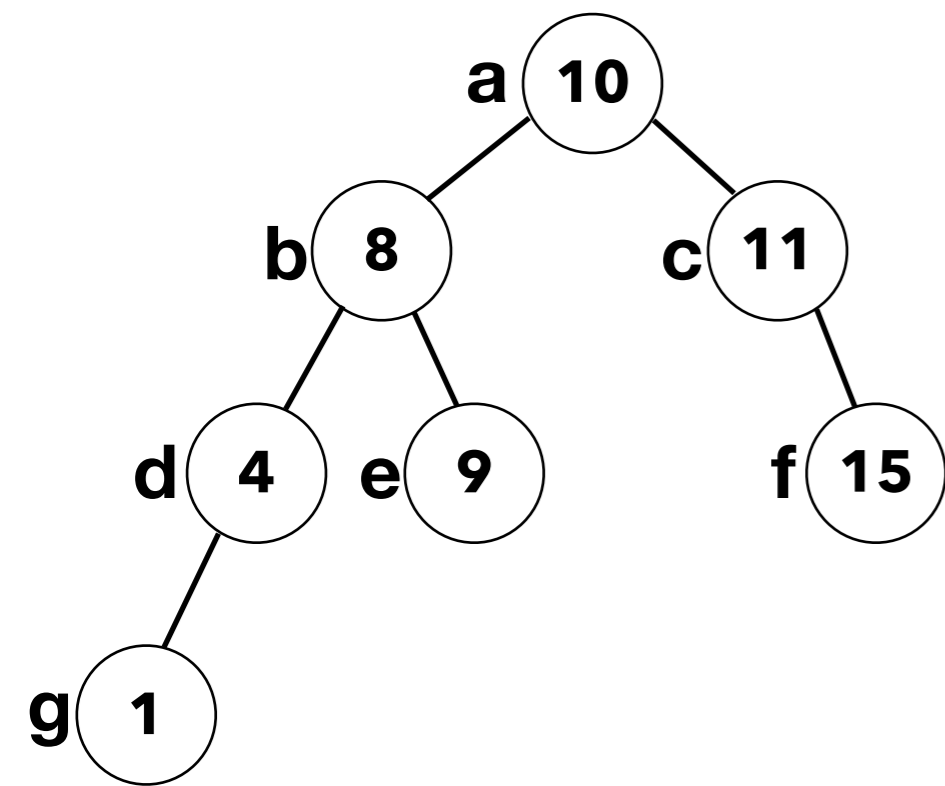
Refresher: BST Insertion

```
/* insert a node with value v into the
 * tree rooted at n. pre: n is not null. */
insert(Node n, int v):
    if n.value == v: return // (duplicate)
    if v < n.value:
        if n has left:
            insert(n.left, v)
        else:
            // attach new node w/ value v to n.left
    else: // v > n.value
        if n has right:
            insert(n.right, v)
        else:
            // attach new node w/ value v to n.right
```

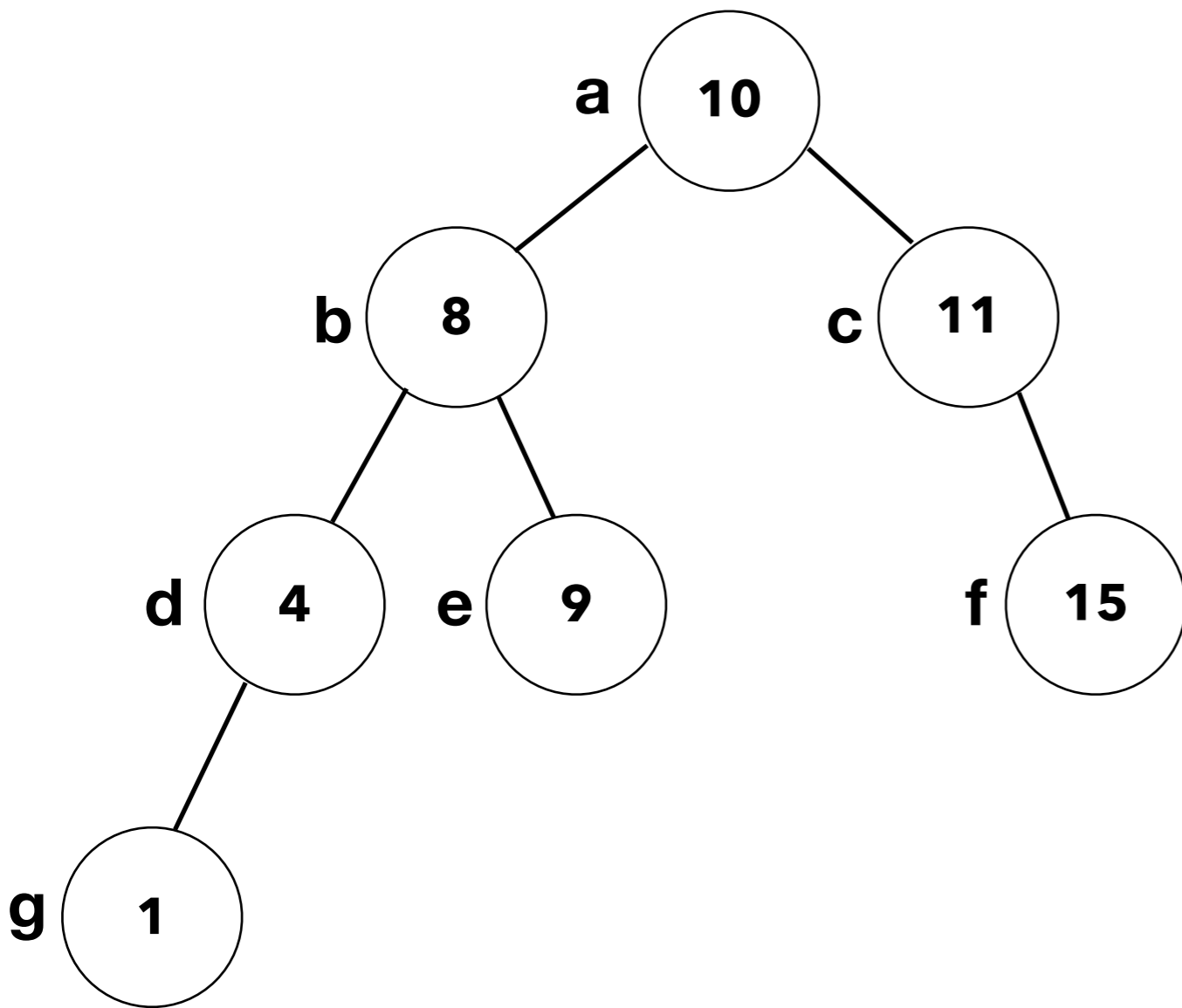
AVL Insertion

```
/* insert a node with value v into the
 * tree rooted at n. pre: n is not null. */
insert(Node n, int v):
    if n.value == v: return // (duplicate)
    if v < n.value:
        if n has left:
            insert(n.left, v)
        else:
            // attach new node w/ value v to n.left
    else: // v > n.value
        if n has right:
            insert(n.right, v)
        else:
            // attach new node w/ value v to n.right
    rebalance(n); 
```

AVL Insertion

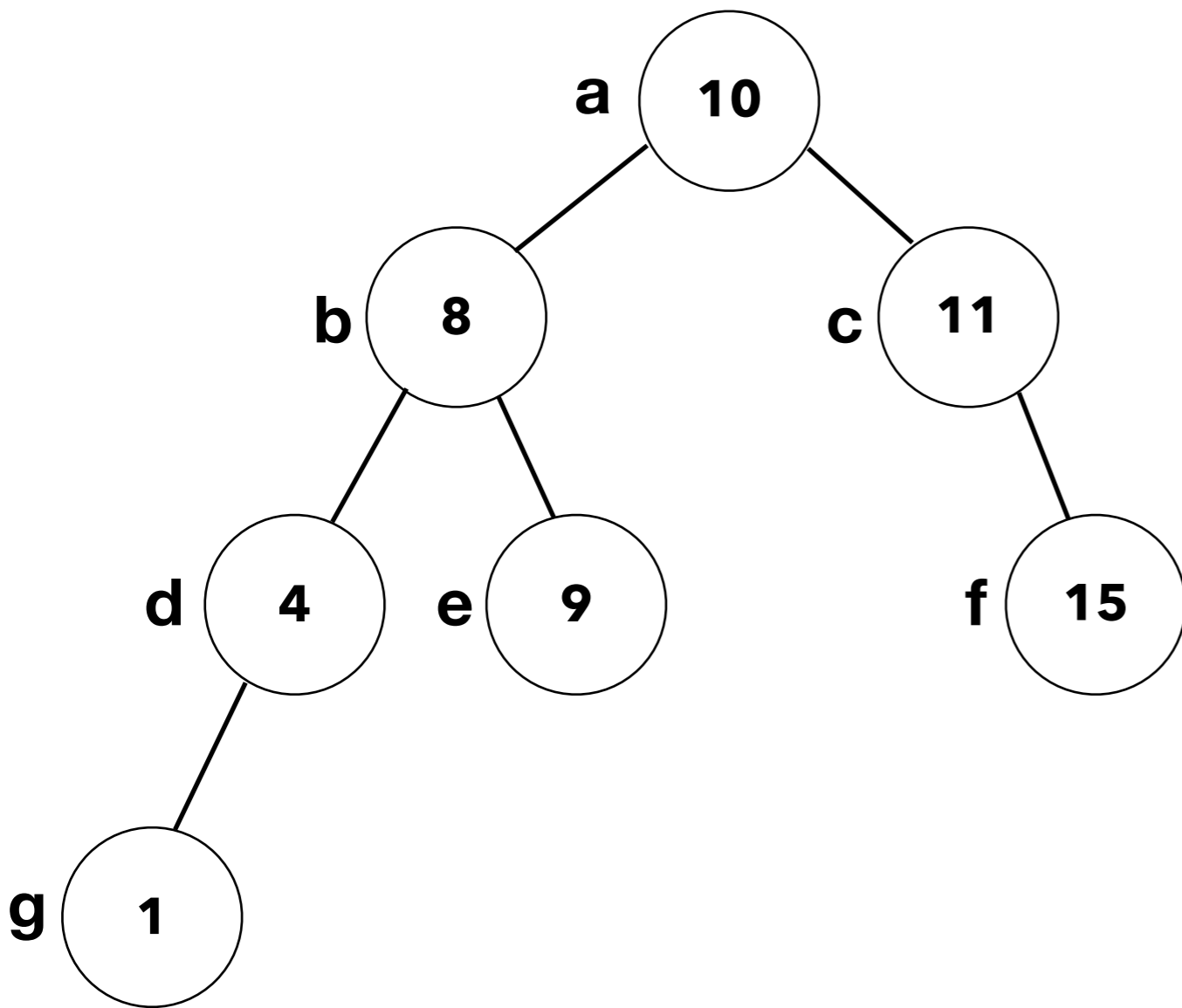


AVL Insertion



First: is this an AVL tree?

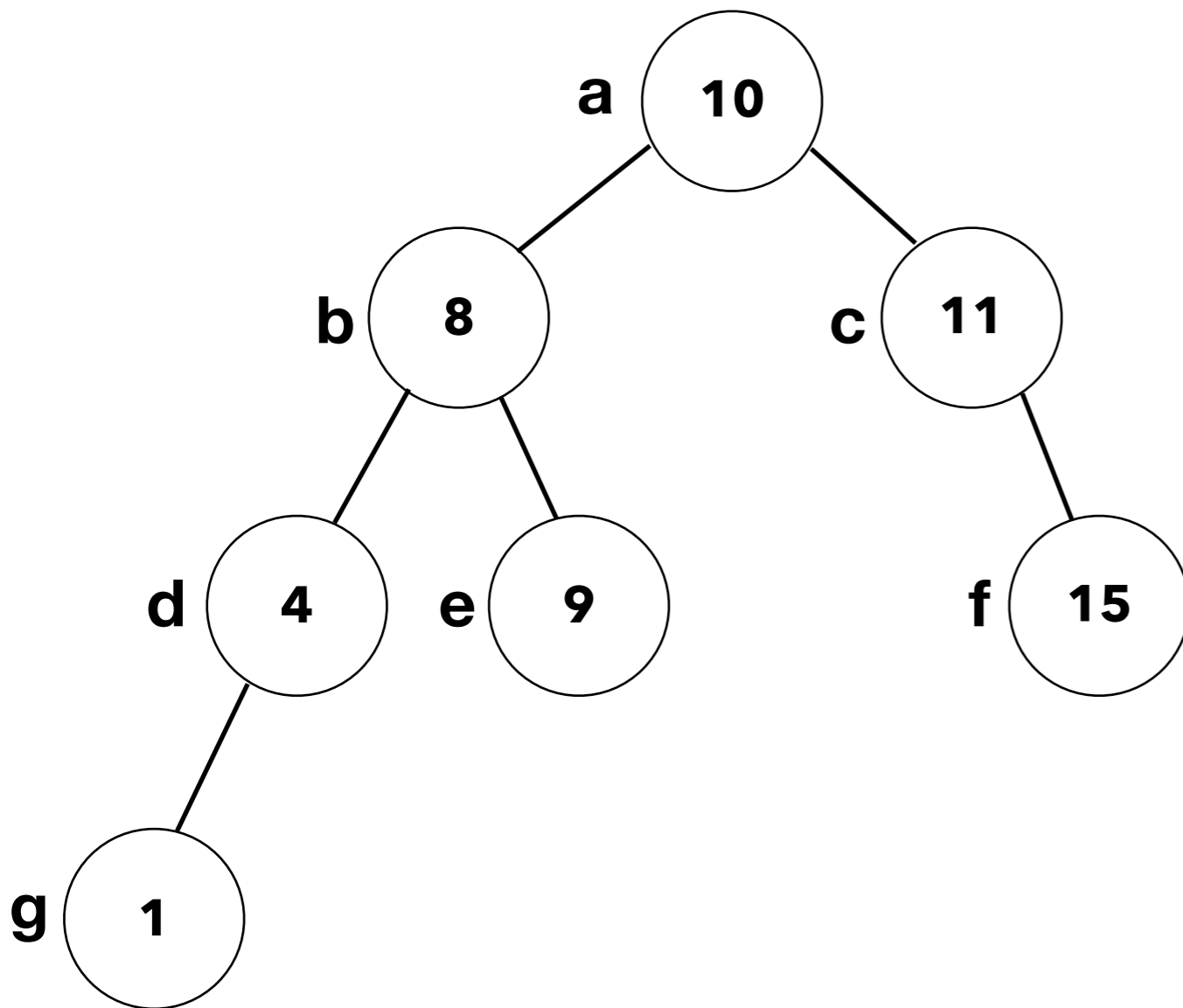
AVL Insertion



```
insert(a, 16)
```

```
insert(Node n, int v):  
    //...(other cases  
    else: // v > n.value  
        if n has right:  
            insert(n.right, v)  
        else:  
            // attach new node  
    rebalance(n);
```

AVL Insertion

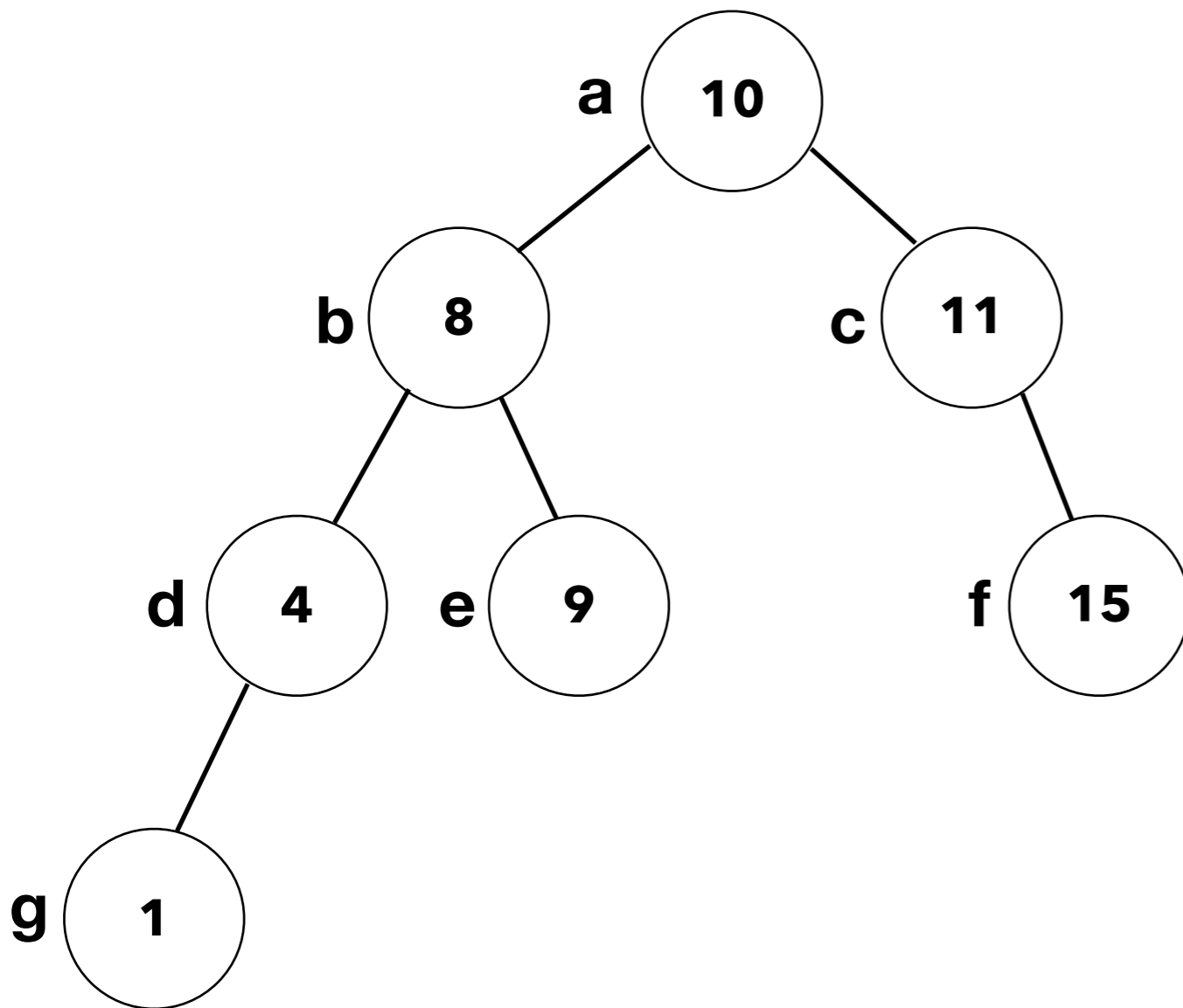


```
insert(a, 16)  
=>insert(c, 16)
```

```
rebalance(a)
```

```
insert(Node n, int v):  
    //...(other cases  
    else: // v > n.value  
        if n has right:  
            insert(n.right, v)  
        else:  
            // attach new node  
rebalance(n);
```

AVL Insertion

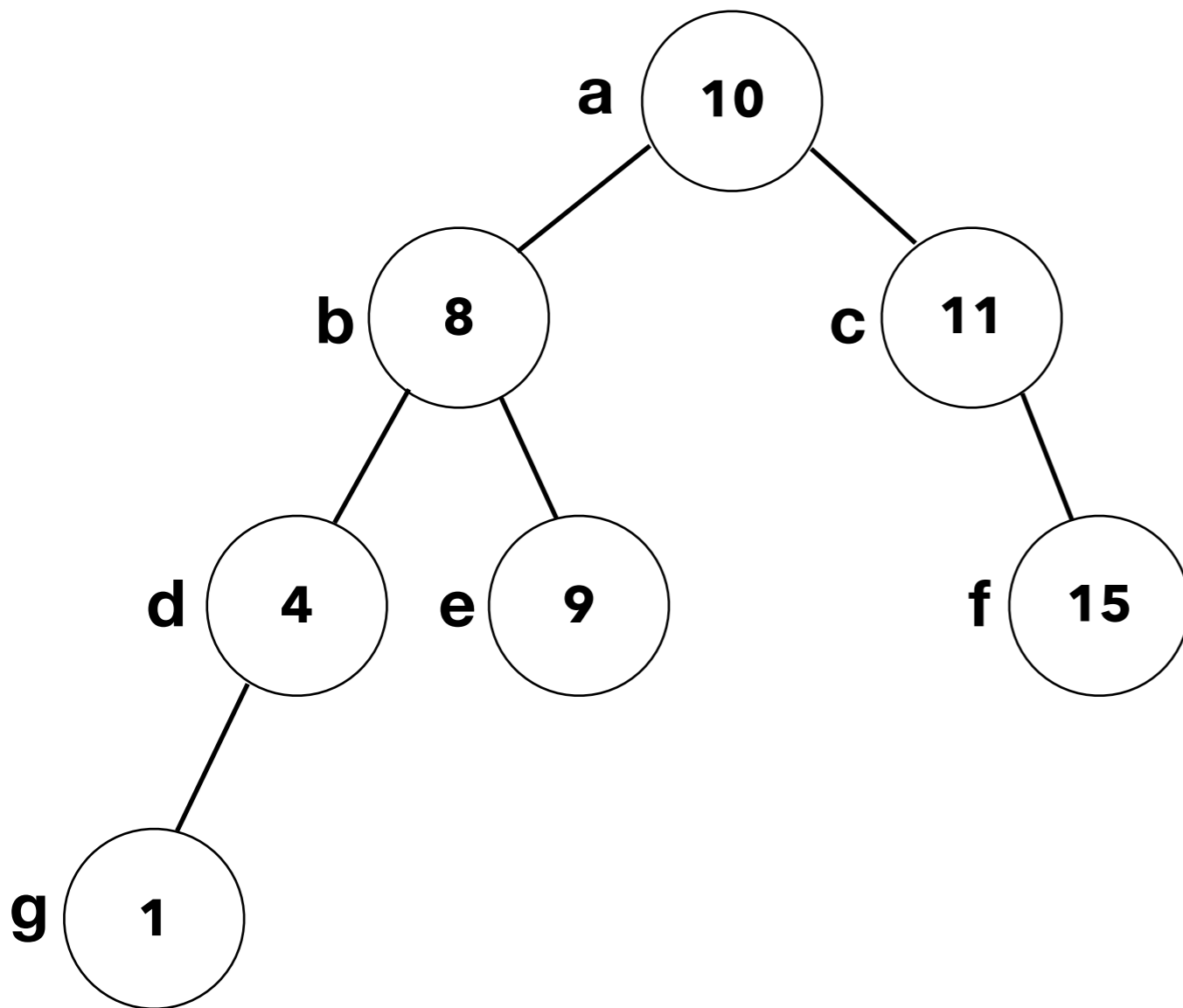


```
insert(a, 16)  
=>insert(c, 16)  
=>insert(f, 16)
```

```
rebalance(c)  
rebalance(a)
```

```
insert(Node n, int v):  
  //...(other cases  
  else: // v > n.value  
    if n has right:  
      insert(n.right, v)  
    else:  
      // attach new node  
  rebalance(n);
```

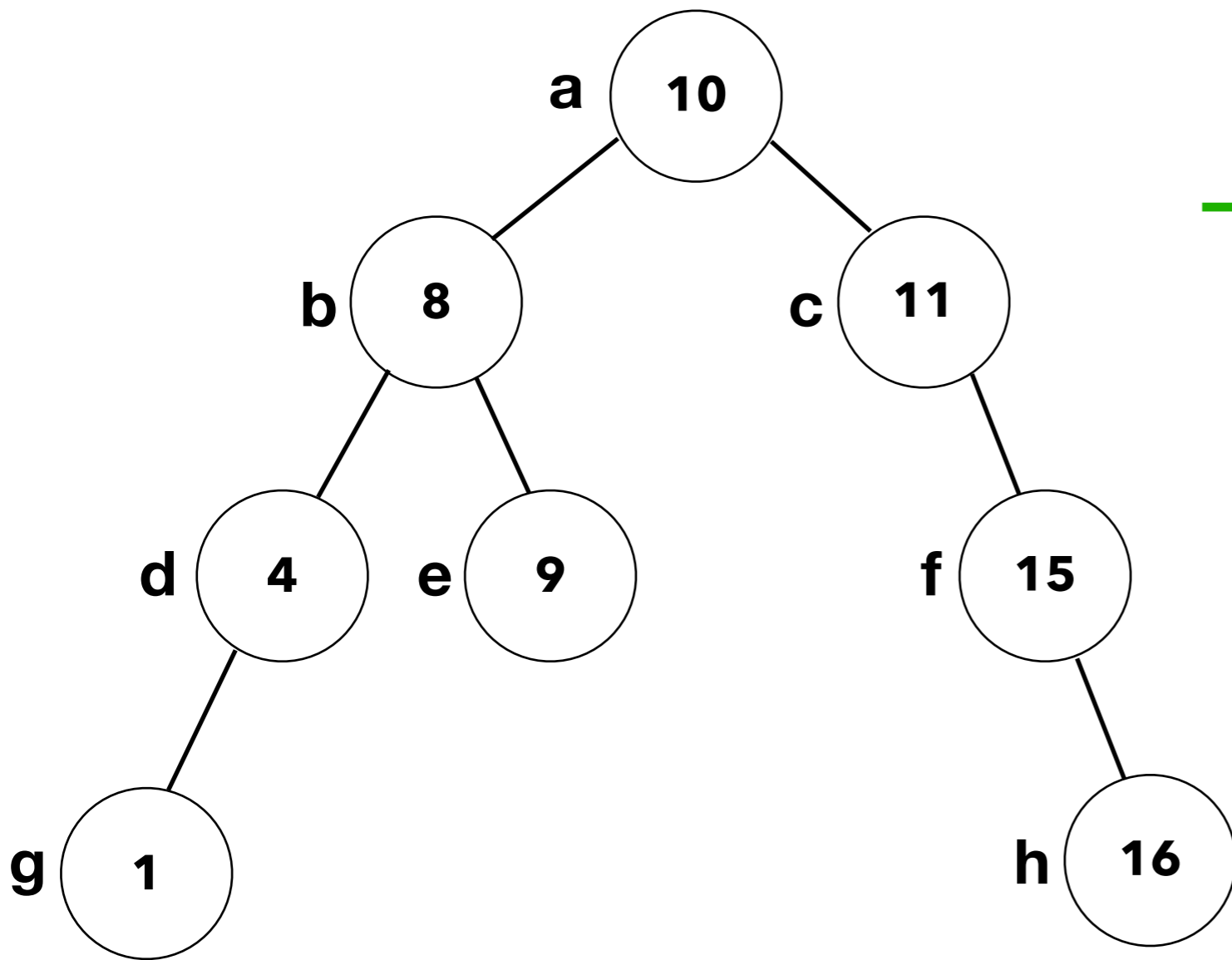
AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
=>insert(f, 16)
=>attach new node
    rebalance(f)
    rebalance(c)
    rebalance(a)
```

```
insert(Node n, int v):
    //...(other cases
else: // v > n.value
    if n has right:
        insert(n.right, v)
    else:
        // attach new node
    rebalance(n);
```

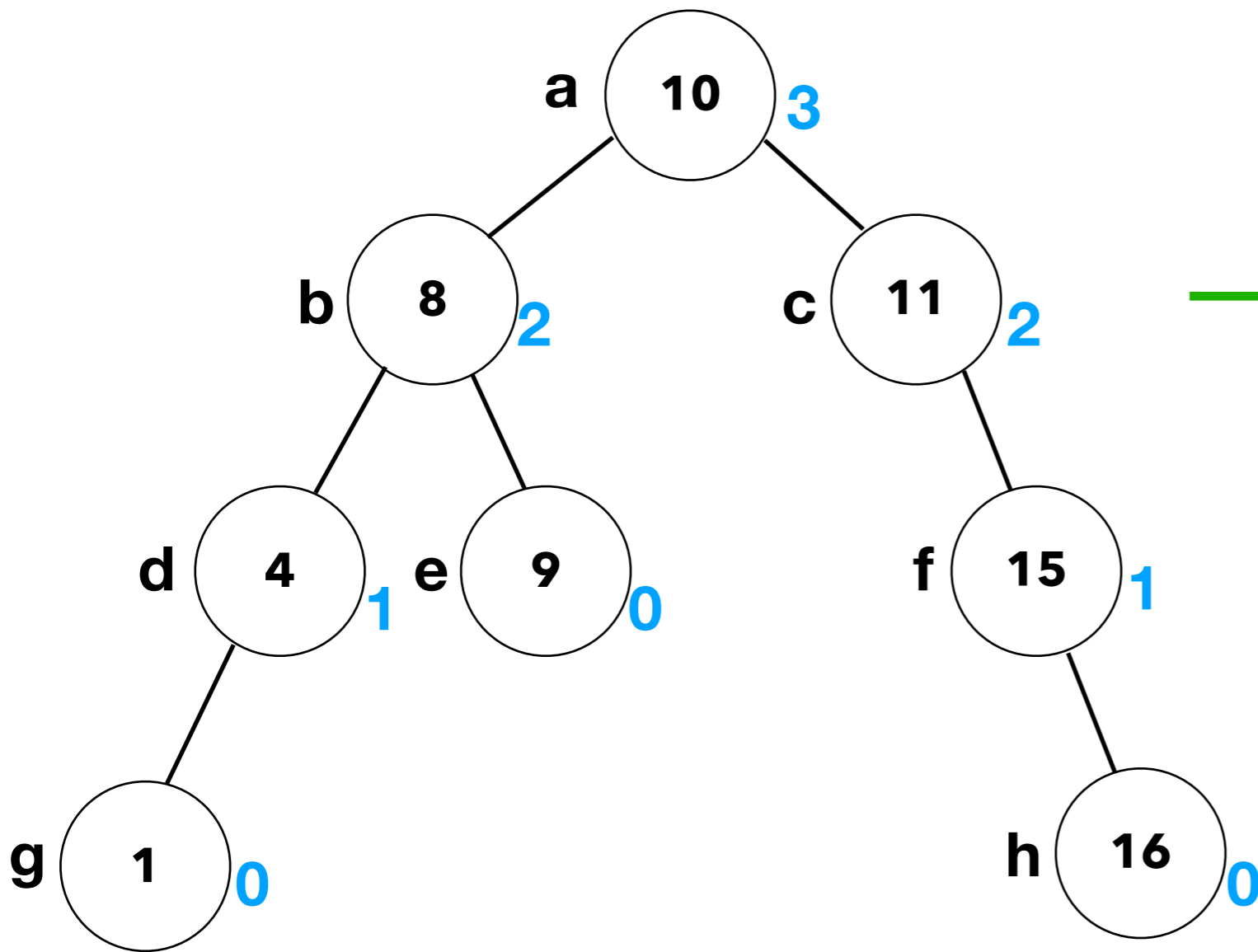
AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      rebalance(f)
        rebalance(c)
          rebalance(a)
```

```
insert(Node n, int v):
  //...(other cases
  else: // v > n.value
    if n has right:
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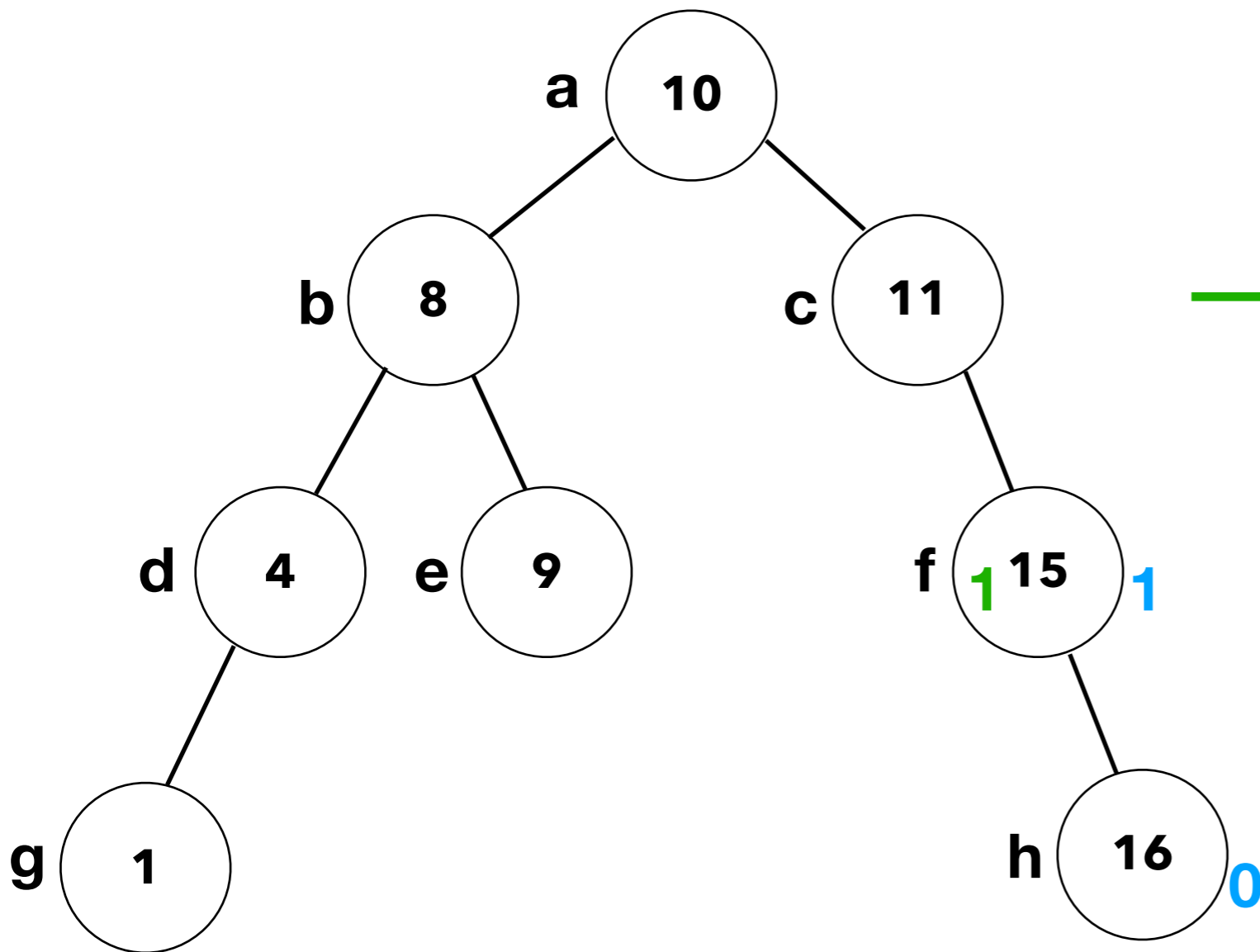
AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      rebalance(f)
        rebalance(c)
          rebalance(a)
```

```
insert(Node n, int v):
  //...(other cases
  else: // v > n.value
    if n has right:
      insert(n.right, v)
    else:
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      rebalance(n);
```

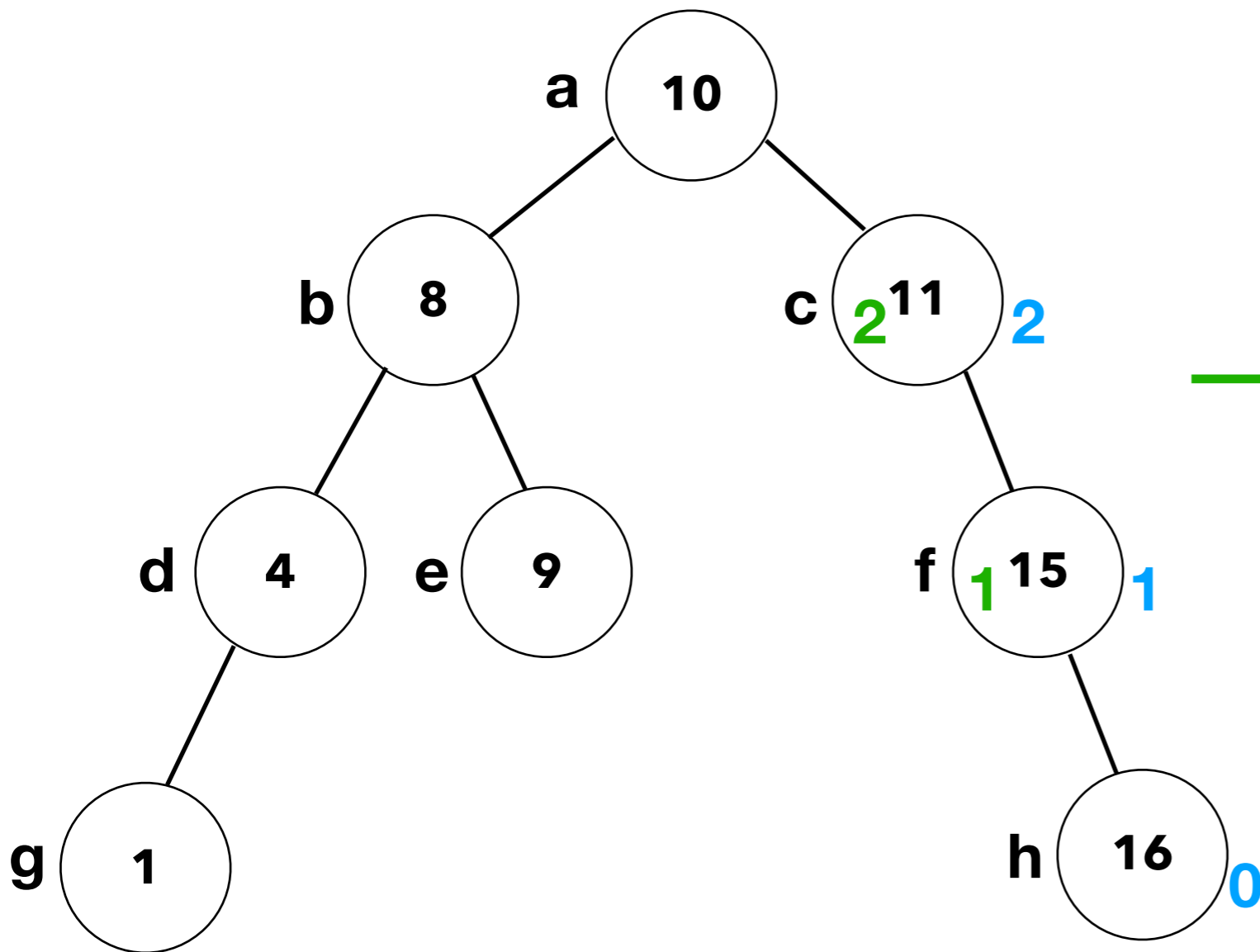
AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      (already balanced)(f)
        rebalance(c)
          rebalance(a)
```

```
insert(Node n, int v):
  //...(other cases
  else: // v > n.value
    if n has right:
      insert(n.right, v)
    else:
      // attach new node
  rebalance(n);
```

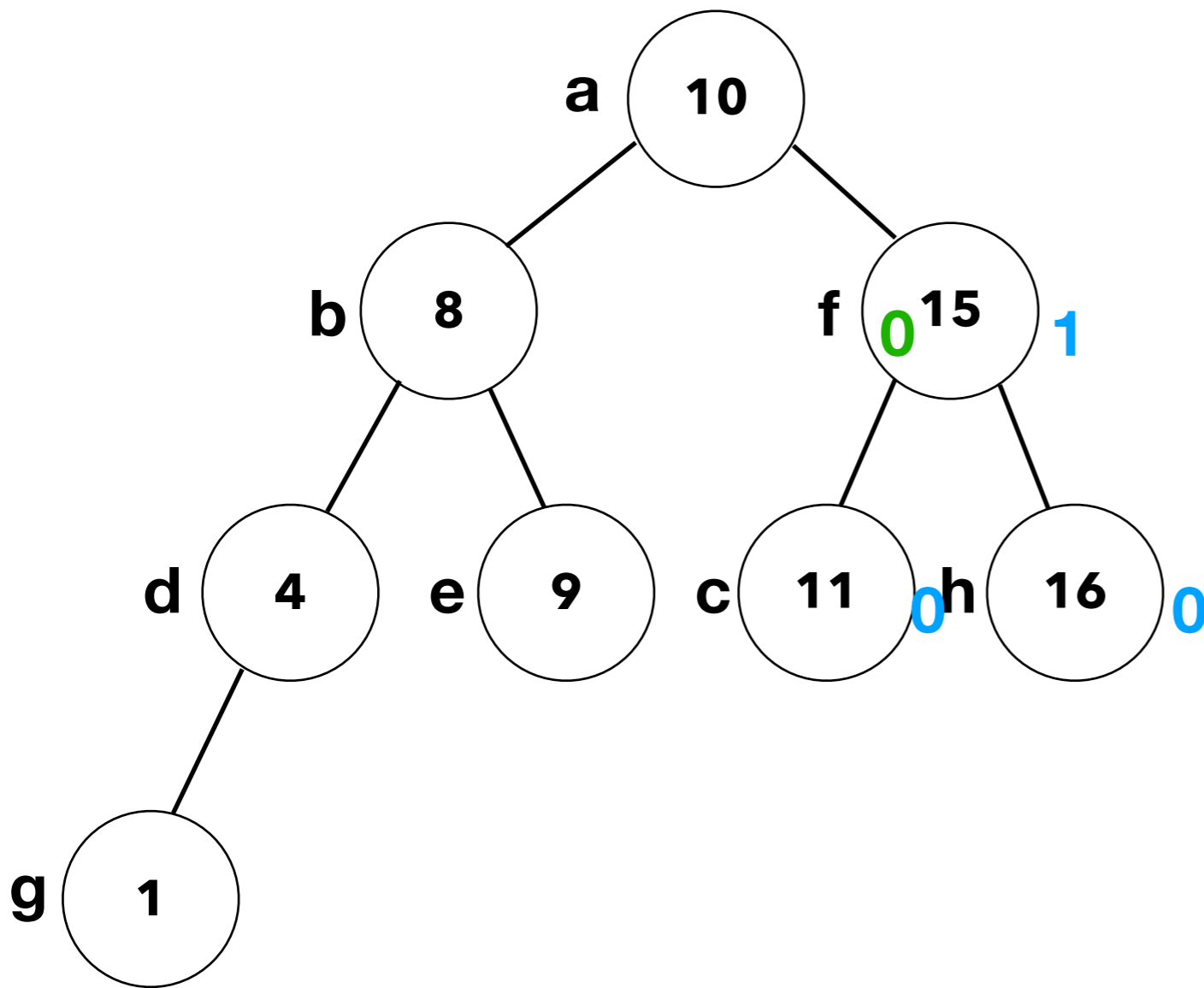

AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      (already balanced)(f)
      (perform rotation)(c)
    rebalance(a)
```

```
insert(Node n, int v):
  //...(other cases
  else: // v > n.value
    if n has right:
      insert(n.right, v)
    else:
      // attach new node
  rebalance(n);
```

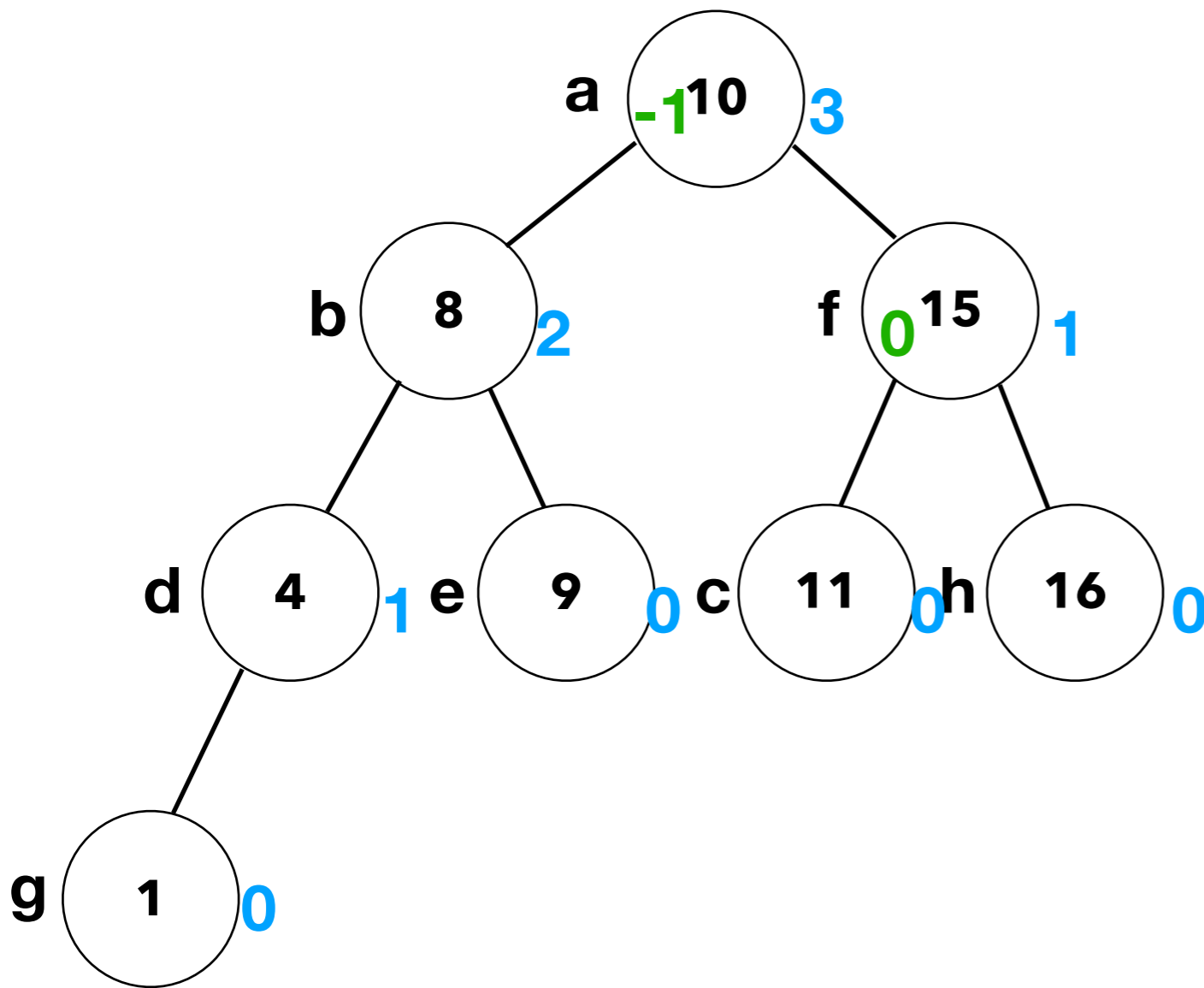
AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      (already balanced)(f)
      (perform rotation)(c)
    rebalance(a)
```

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insert(Node n, int v):
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    else:
      // attach new node
  rebalance(n);
```

AVL Insertion



```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      (already balanced)(f)
        (perform rotation)(c)
          (already balanced)(a)
```

```
insert(Node n, int v):
  //...(other cases
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