

# CSCI 241

Lecture 22  
Dijkstra's Algorithm:  
Proof of Correctness; Practice

# Announcements

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- Quiz today as usual.

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- Midterm grades are out - see announcement

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- Midterm grades are out - see announcement
- A2 grades are out - nice work!

# Goals

- See a proof of correctness of Dijkstra's algorithm
- Answer any questions you have about implementation / A4.
- Get some practice running Dijkstra on paper

# Dijkstra's Shortest Paths: Cartoon

settled

frontier

unexplored

Before:

During:

After:

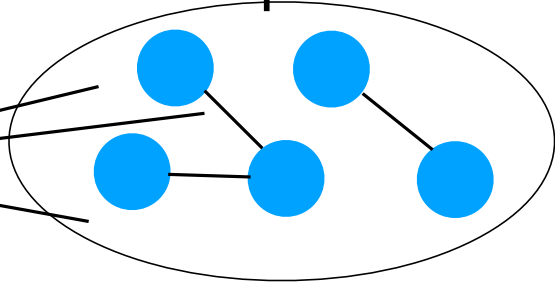
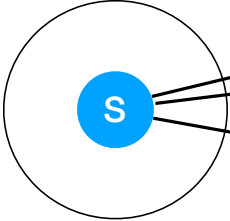
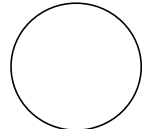
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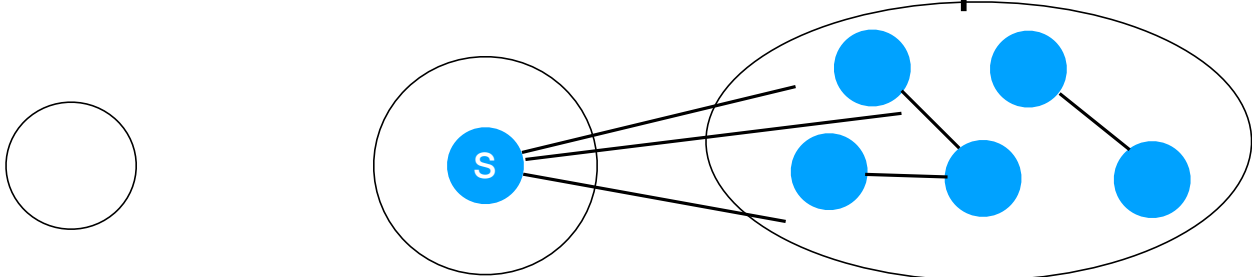
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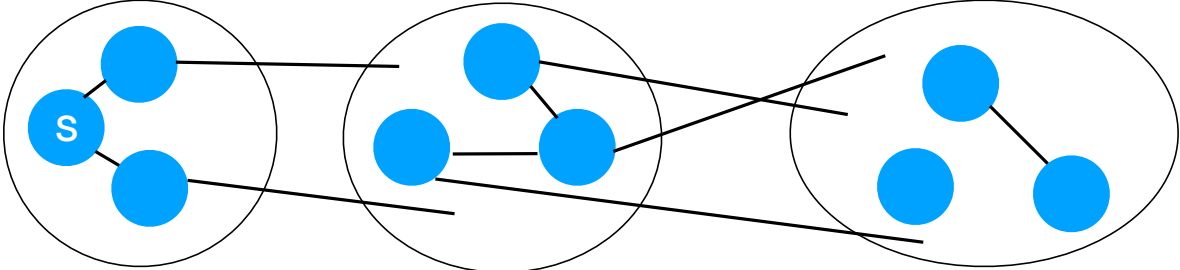
frontier

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During:



After:

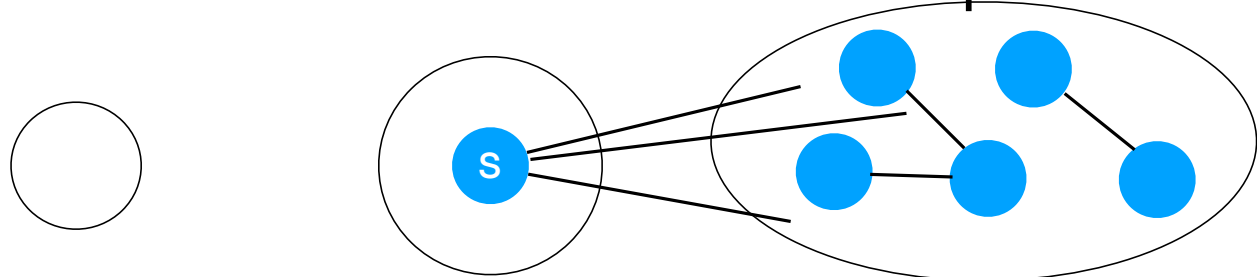
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settled

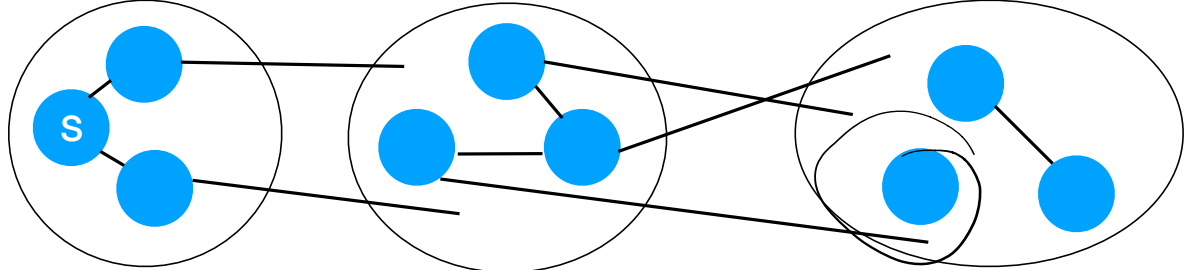
frontier

unexplored

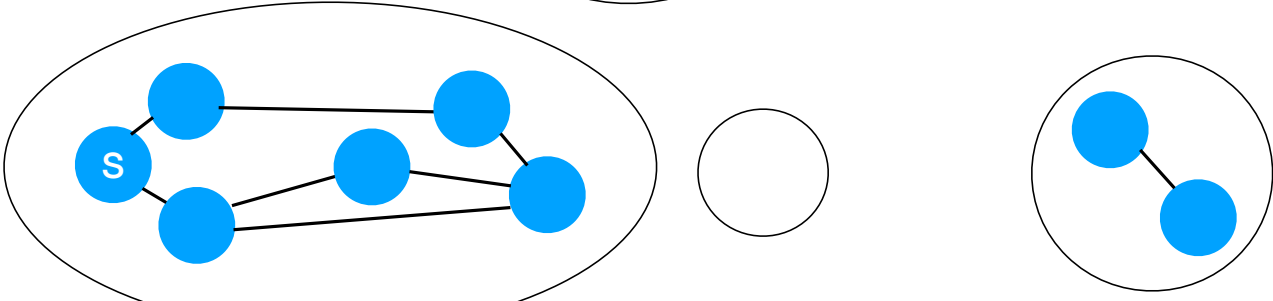
Before:



During:



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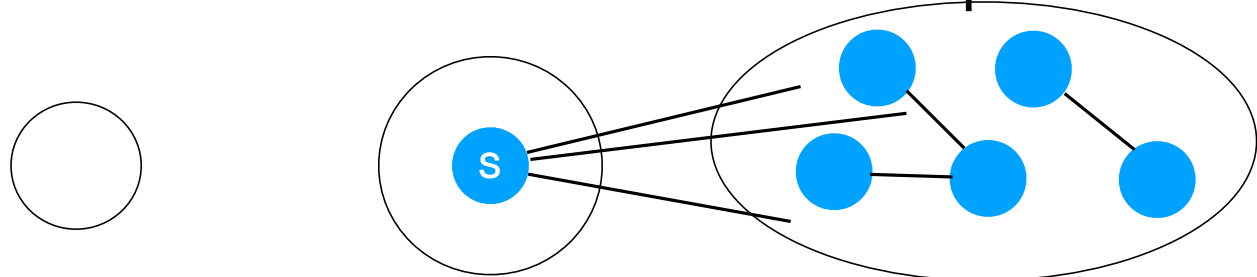
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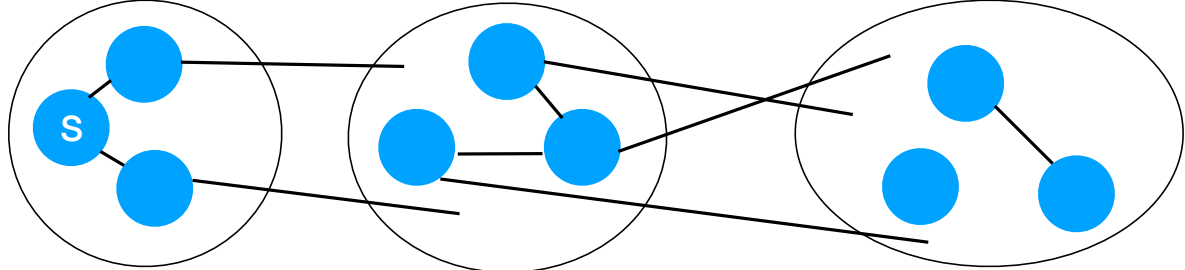
frontier

unexplored

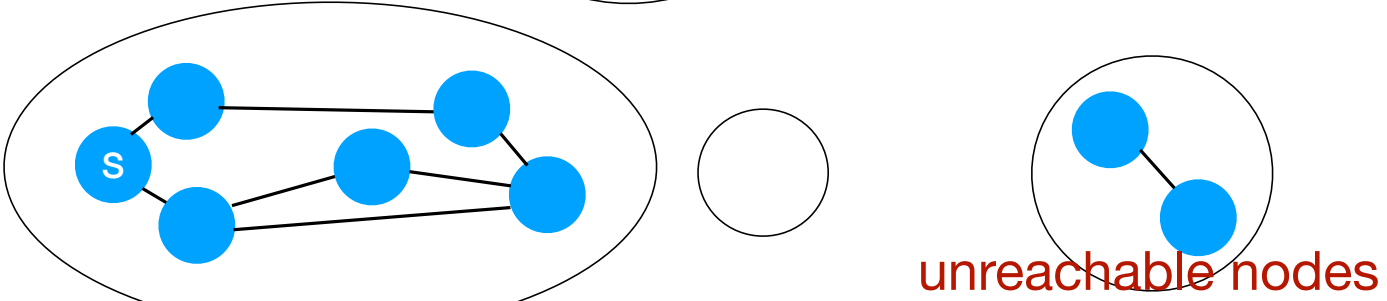
Before:



During:



After:



# Dijkstra's Shortest Paths: Intuition

- Intuition: explore nodes kinda like BFS.
- There are three kinds of nodes:
  - **Settled** - nodes for which we know the actual shortest path.
  - **Frontier** - nodes that have been visited but we don't necessarily have their actual shortest path
  - Unexplored - all other nodes.
- Each node  $n$  keeps track of  $n.d$ , the length of the shortest known known path from start.
- We may discover a shorter path to a **frontier** node than the one we've found already - if so, update  $n.d$ .

# Dijkstra's Shortest Paths: High-Level Algorithm

Initialize Settled to empty

Initialize Frontier to the start node

While the frontier isn't empty:

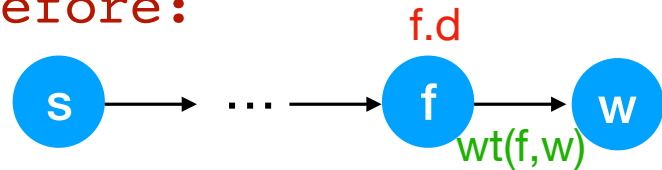
move the node  $f$  with smallest  $d$  from  $F$  to  $S$

For each neighbor  $w$  of  $f$ :

if we've never seen  $w$  before:

set its path length

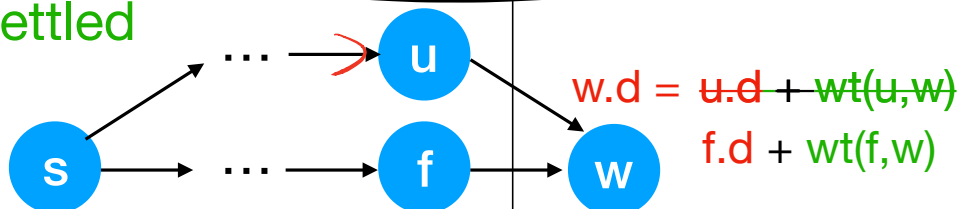
add it to frontier



→ else if the path to  $w$  via  $f$  is shorter:

update  $w$ 's shortest path length

settled



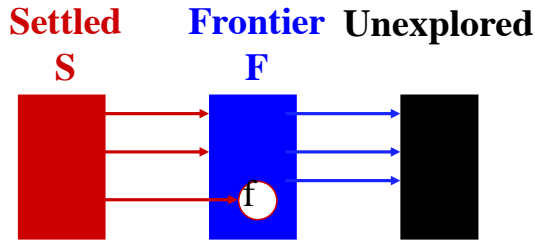
# Proof of Correctness

- Dijkstra's algorithm is **greedy**: it makes a sequence of *locally* optimal moves, which results in the *globally* optimal solution.
  - Most algorithms don't work like this - need to prove that it results in the global optimum.
- Specifically: It is not obvious that there cannot still be a shorter path to the Frontier node with smallest d-value.

# Proof Sketch

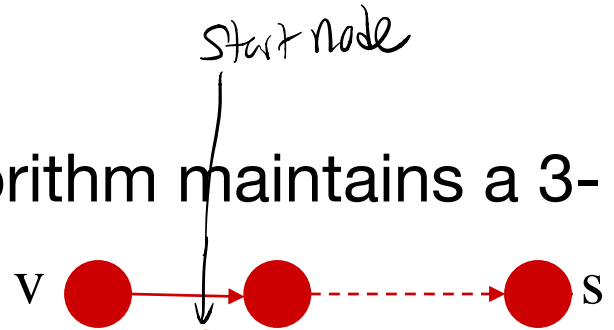
1. State a loop invariant.
2. Prove that **if** that invariant is maintained, **then** the algorithm is correct.
3. Prove that the algorithm maintains the invariant.

# Proof of Correctness:



## Invariant

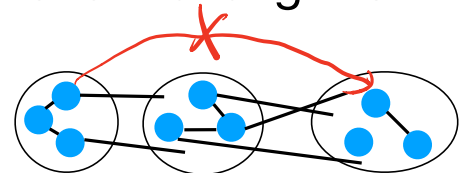
The while loop in Dijkstra's algorithm maintains a 3-part invariant:



1. For a Settled node  $s$ , a shortest path from  $v$  to  $s$  contains only settled nodes and  $s.d$  is length of shortest  $v \rightarrow s$  path.



2. For a Frontier node  $f$ , at least one  $v \rightarrow f$  path contains only settled nodes (except perhaps for  $f$ ) and  $f.d$  is the length of the shortest such path



3. All edges leaving  $S$  go to  $F$  (or: no edges from  $S$  to Unexplored)



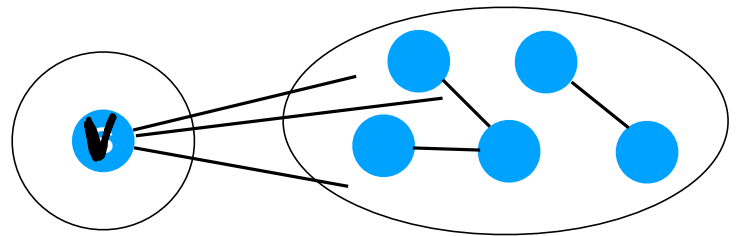
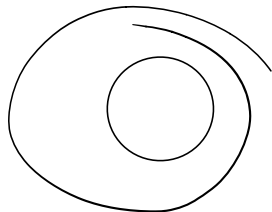
# Proof of Correctness:

## Theorem

```
S = { }; F = {v}; v.d = 0;
while (F ≠ { }) {
  f = node in F with min d value;
  Remove f from F, add it to S;
  for each neighbor w of f {
    if (w not in S or F) {
      w.d = f.d + weight(f, w);
      add w to F;
    } else if (f.d + weight(f, w) < w.d) {
      w.d = f.d + weight(f, w);
    }
  }
}
```

**Theorem:** For a node  $f$  in the Frontier with minimum  $d$  value (over all nodes in the Frontier),  $f.d$  is the shortest-path distance from  $v$  to  $f$ .

**Proof:** Show that any other path from  $v$  to  $f$  has length  $\geq f.d$



# Proof of Correctness:

## Theorem

$S = \{ \}; F = \{v\}; v.d = 0;$

**while** ( $F \neq \{ \}$ ) {

$f = \text{node in } F \text{ with min } d \text{ value};$

  Remove  $f$  from  $F$ , add it to  $S$ ;

**for** each neighbor  $w$  of  $f$  {

**if** ( $w$  not in  $S$  or  $F$ ) {

$w.d = f.d + \text{weight}(f, w);$

      add  $w$  to  $F$ ;

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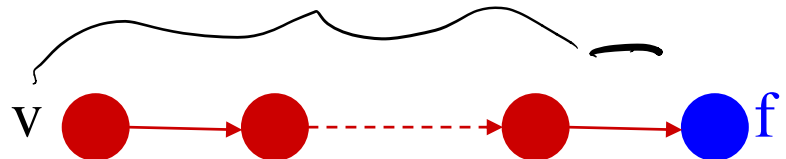
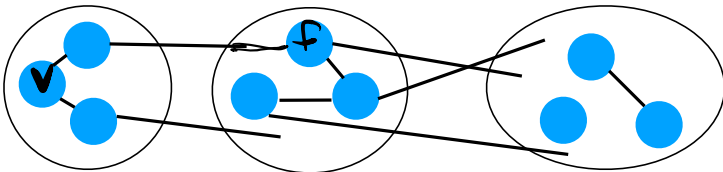
$w.d = f.d + \text{weight}(f, w);$

**Case 2:**  $v$  is in  $S$ . Part 2 of the invariant says:

- $f.d$  is the length of the shortest path from  $v$  to  $f$  containing all settled nodes except  $f$ , and  $f.d$  is the length of such a path.

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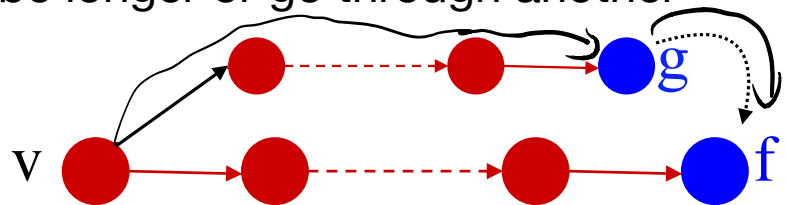
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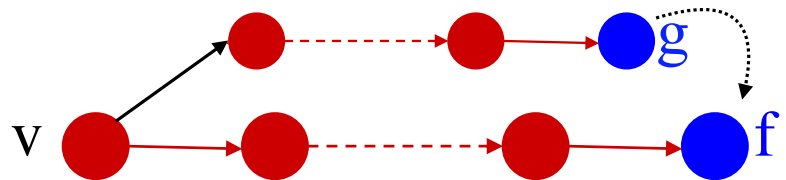
Any other  $v$ - $f$  path must either be longer or go through another frontier node  $g$  then arrive at  $f$ :

$d.f \leq d.g,$

so that path cannot be shorter


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# Proof of Correctness: Invariant Maintenance

```
S = { }; F = {v}; v.d = 0;  
while (F ≠ { }) {  
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  Remove f from F, add it to S;  
  for each neighbor w of f {  
    if (w not in S or F) {  
      w.d = f.d + weight(f, w);  
      add w to F;  
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  }  
}
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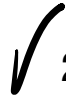
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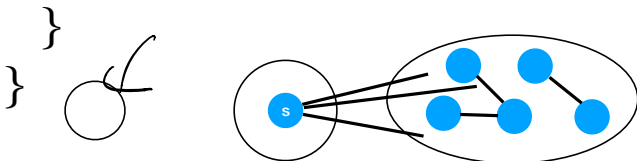
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At initialization:

1.  $S$  is empty; trivially true.
2.  $v.d = 0$ , which is the shortest path.
3.  $S$  is empty, so no edges leave it.



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  At each iteration:  
  1. Theorem says f.d is the shortest path, so it can safely move to S  
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  3. Each neighbor is either already in F or gets moved there.
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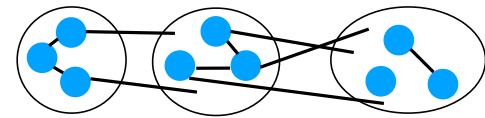
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**Questions?**

# Dijkstra Practice

Draw the following directed, weighted graph:

$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{$$

$$(1, 2): 7$$

$$(1, 3): 9$$

$$(1, 6): 14$$

$$(2, 3): 10$$

$$(2, 4): 15$$

$$(3, 4): 11$$

$$(3, 6): 2$$

$$(4, 5): 6$$

$$(6, 5): 9$$

$$\}$$

Dijkstra (1)

# Dijkstra Practice

$V = \{1, 2, 3, 4, 5, 6\}$

$E = \{$

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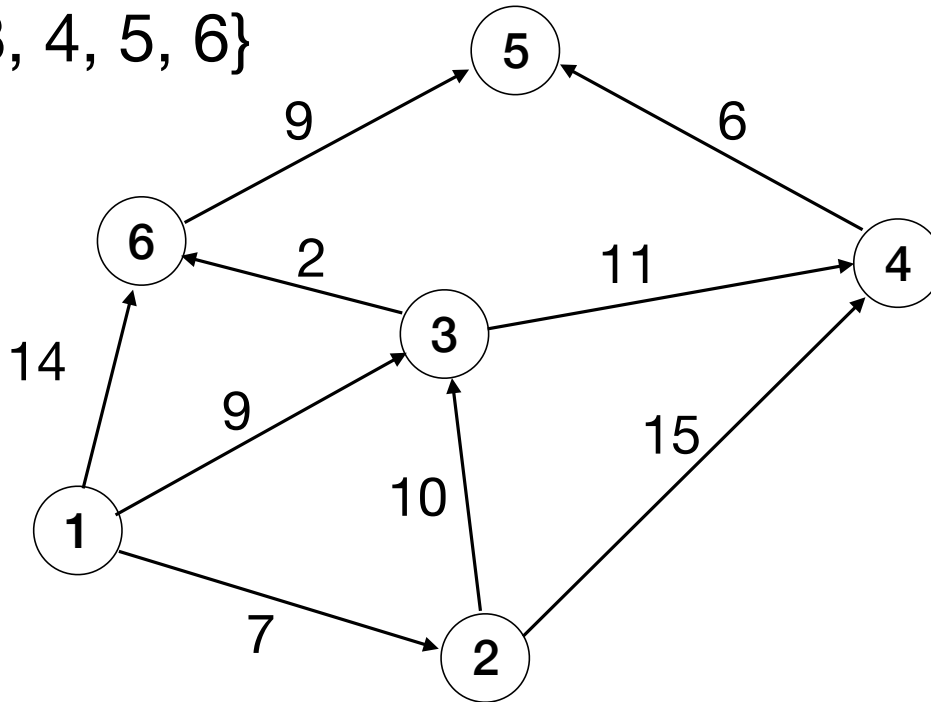
(3, 4): 11

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$\}$

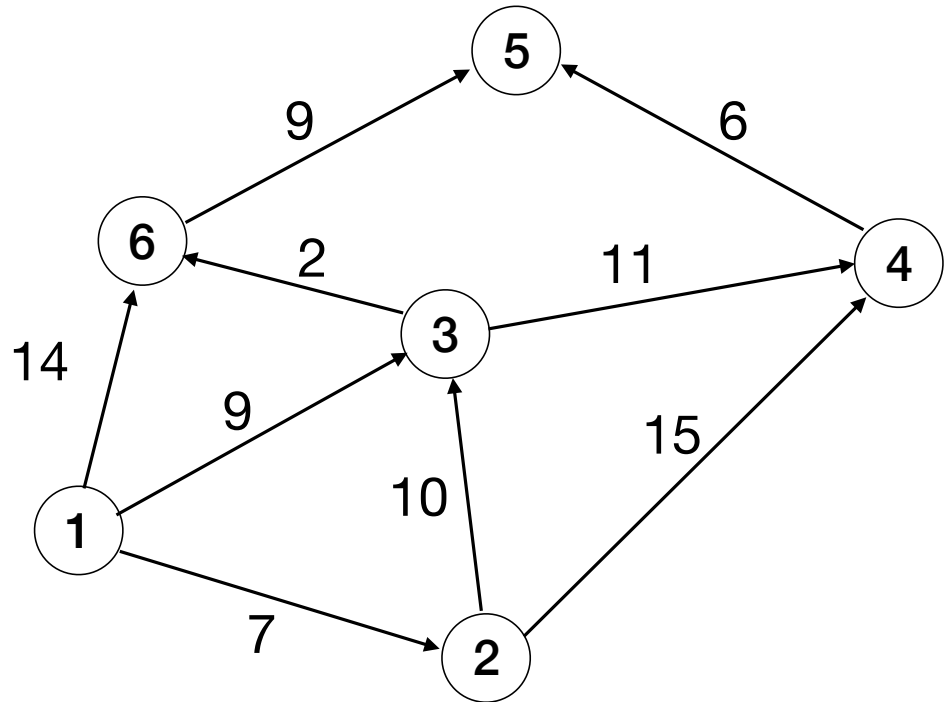


# Dijkstra Practice

Run Dijkstra's algorithm on the graph starting at node 1.

F: ~~1~~ ~~2~~ ~~3~~ ~~6~~ ~~4~~ 5

S: 1 2 3 6 4 5



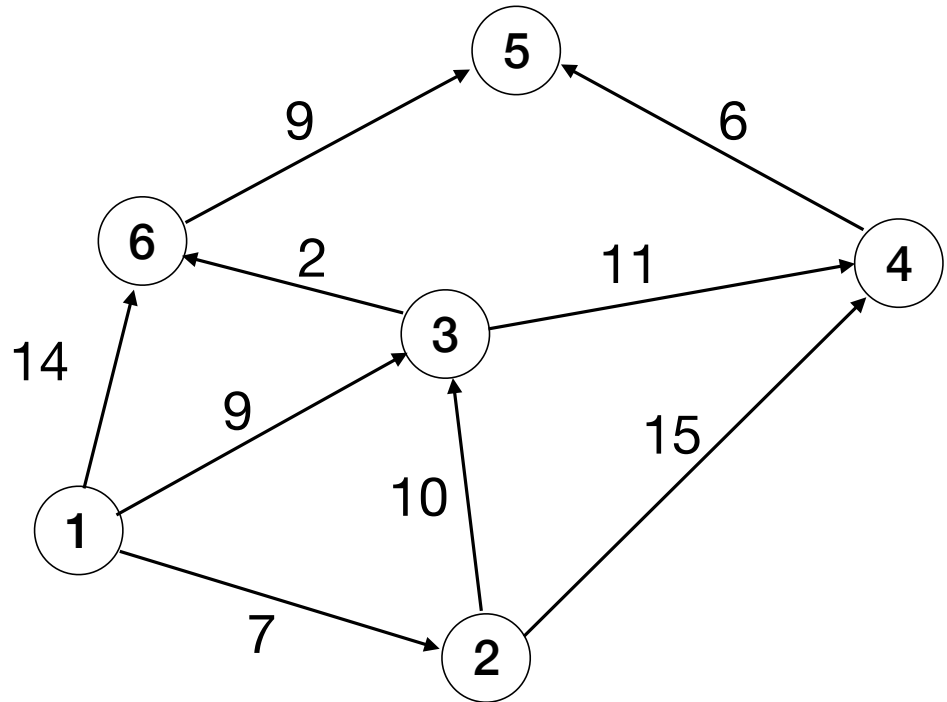
n	d	bp
1	0	null
2	7	1
3	9	1
4	<del>2</del> 20	<del>3</del>
5	20	6
6	<del>14</del> 11	<del>3</del>

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Run Dijkstra's algorithm on the graph starting at node 1.

F: ~~7~~ ~~2~~ ~~3~~ ~~6~~ ~~4~~ ~~5~~

S: 1 2 3 6 4 5



n	d	bp
1	0	null
2	7	1
3	9	1
4	<del>22</del> 20	<del>2</del> 3
5	20	6
6	<del>14</del> 11	<del>1</del> 3