

# CSCI 241

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Dijkstra's Algorithm:  
Proof of Correctness

# Goals

Understand a proof that Dijkstra's algorithm correctly computes the shortest paths.

# Dijkstra's Algorithm

At this point, we know *how* to execute it.

But does it actually *work*?  $S = \{ \}; F = \{v\}; v.d = 0;$

Why is this OK?

To safely move  $f$  to  $S$ , we need to know for sure we've found the shortest path to  $f$ .

```
while (F ≠ { }) {  
    f = node in F with min d value;  
    Remove f from F, add it to S;  
    for each neighbor w of f {  
        if (w not in S or F) {  
            w.d = f.d + weight(f, w);  
            add w to F;  
        } else if (f.d + weight(f, w) < w.d) {  
            w.d = f.d + weight(f, w);  
        }  
    }  
}
```

# Proof of Correctness

Dijkstra's algorithm is **greedy**: it makes a sequence of *locally* optimal moves, which results in the *globally* optimal solution.

**In general, this strategy doesn't work!**

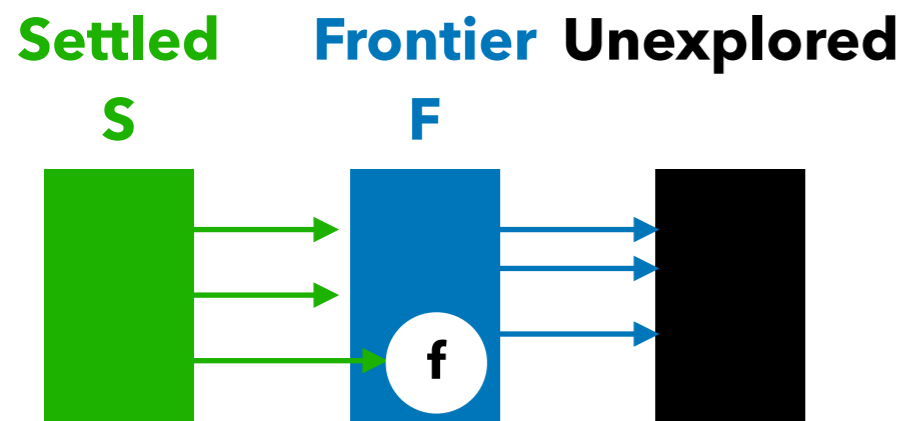
To credibly claim it works here, we need to prove it.

Specifically: It is not obvious that there cannot still be a shorter path to the Frontier node with smallest d-value.

# Proof Sketch

1. State a loop invariant.
2. Prove that **if** that invariant is maintained, **then** the algorithm is correct.
3. Prove that the algorithm maintains the invariant.

# Proof of Correctness: Invariant



1. **State invariant.**
2. If invariant maintained, then alg is correct.
3. Invariant is maintained

The while loop in Dijkstra's algorithm maintains the following **3-part invariant**:

1. For a Settled node **s**, a shortest path from **v** to **s** contains only settled nodes and **s.d** is length of shortest **v - s** path.



2. For a Frontier node **f**, at least one **v -> f** path contains only settled nodes (except perhaps for **f**) and **f.d** is the length of the shortest such path



3. All edges leaving S go to F (i.e., no edges from S to Unexplored)

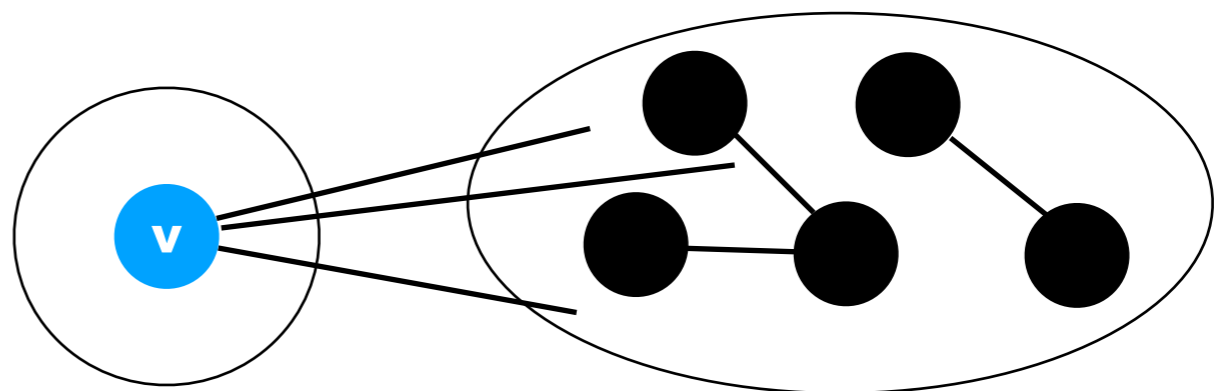
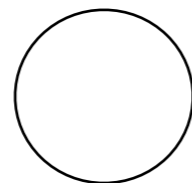
# Proof of Correctness: Theorem

1. State invariant.
2. **If invariant maintained, then alg is correct.**
3. Invariant is maintained

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  f = node in F with min d value;
  Remove f from F, add it to S;
  for each neighbor w of f {
    if (w not in S or F) {
      w.d = f.d + weight(f, w);
      add w to F;
    } else if (f.d + weight(f, w) < w.d) {
      w.d = f.d + weight(f, w);
    }
  }
  Case 1: if v is in F, then S is empty and v.d = 0, which is trivially the
  shortest distance from v to v.
}
```

**Theorem:** For a node  $f$  in the Frontier with minimum  $d$  value (over all nodes in the Frontier),  $f.d$  is the shortest-path distance from  $v$  to  $f$ .

**Proof:** Show that any other path from  $v$  to  $f$  has length  $\geq f.d$



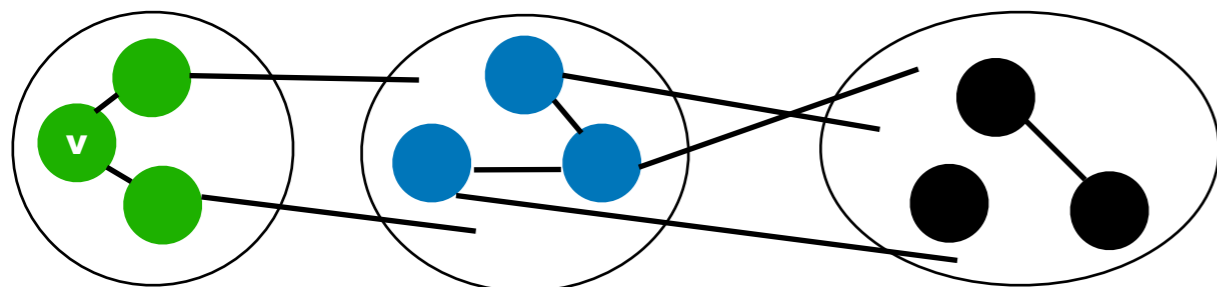
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      add w to F;
    } else if (f.d + weight(f, w) < w.d) {
      w.d = f.d + weight(f, w);
    }
  }
  Case 2: v is in S. Part 2 of the invariant says:
  • f.d is the length of the shortest path from v to f containing all settled
  nodes except f, and f.d is the length of such a path.
}
```

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# Proof of Correctness: Theorem

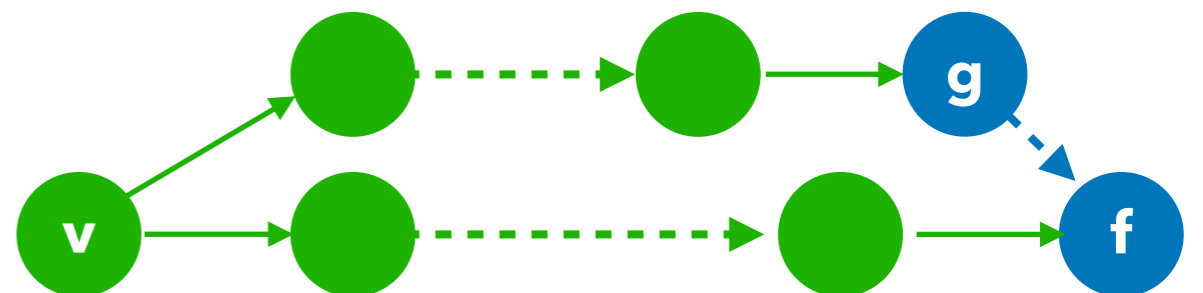
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  nodes except f, and f.d is the length of such a path.
}
```

Any other **v-f** path must either be longer or go through another frontier node **g** before arriving at **f**:

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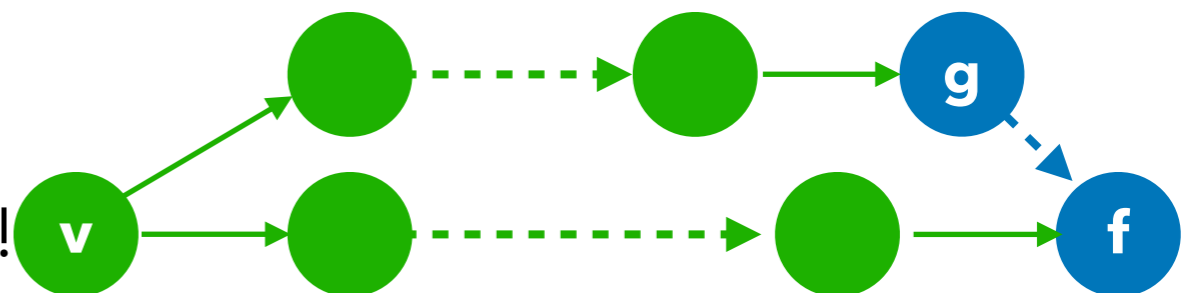
**Theorem:** For a node **f** in the Frontier with minimum d value (over all nodes in the Frontier), **f.d** is the shortest-path distance from **v** to **f**.

**Proof:** Show that any other path from **v** to **f** has length  $\geq$  **f.d**

Any other **v-f** path must either be longer or go through another frontier node **g** before arriving at **f**:

**but:** **f.d**  $\leq$  **g.d**,

**so** the path through **g** can't be shorter!



# Proof of Correctness: Invariant Maintenance

1. State invariant.
2. If invariant maintained, then alg is correct.
- 3. Invariant is maintained**

```
S = { }; F = {v}; v.d = 0;
while (F ≠ { }) {
  f = node in F with min d value;
  Remove f from F, add it to S;
  for each neighbor w of f {
    if (w not in S or F) {
      w.d = f.d + weight(f, w);
      add w to F;
    } else if (f.d + weight(f, w) < w.d) {
      w.d = f.d + weight(f, w);
    }
  }
}
```

1. For a Settled node  $s$ , a shortest path from  $\mathbf{v}$  to  $\mathbf{s}$  contains only settled nodes and  $\mathbf{s.d}$  is length of shortest  $\mathbf{v} \rightarrow \mathbf{s}$  path.
2. For a Frontier node  $f$ , at least one  $\mathbf{v} \rightarrow \mathbf{f}$  path contains only settled nodes (except perhaps for  $\mathbf{f}$ ) and  $\mathbf{f.d}$  is the length of the shortest such path
3. All edges leaving  $S$  go to  $F$  (or: no edges from  $S$  to Unexplored)

# Proof of Correctness: Invariant Maintenance

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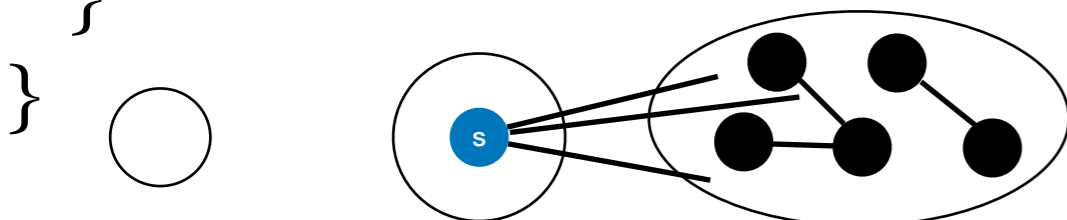
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At initialization:

1.  $S$  is empty; trivially true.
2.  $\mathbf{v.d} = 0$ , which is the shortest path.
3.  $S$  is empty, so no edges leave it.



# Proof of Correctness: Invariant Maintenance

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      add w to F;
    } else if (f.d + weight(f, w) < w.d) {
      w.d = f.d + weight(f, w);
    }
  }
  At each iteration:
}
```

1. For a Settled node  $s$ , a shortest path from  $\mathbf{v}$  to  $\mathbf{s}$  contains only settled nodes and  $\mathbf{s.d}$  is length of shortest  $\mathbf{v} \rightarrow \mathbf{s}$  path.
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  3. All edges leaving  $S$  go to  $F$  (or: no edges from  $S$  to Unexplored)
1. Theorem says  $\mathbf{f.d}$  is the shortest distance to  $\mathbf{f}$ : safe to move to  $S$
  2. Updating  $\mathbf{w.d}$  maintains Part 2 of the invariant.
  3. Each neighbor is either already in  $F$  or gets moved there.

# We're done!

What just happened?

1. State a loop invariant.
2. Prove that **if** that invariant is maintained, **then** the algorithm is correct.  
***the min d-valued node in F can be moved to S***
3. Prove that the algorithm maintains the invariant.  
***the invariant is true at the start and after each iteration***