



# CSCI 241

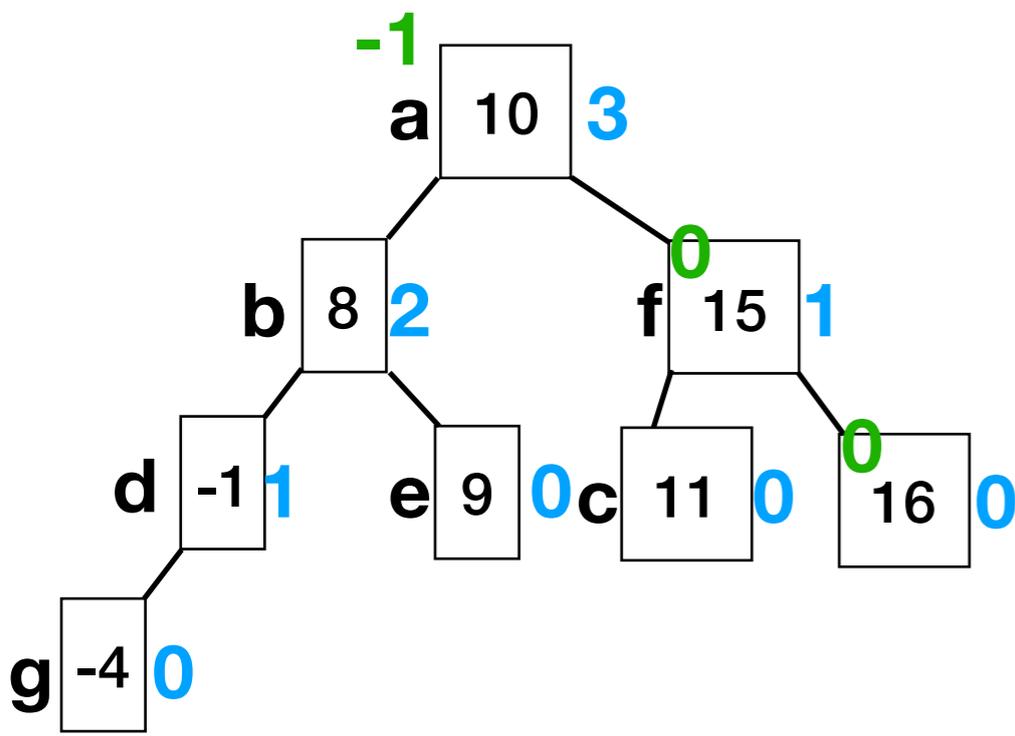
Lecture 14b  
AVL rebalancing

# Goals

- Understand how rebalance decides to what rotations to perform.
- Be prepared implement rebalance.

# AVL Insertion

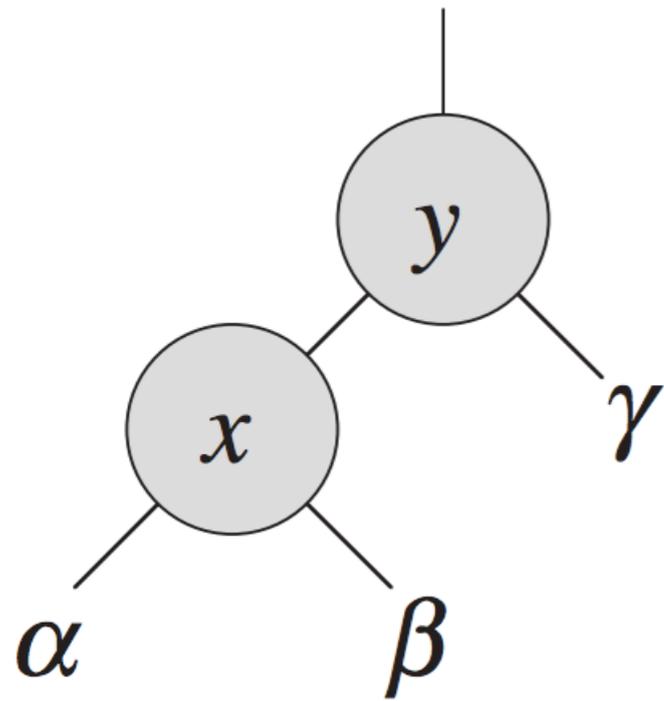
```
insert(Node n, int v):  
    //...(other case, irrelevant here)  
    else: // v > n.value  
        if n has right:  
            insert(n.right, v)  
        else:  
            // attach new node w/ value  
            // v to n.right  
            rebalance(n);
```



How did we know  
what rotation to do?

```
insert(a, 16)  
=>insert(c, 16)  
=>insert(f, 16)  
=>attach new node  
    rebalance(f) already balanced  
    rebalance(c) perform rotation  
    rebalance(a) already balanced
```

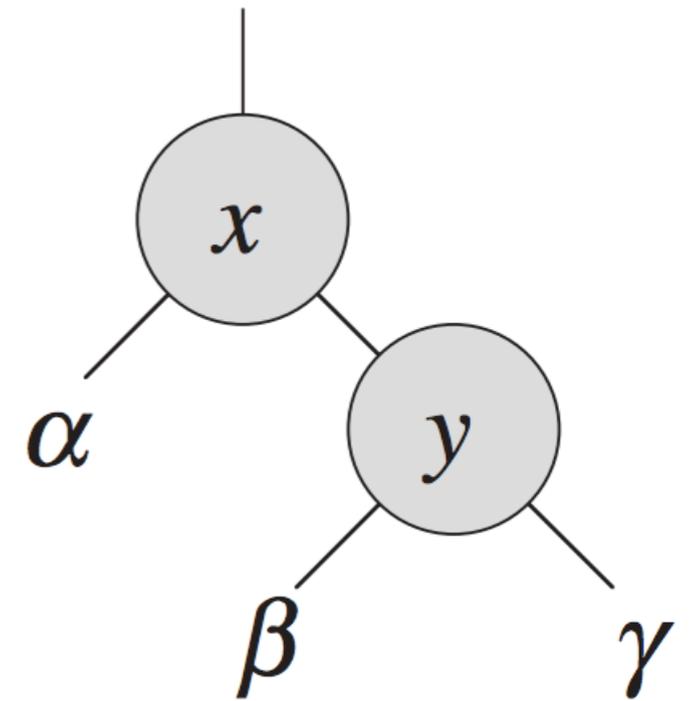
# Reminder: Tree Rotations



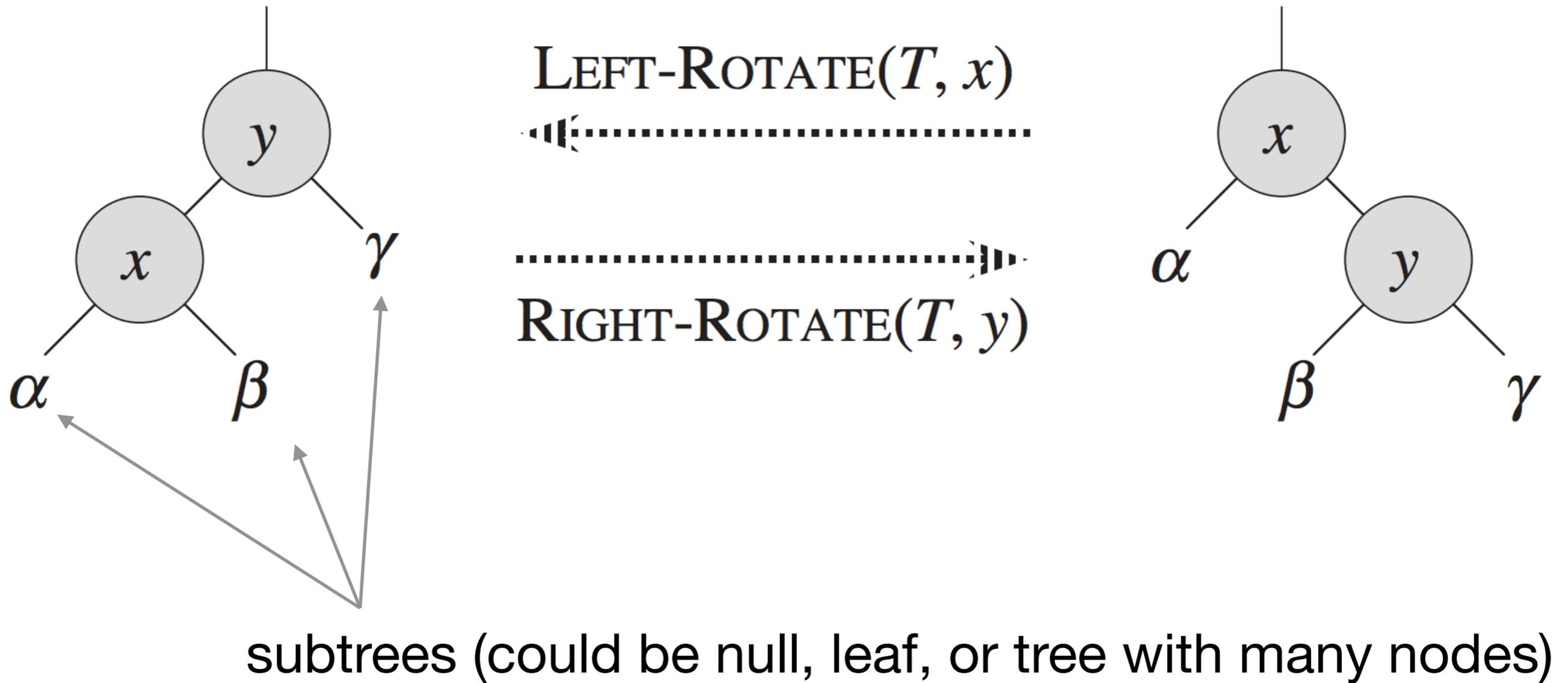
LEFT-ROTATE( $T, x$ )



RIGHT-ROTATE( $T, y$ )

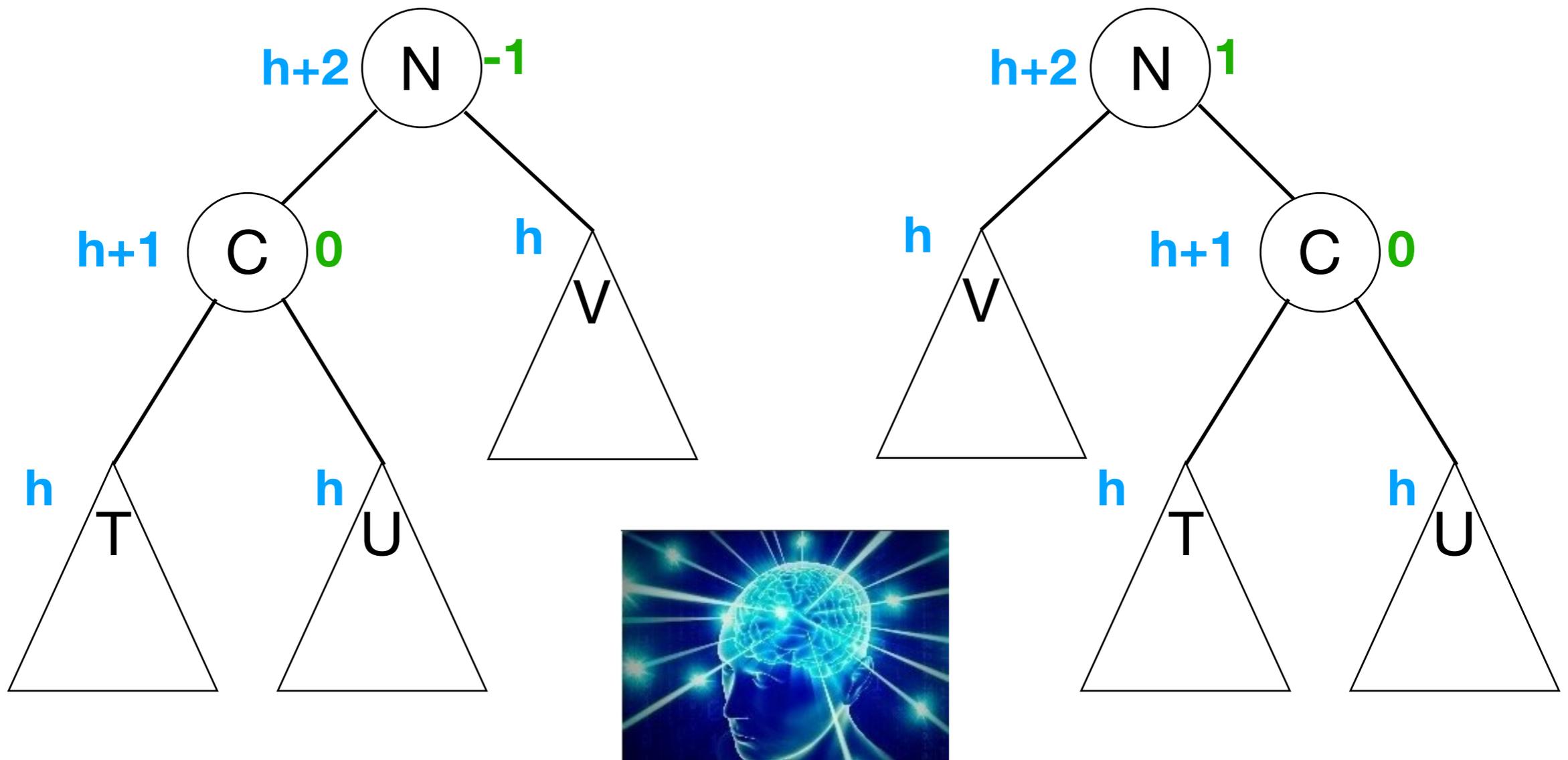


# Reminder: Tree Rotations



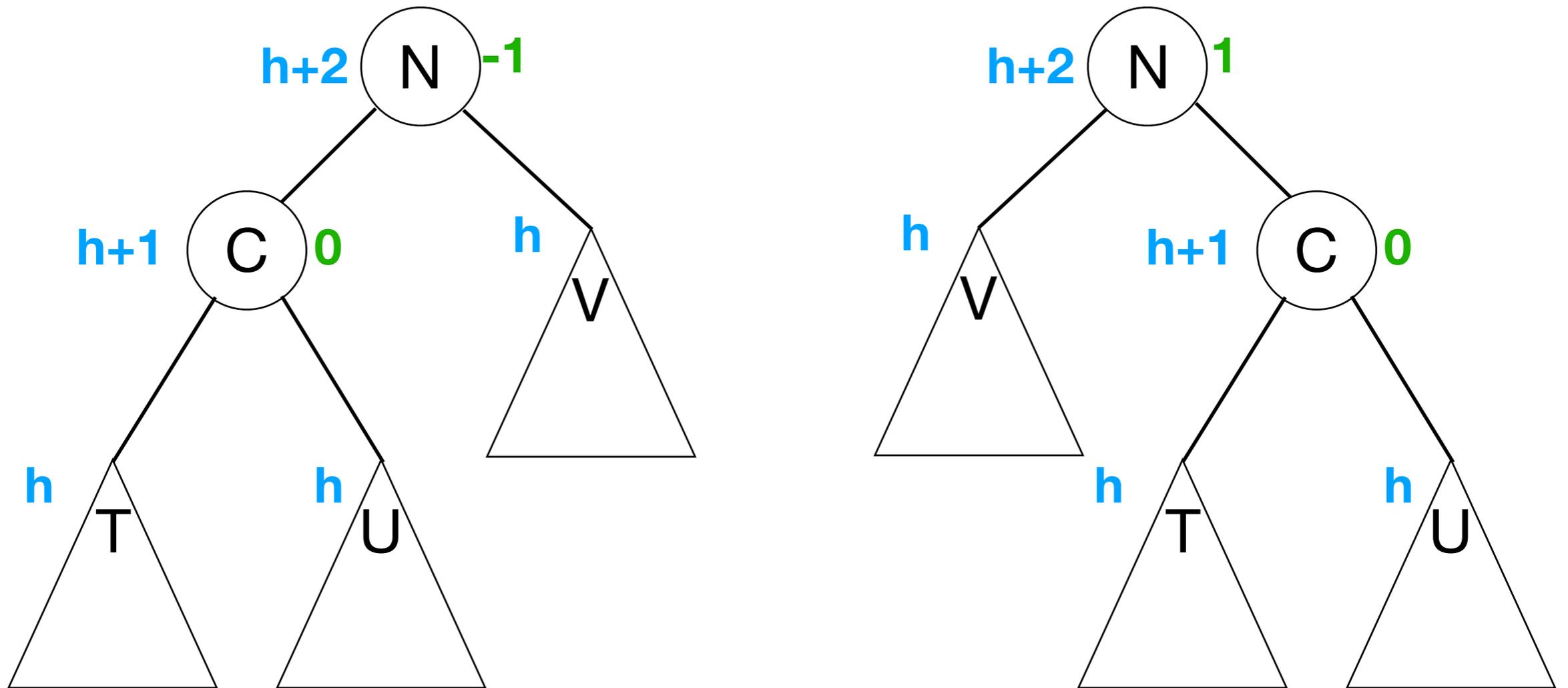
# AVL Rebalance

Before an insertion that unbalances  $n$ , the tree must look like one of these:



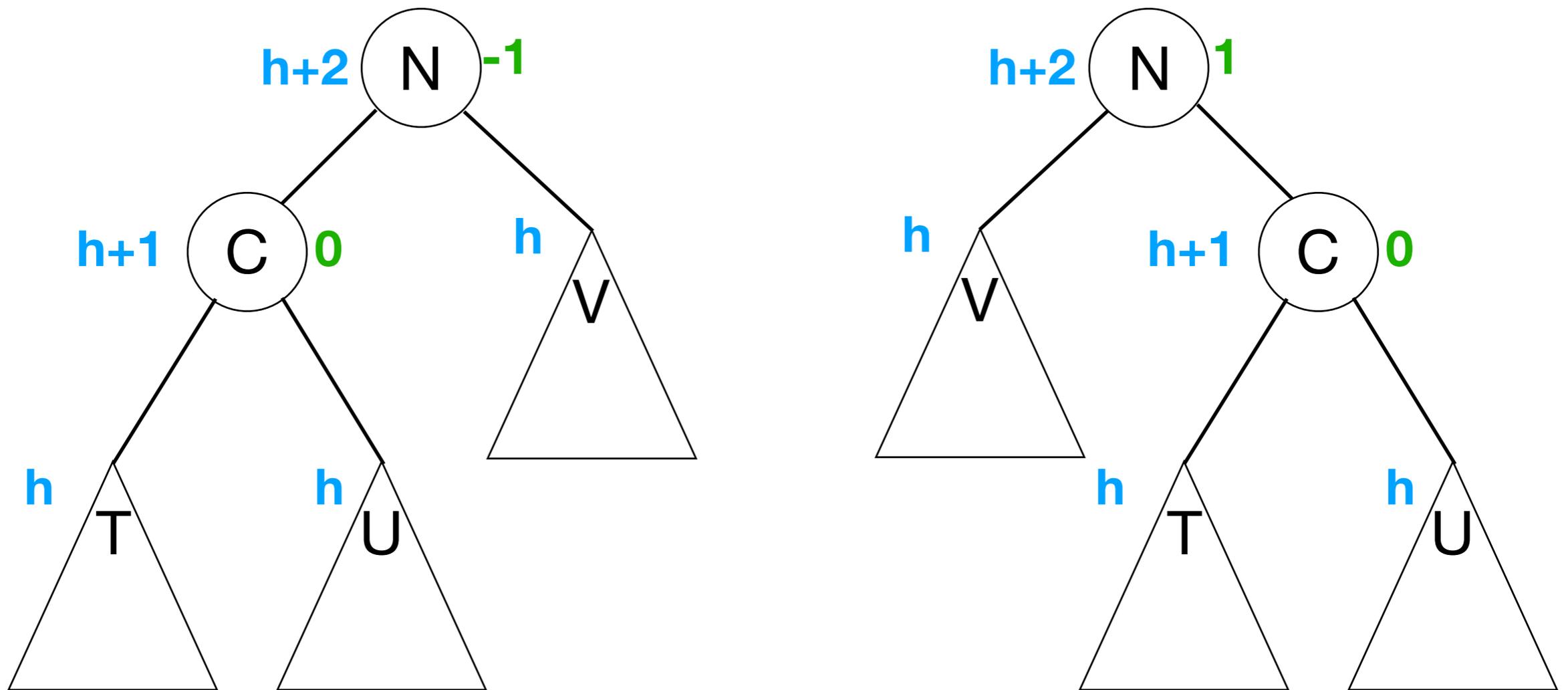
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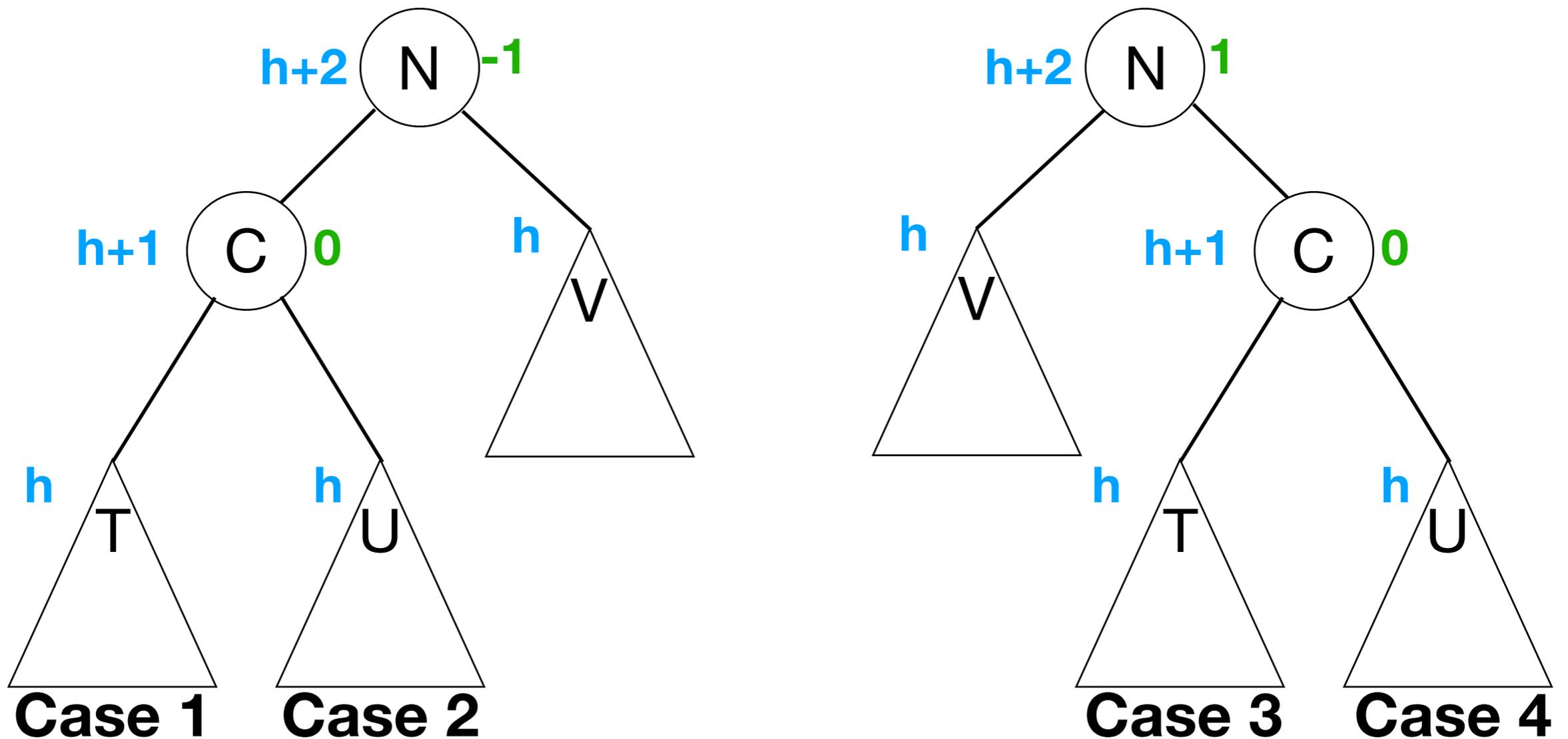
Before an insertion that unbalances N, the tree must look like one of these:



An insertion that *unbalances* N could go one of four places.

# AVL Rebalance

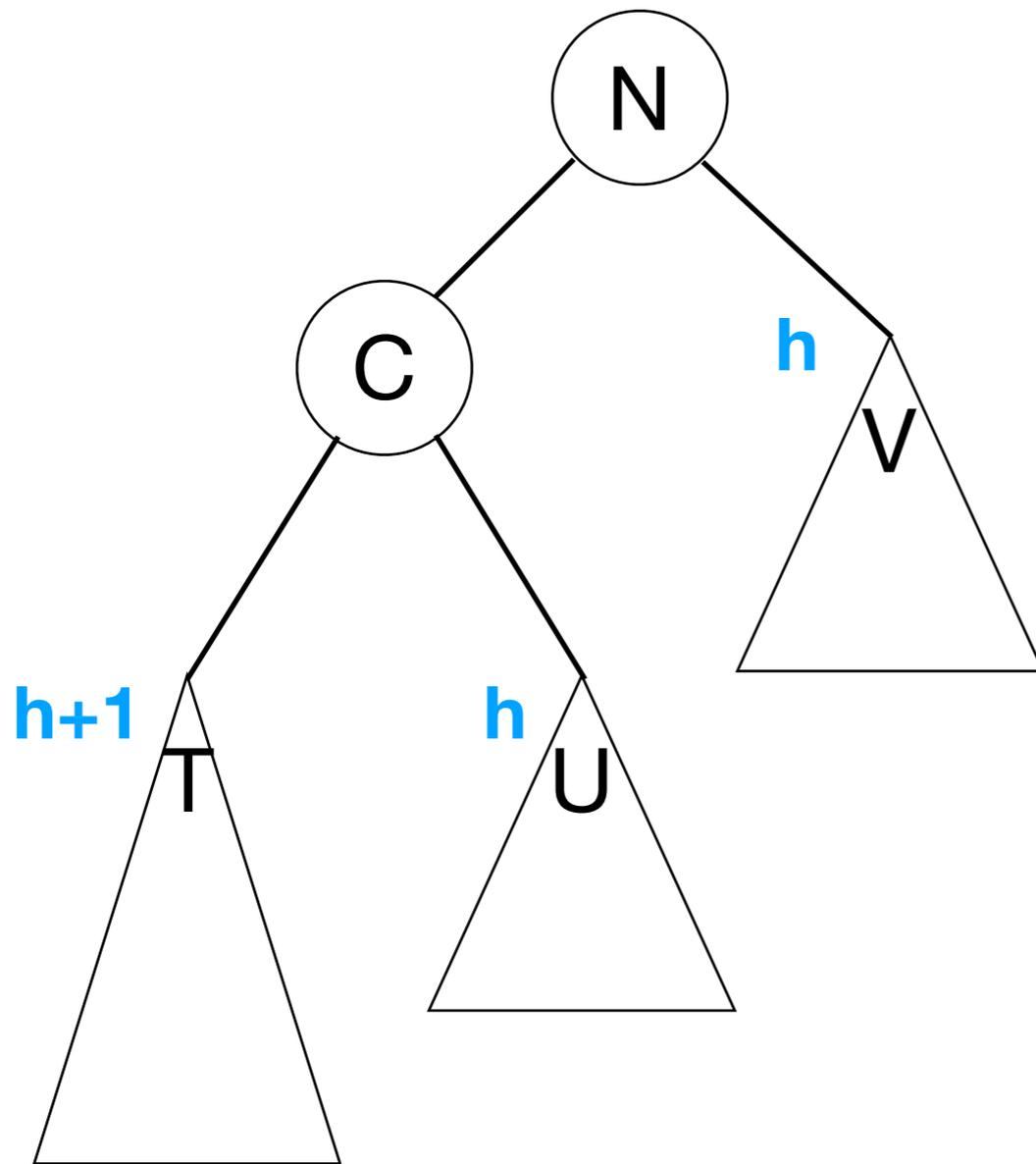
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

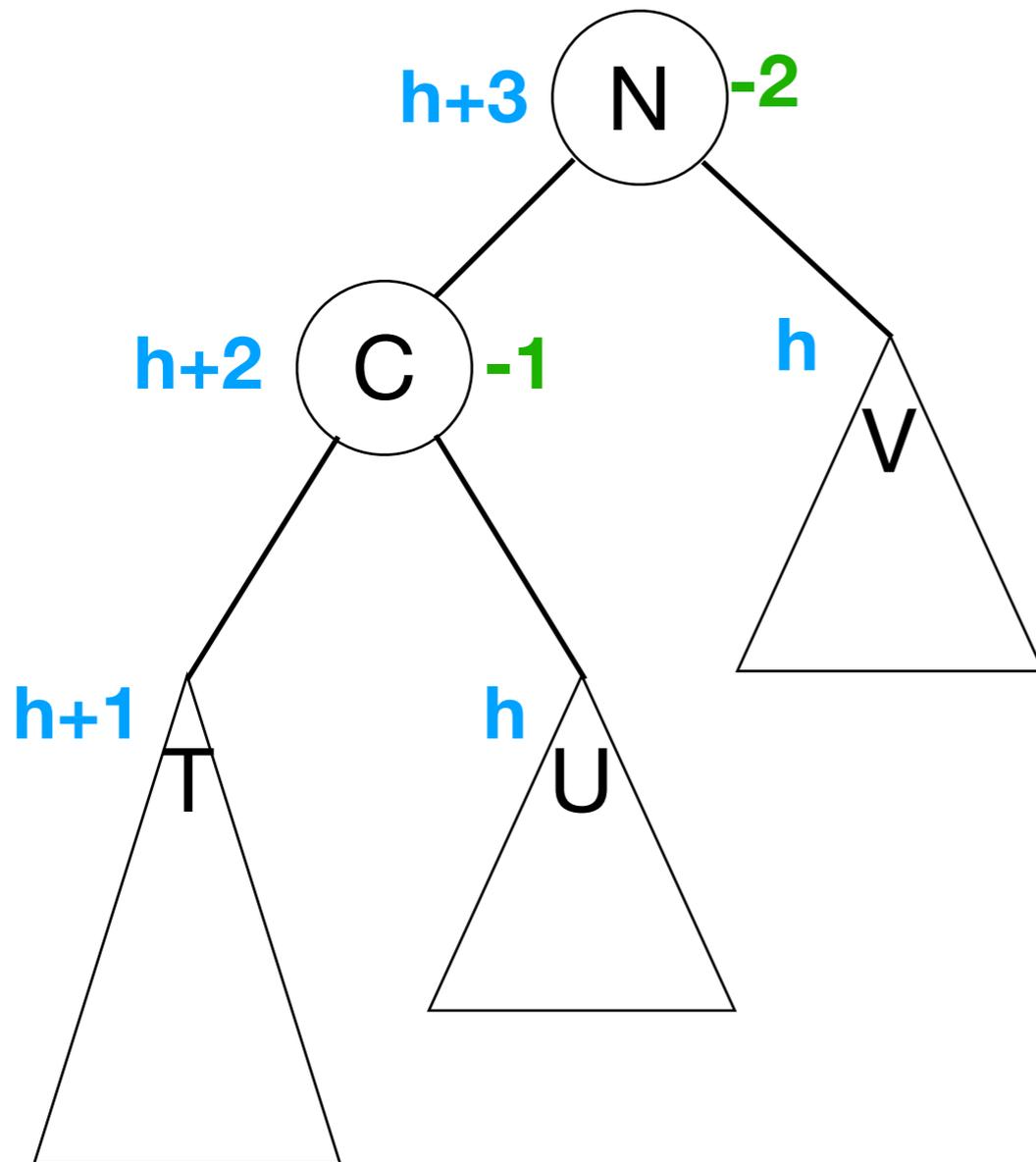
# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.



# AVL Rebalance

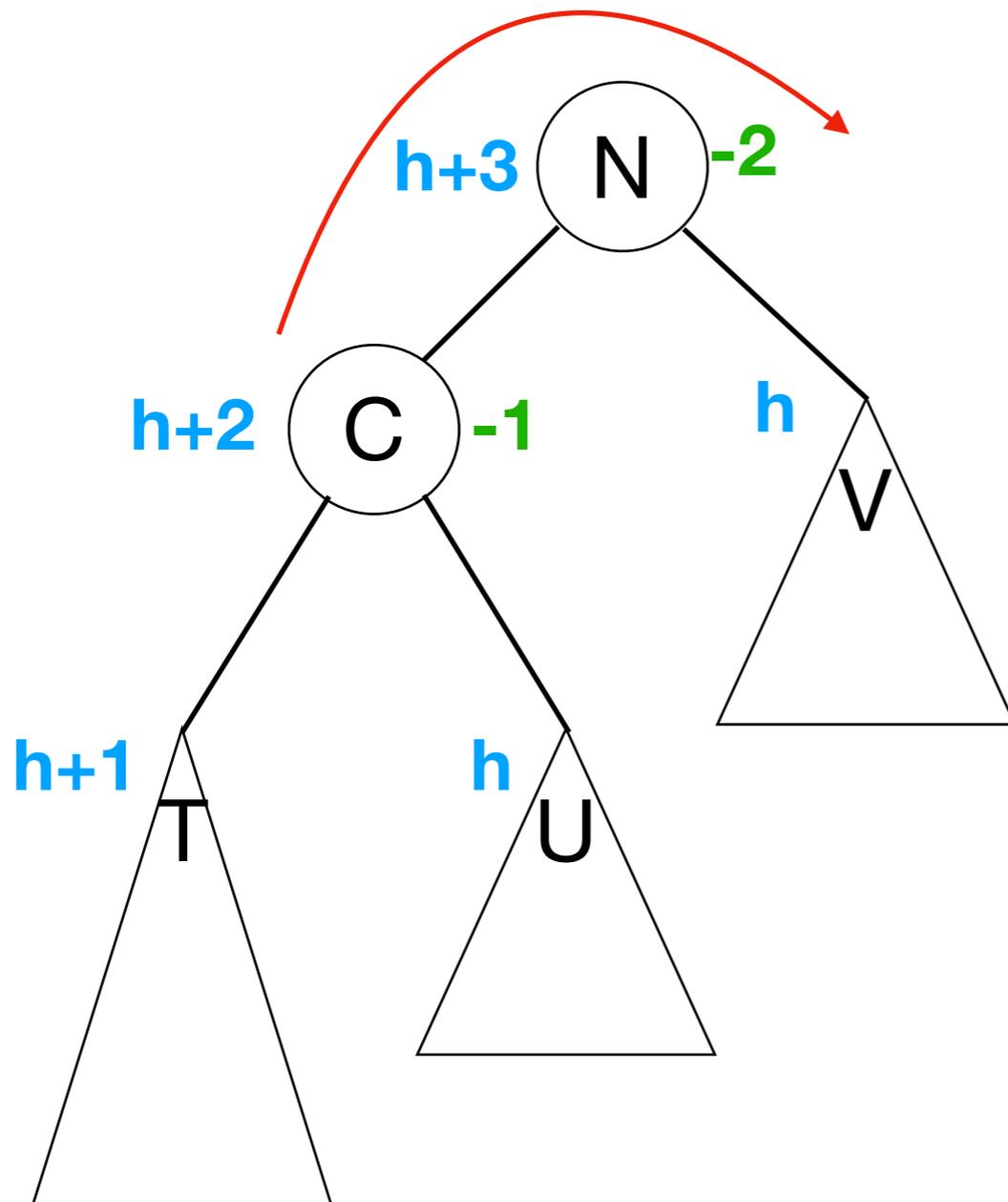
**Case 1:** After BST insertion step, the tree looks like this.



# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.

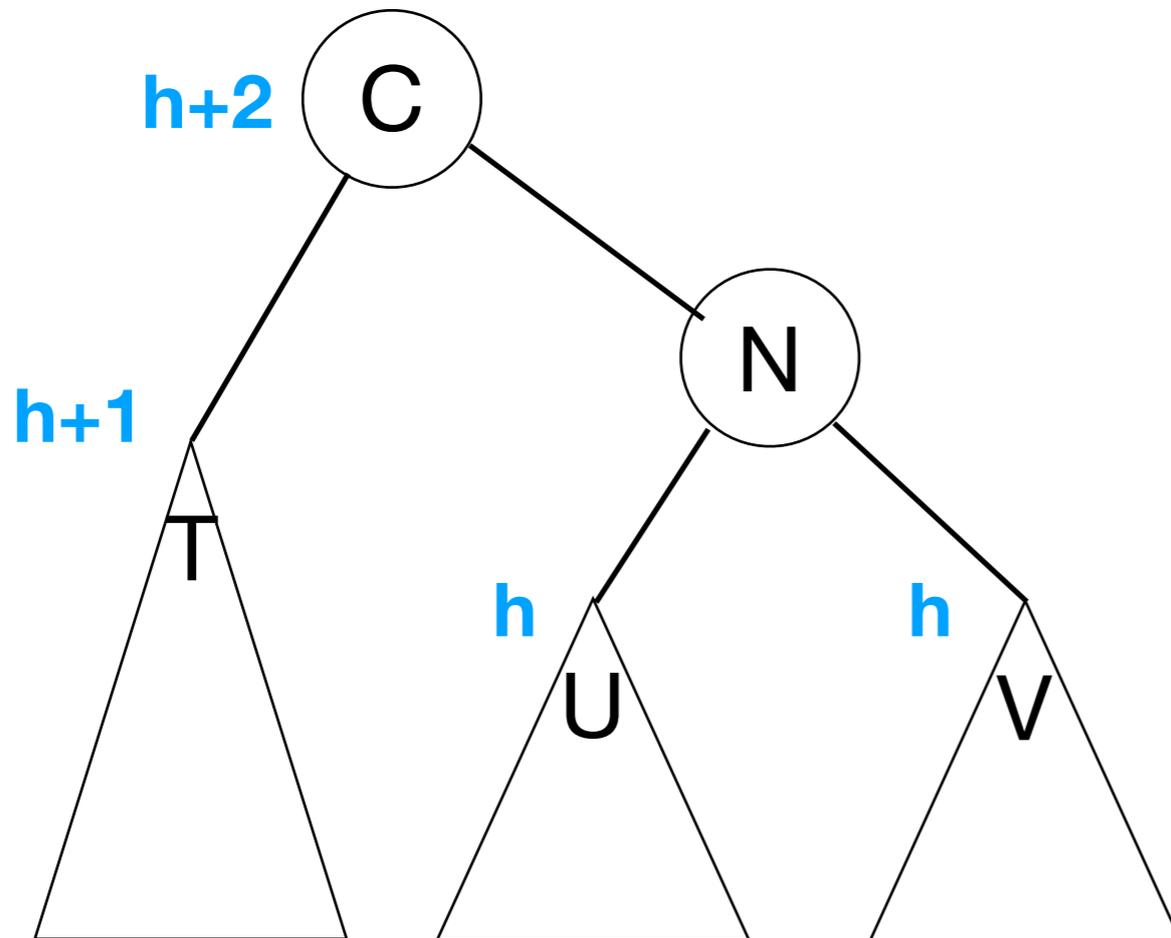
Solution: right rotate on N.



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**Case 1:** After BST insertion step, the tree looks like this.

Solution: right rotate on N.

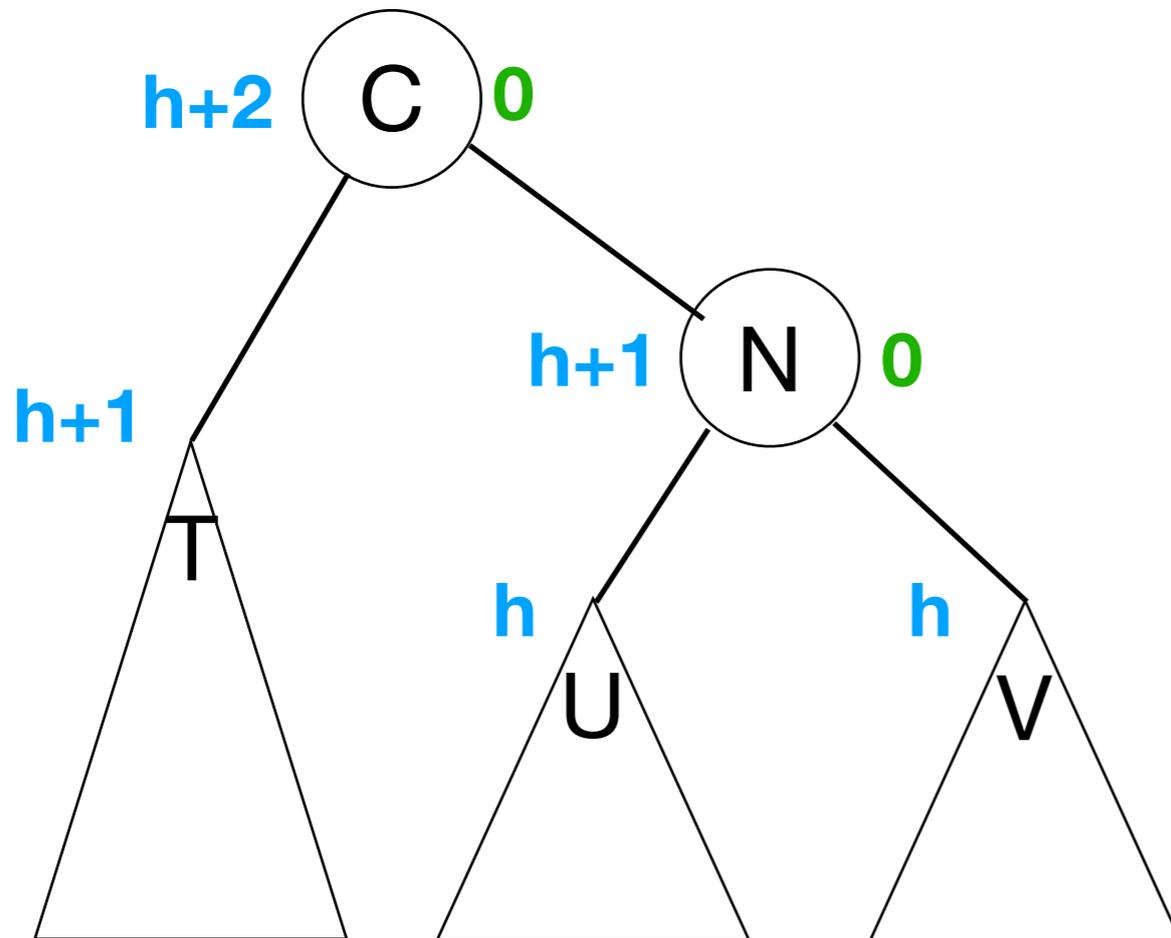


# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.

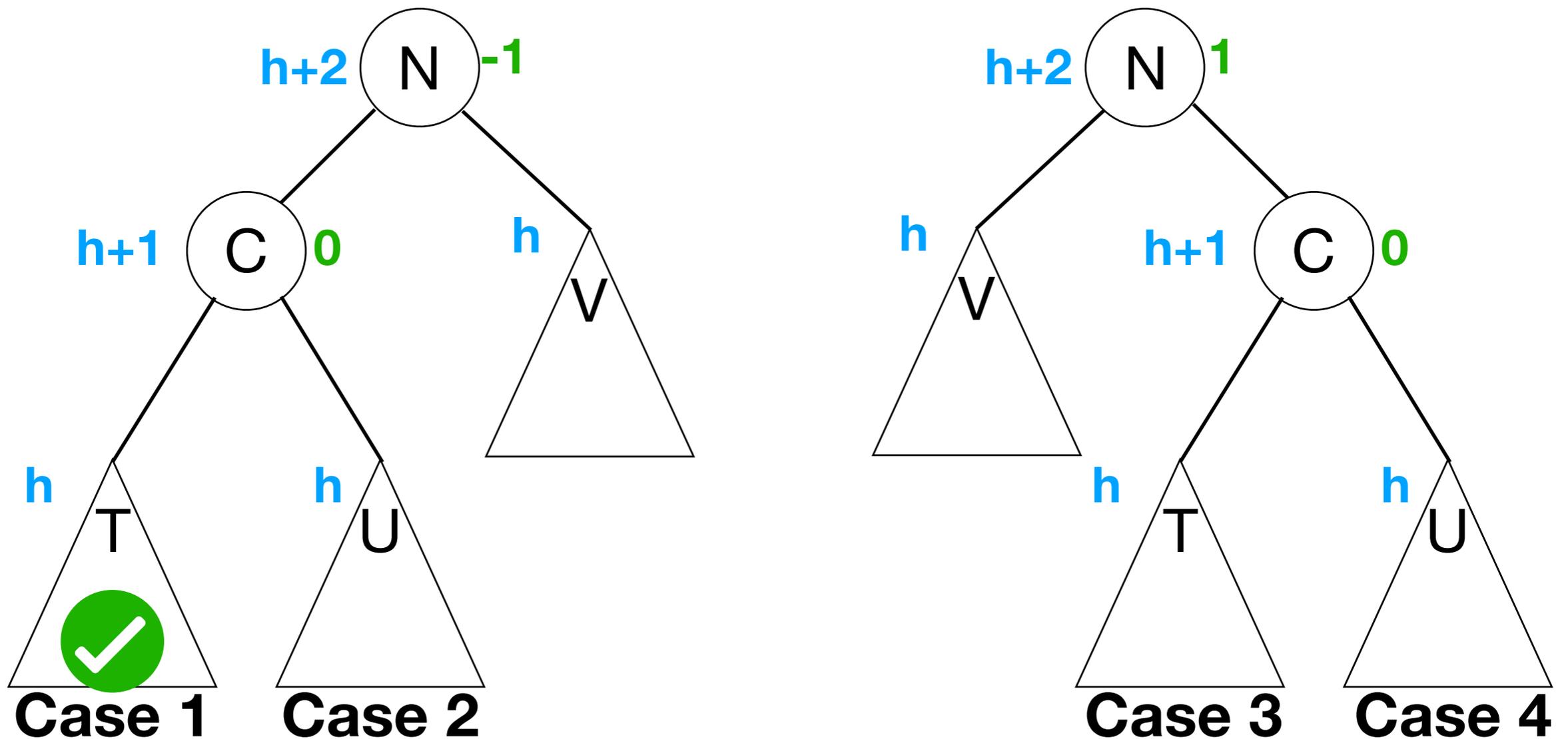
Solution: right rotate on N.

N is now AVL balanced.



# AVL Rebalance

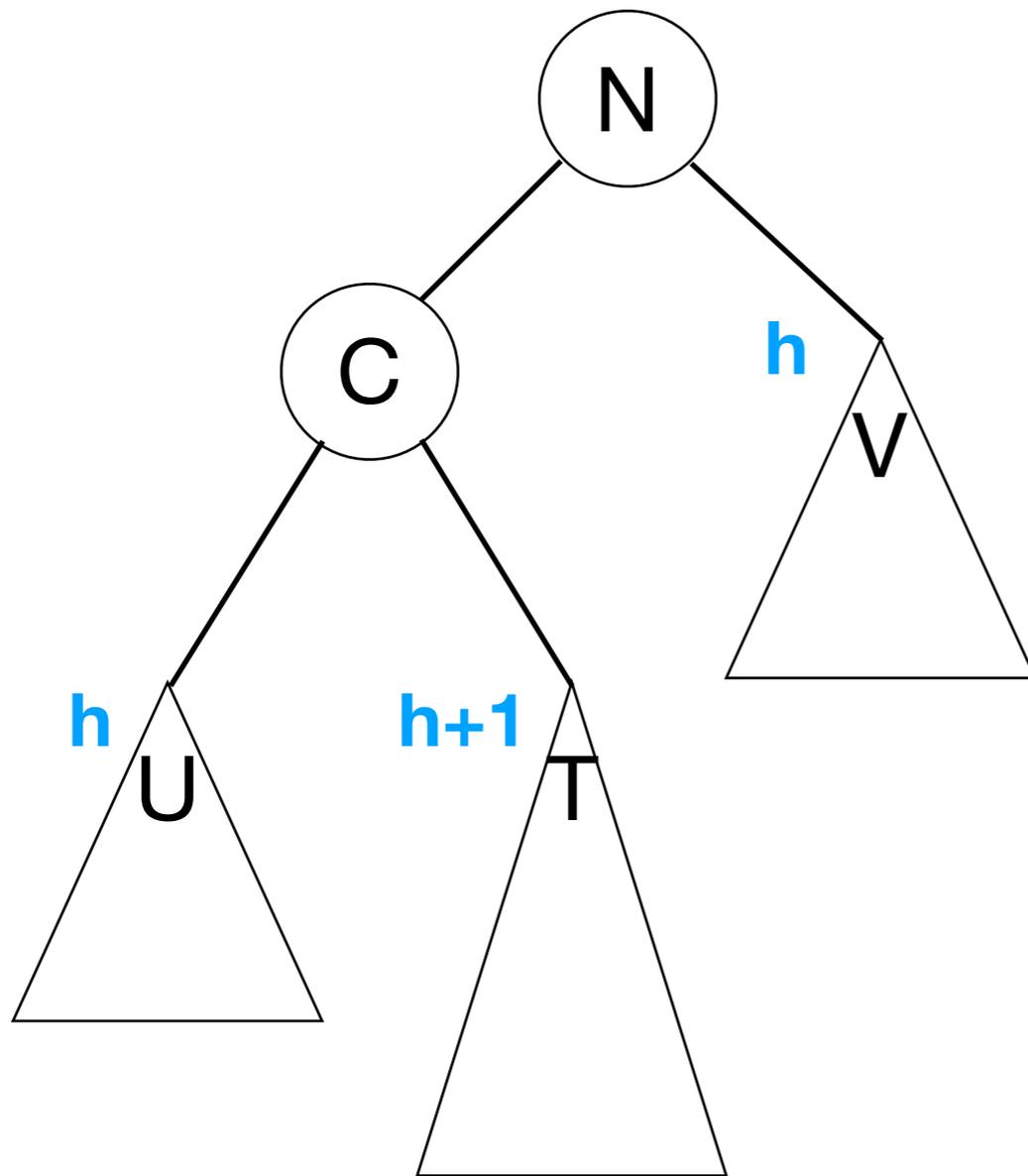
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

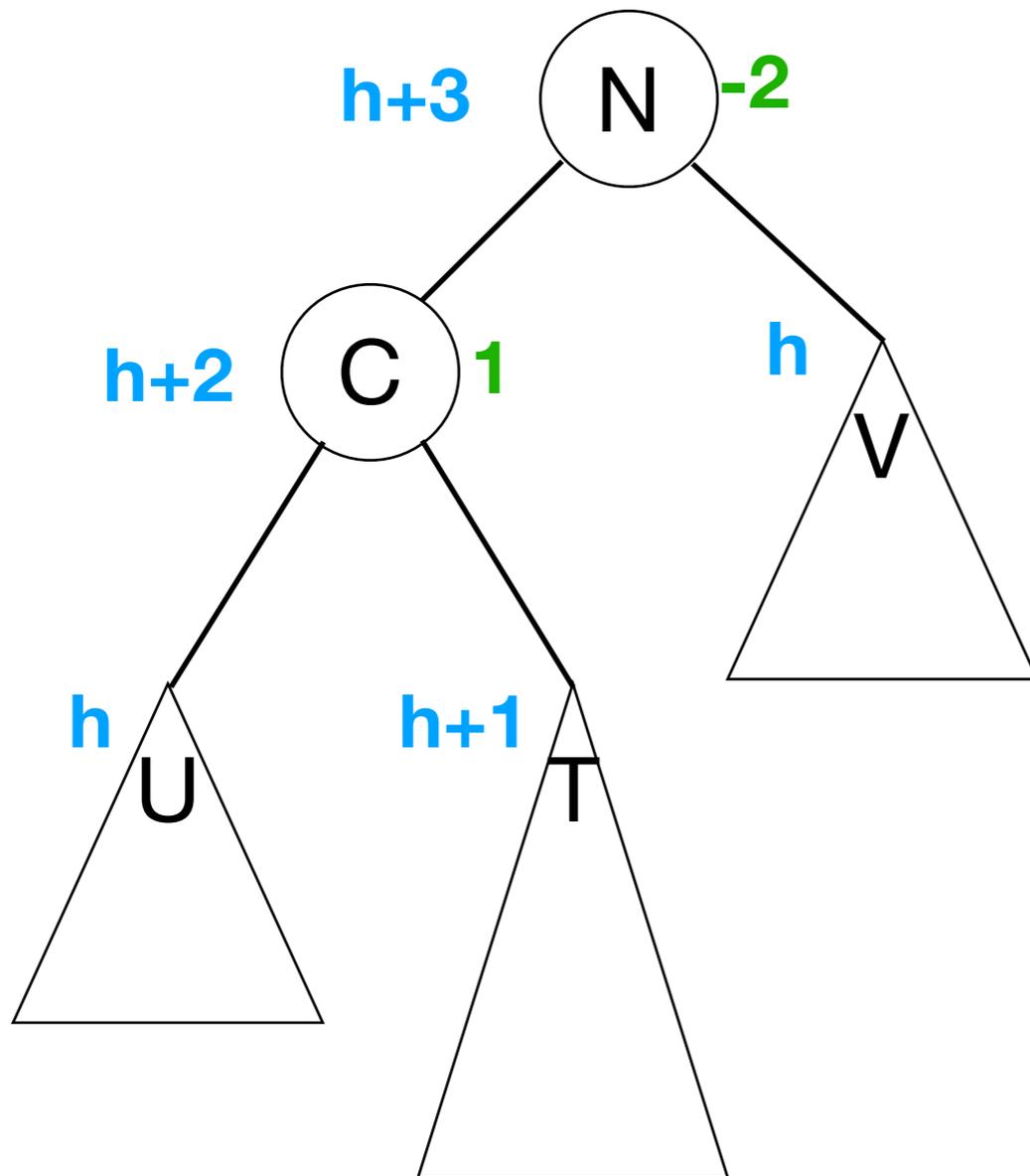
# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



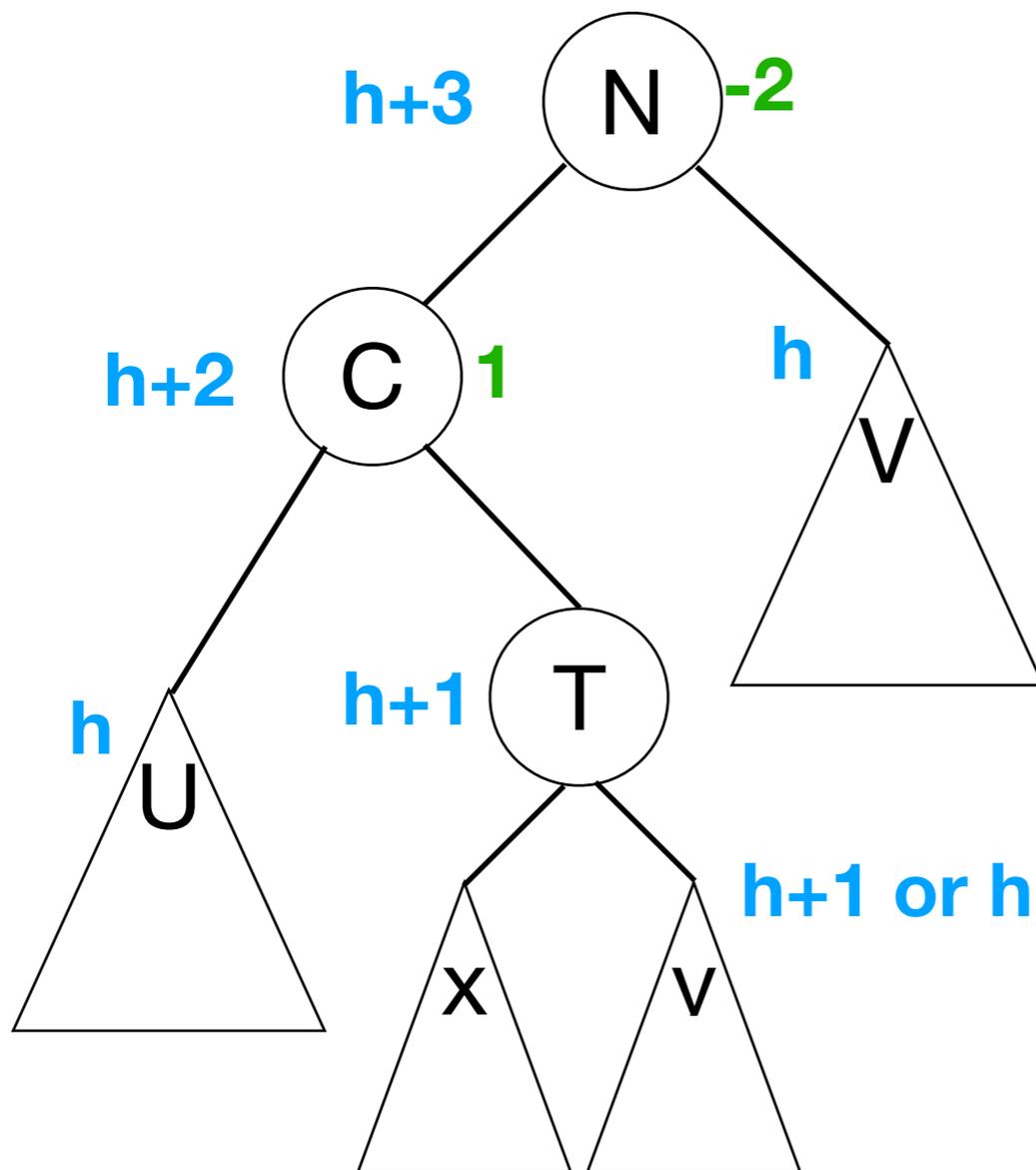
# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



# AVL Rebalance

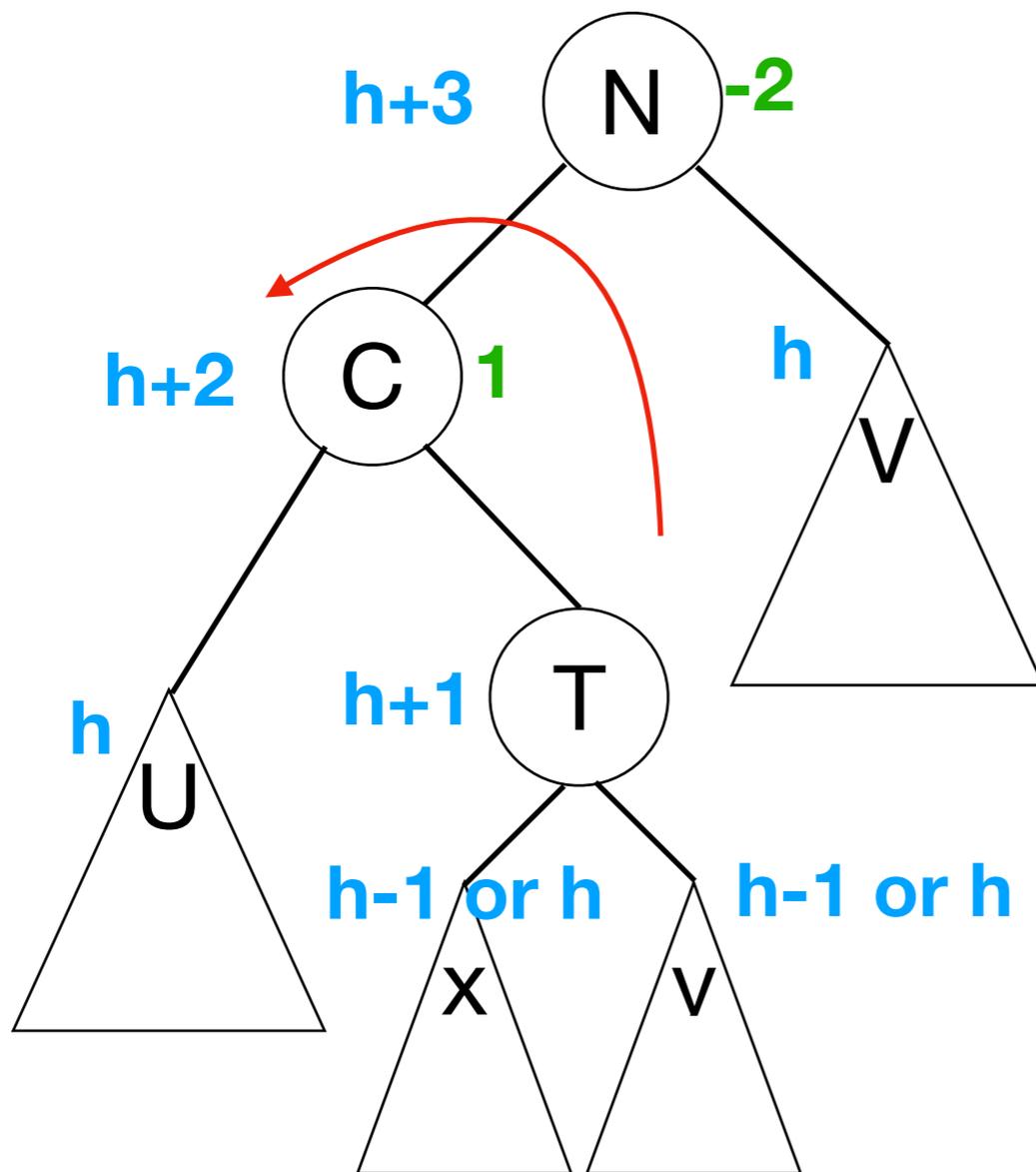
**Case 2:** After BST insertion step, the tree looks like this.



- Solution - two rotations:
1. Left rotate C
  2. Right rotate N

# AVL Rebalance

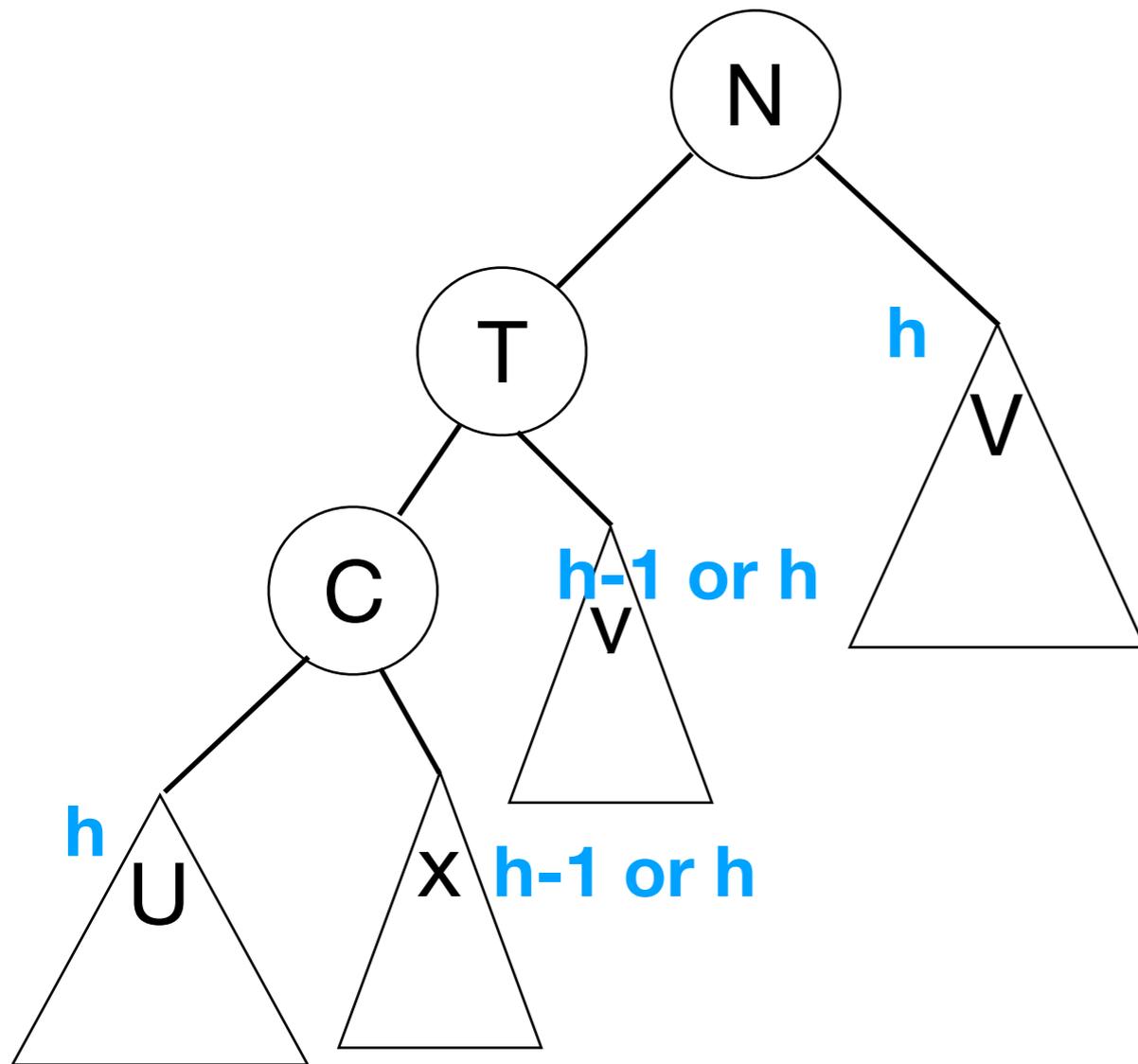
**Case 2:** After BST insertion step, the tree looks like this.



Solution - two rotations:  
**1. Left rotate C**  
**2. Right rotate N**

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

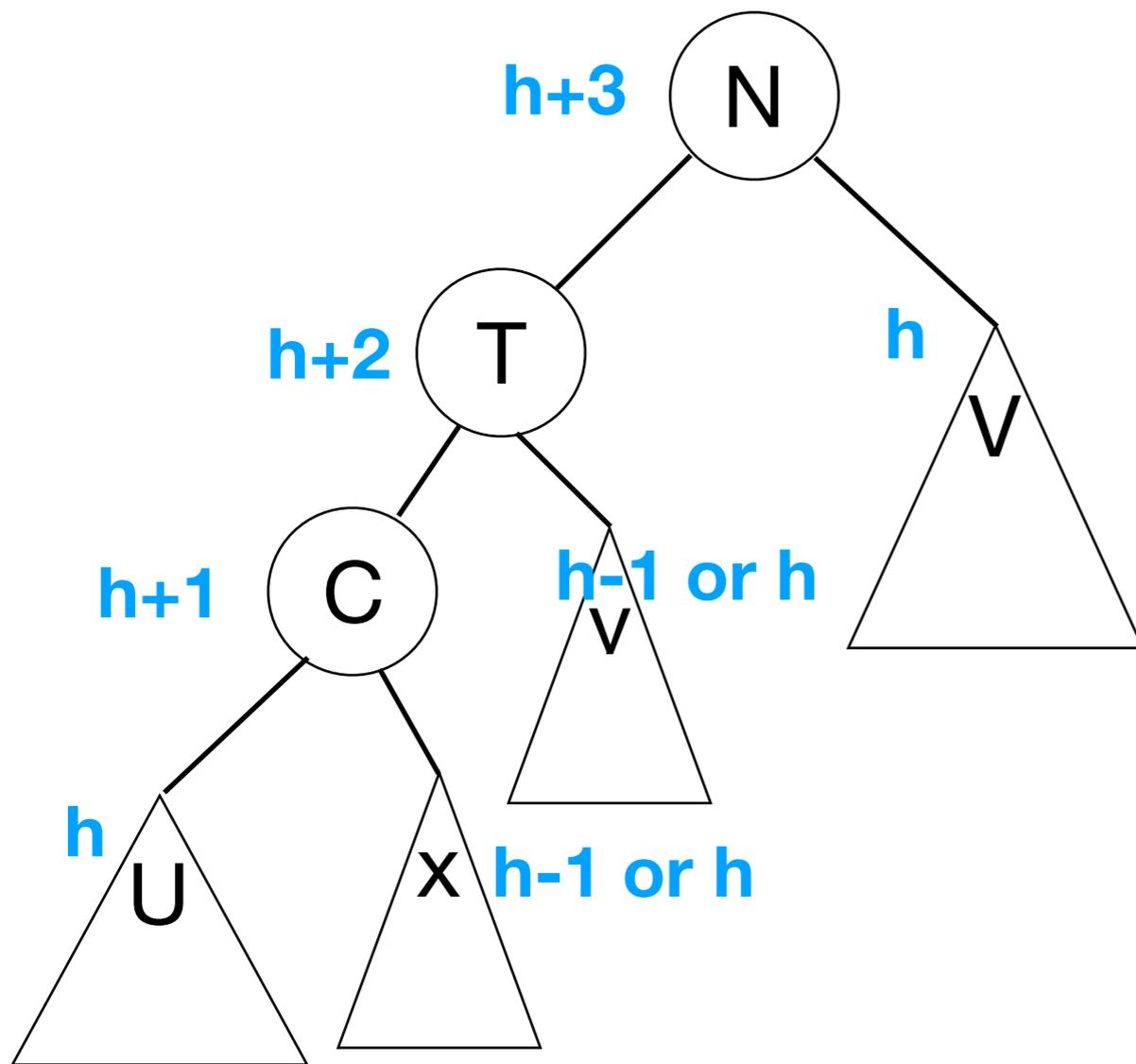


Solution - two rotations:

1. **Left rotate C**
2. **Right rotate N**

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

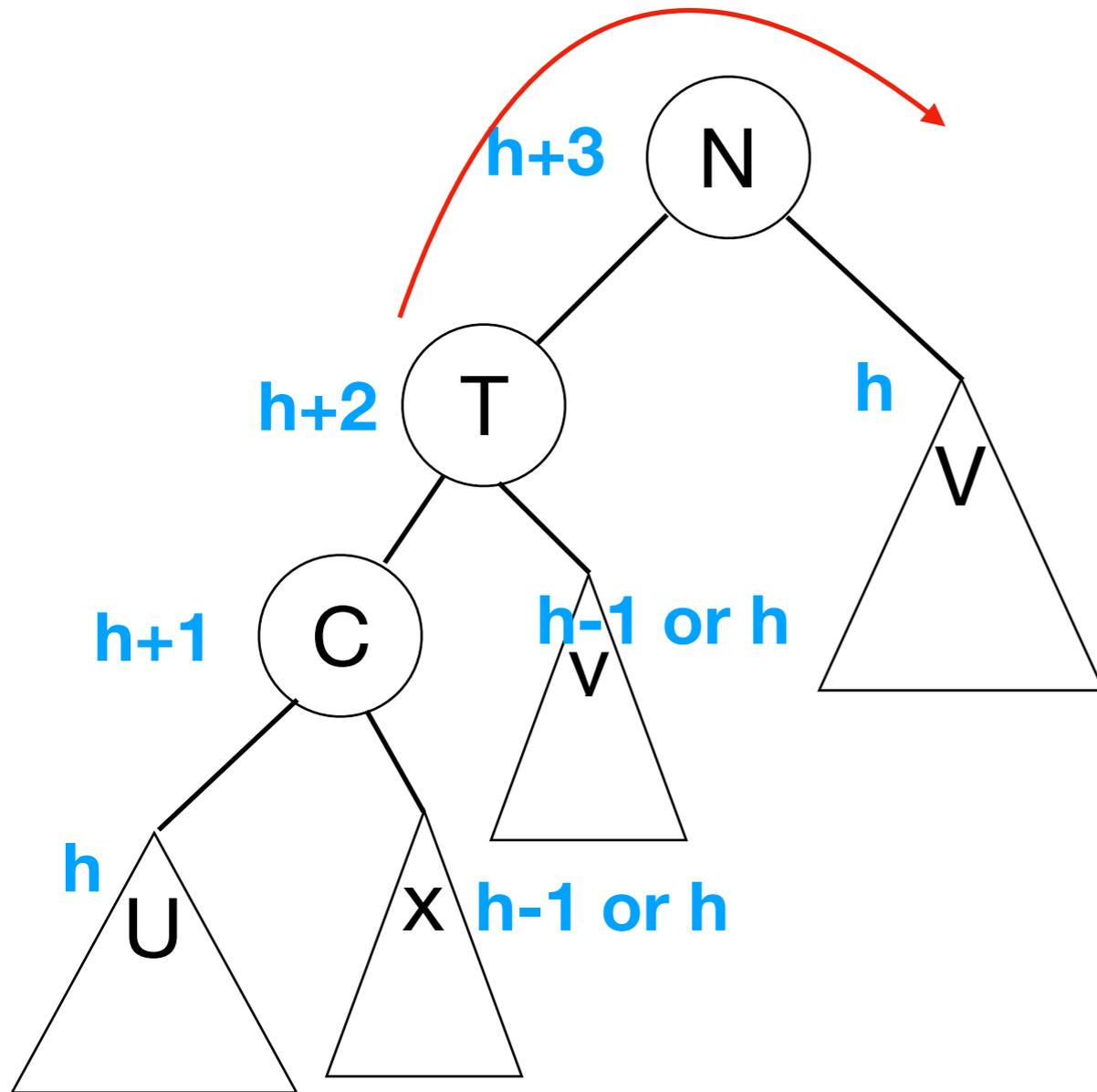


Solution - two rotations:

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2. **Right rotate N**

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

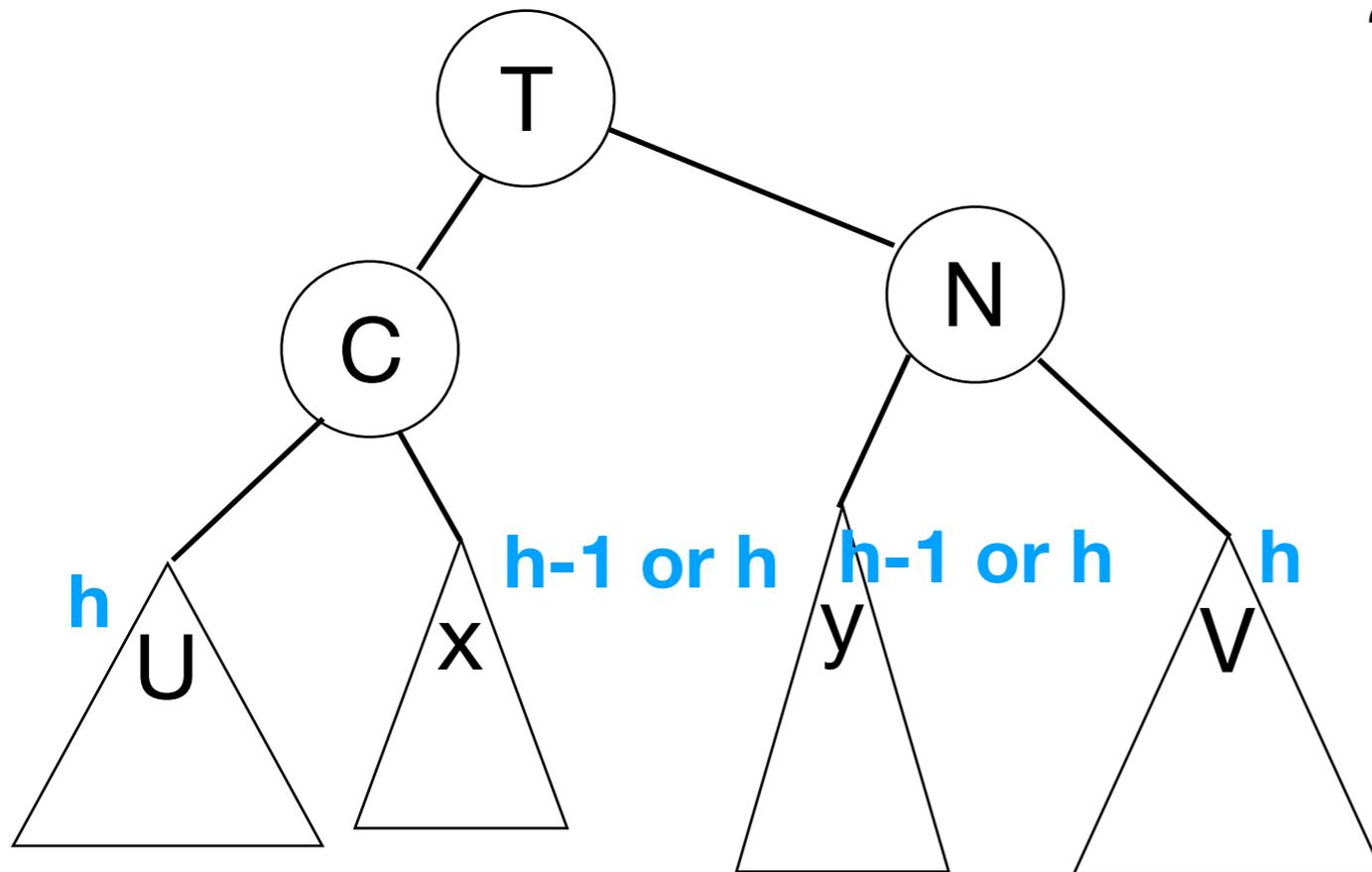


- Solution - two rotations:
1. Left rotate **C**
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# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

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# AVL Rebalance

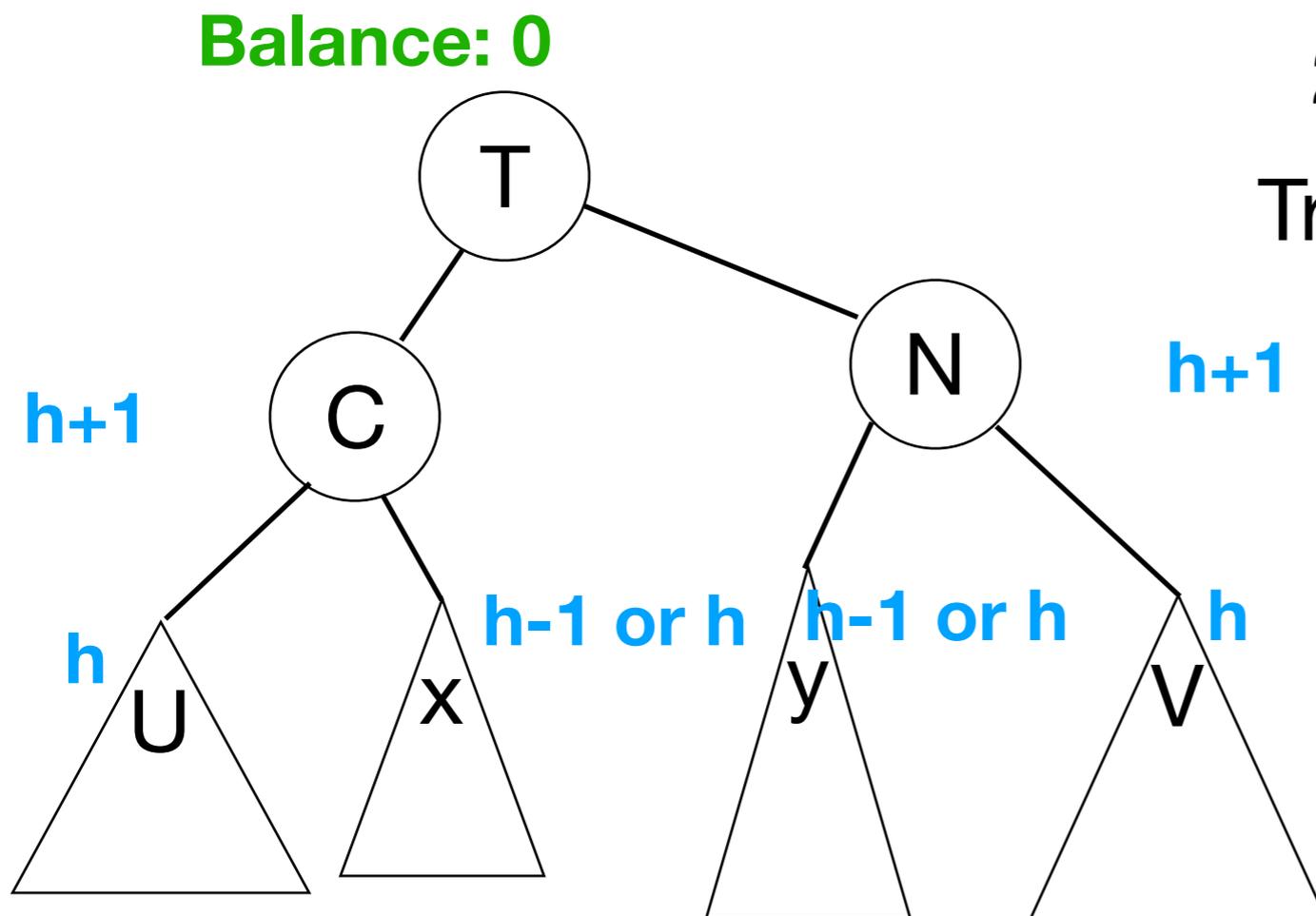
**Case 2:** After BST insertion step, the tree looks like this.

Solution - two rotations:

1. Left rotate C

2. **Right rotate N**

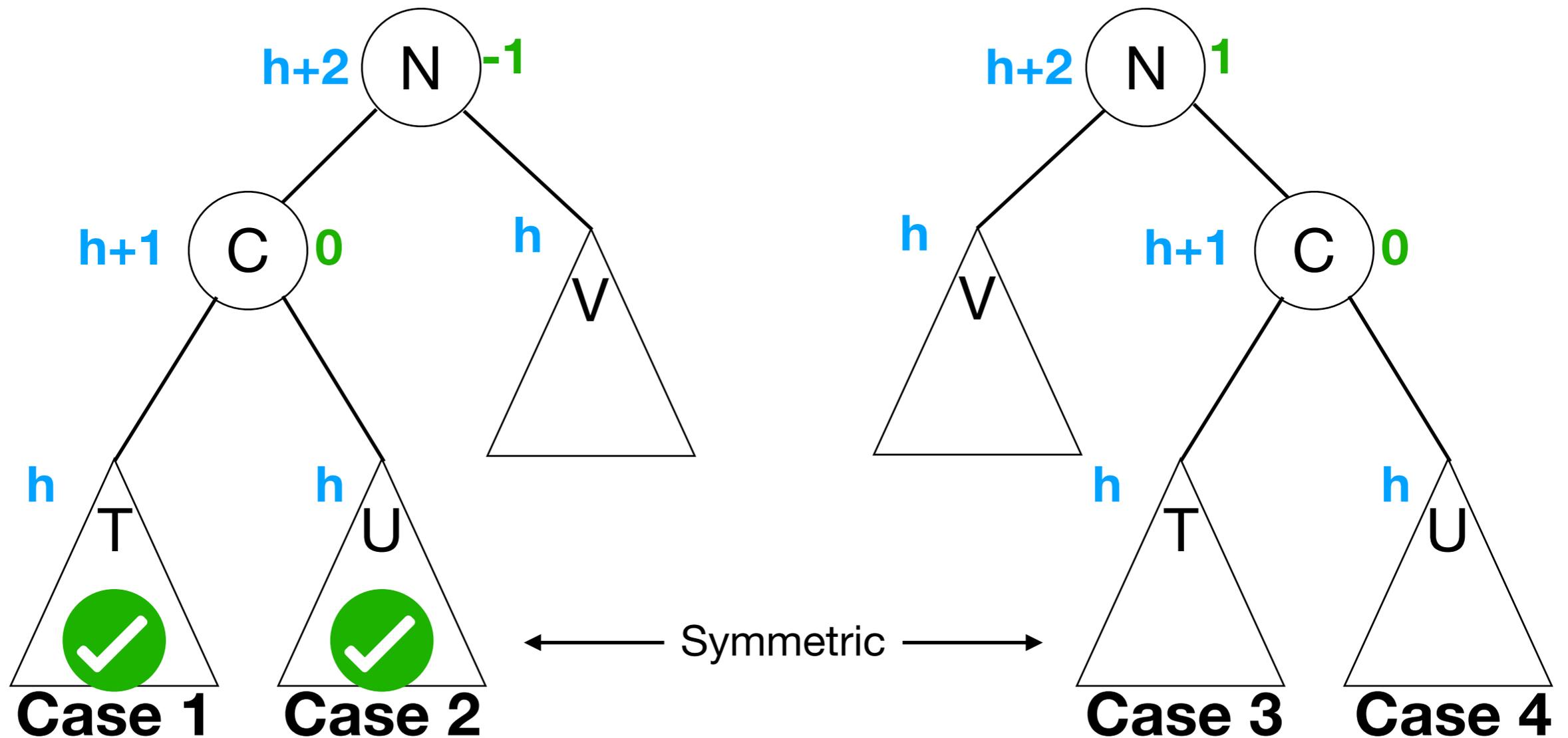
Tree is now AVL balanced.





# AVL Rebalance

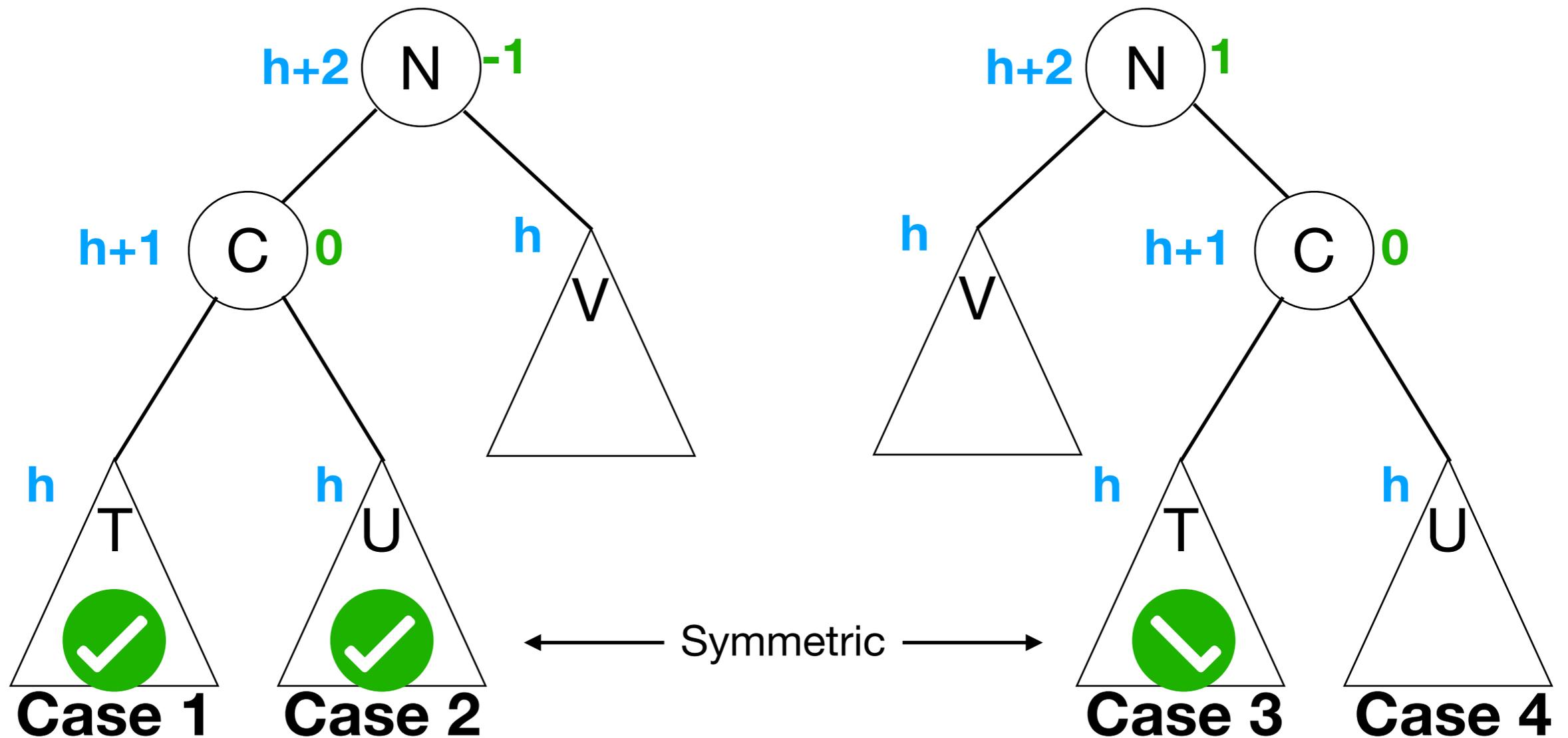
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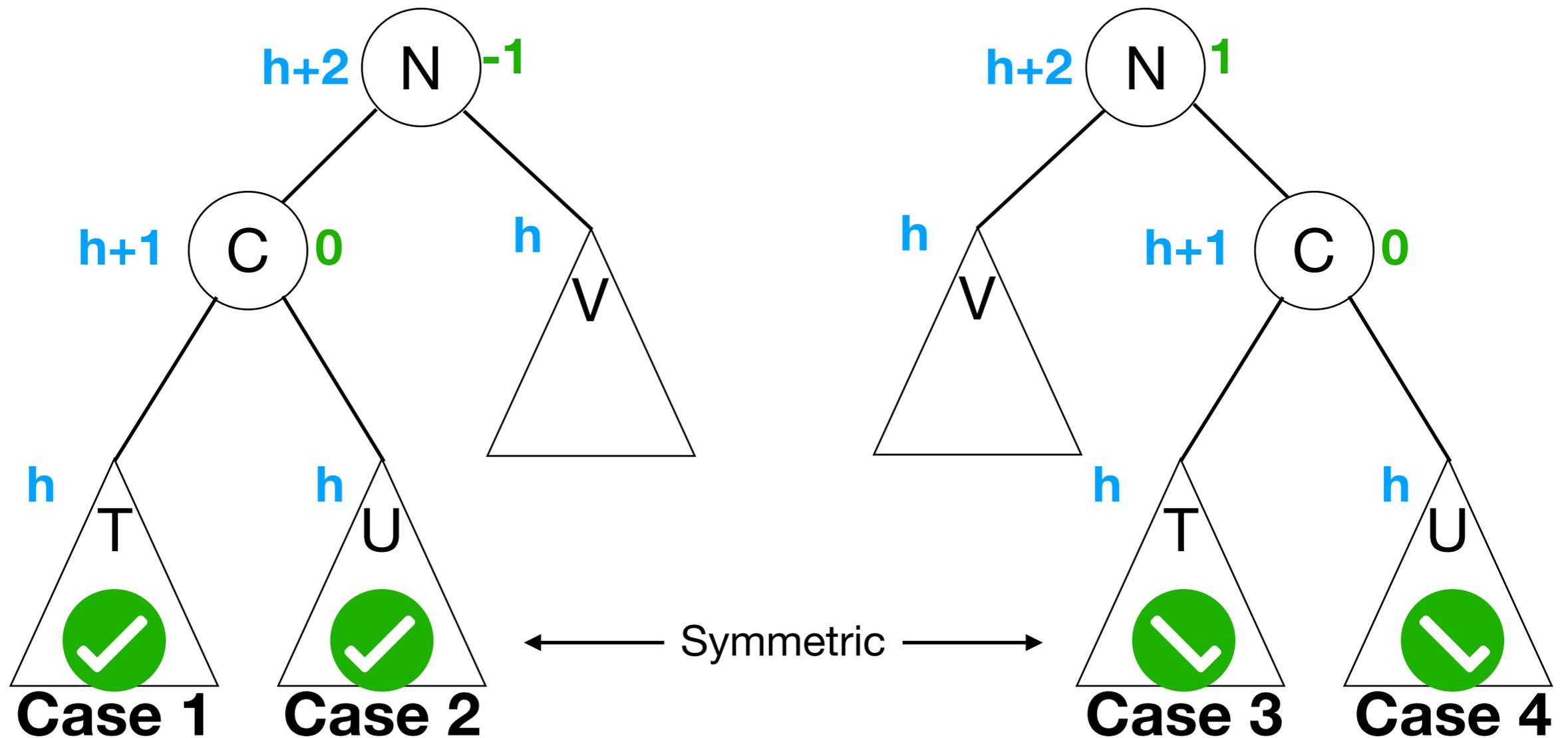
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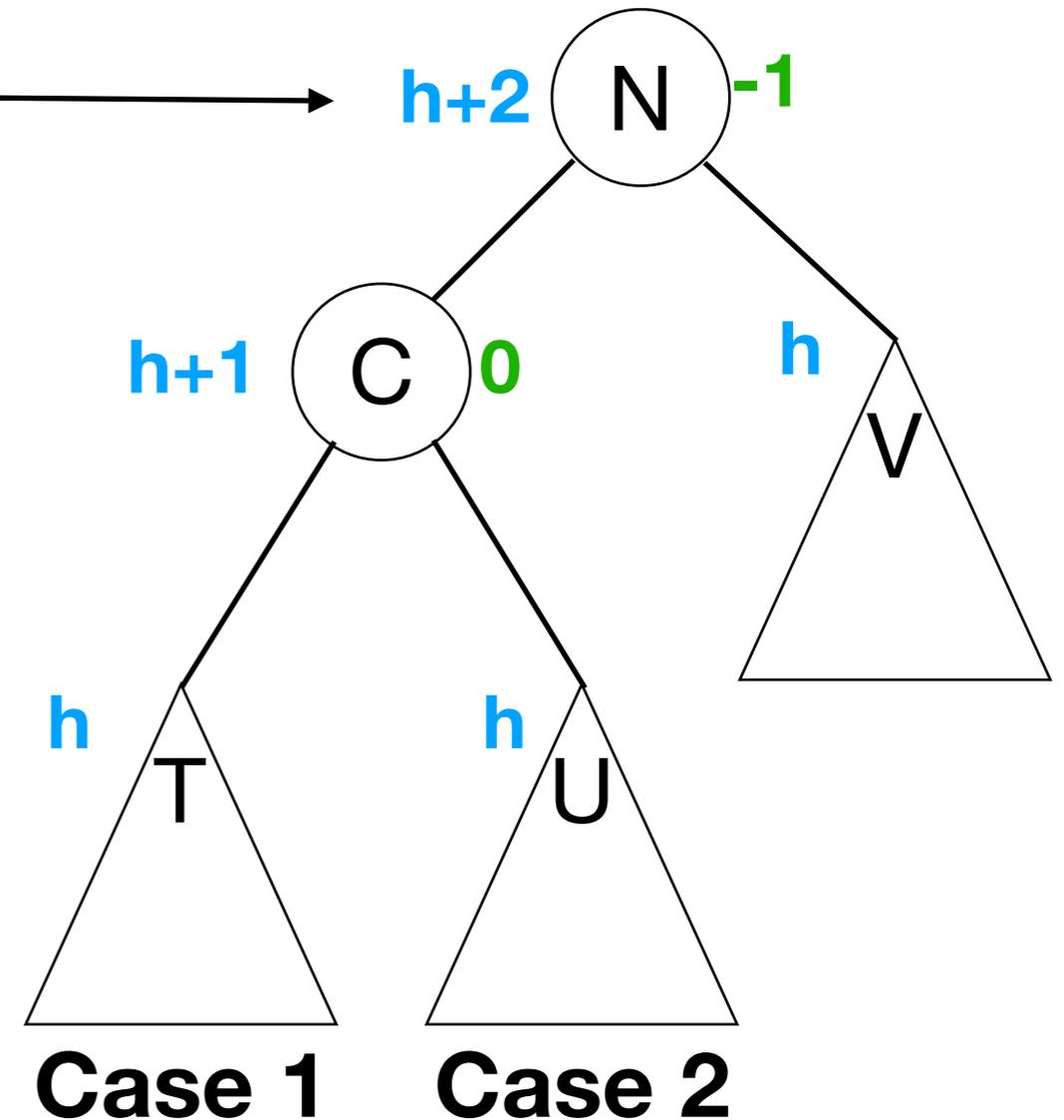
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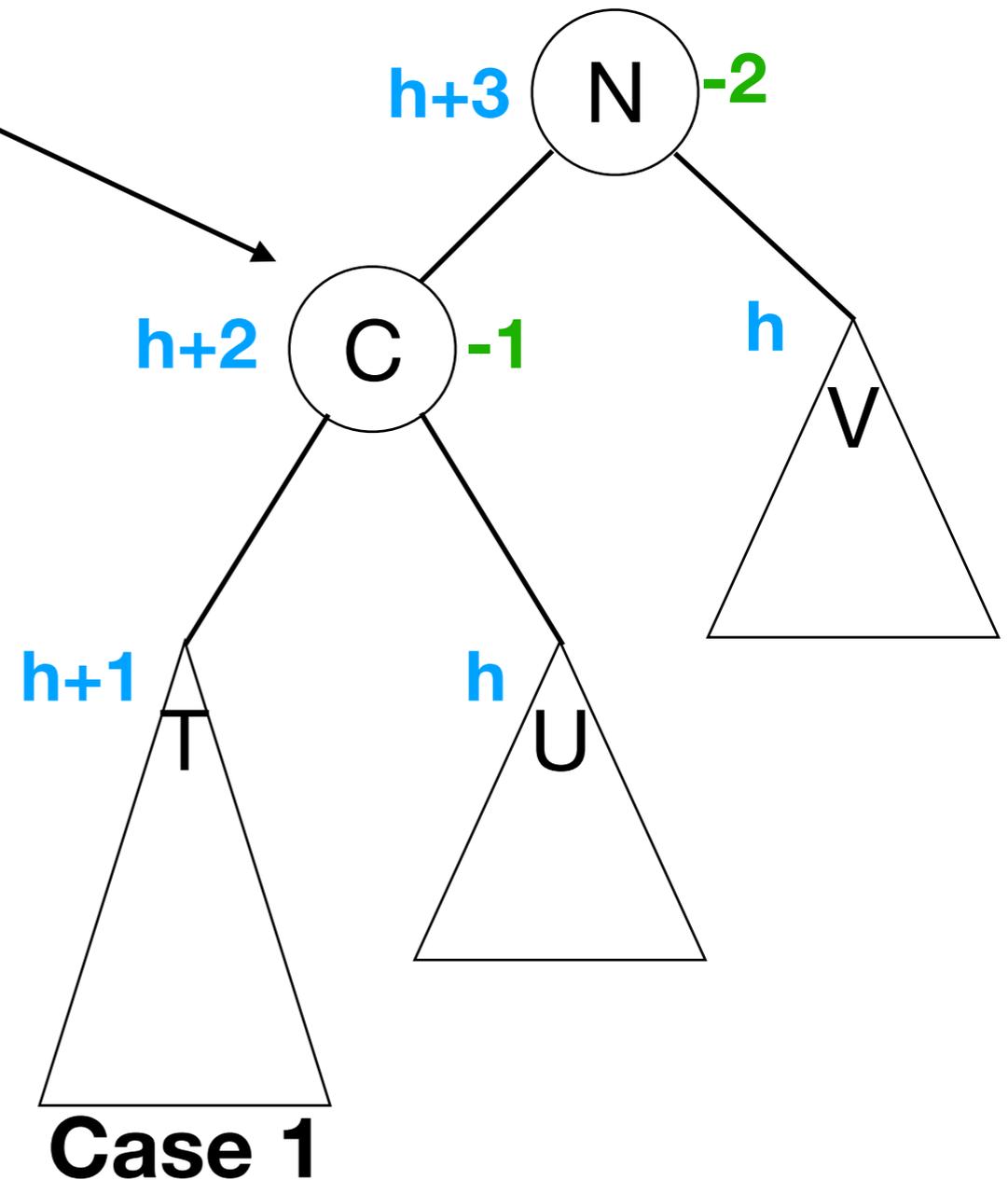
# Implementation

```
void rebalance(n):  
  if bal(n) < -1:  
    if bal(n.left) < 0  
      // case 1:  
      // rightRot(n)  
    else:  
      // case 2:  
      // leftRot(n.L);  
      // rightRot(n)  
  else if bal(n) > 1:  
    if bal(n.right) < 0:  
      // case 3:  
      // rightRot(n.R);  
      // leftRot(n)  
    else:  
      // case 4:  
      // leftRot(n)
```



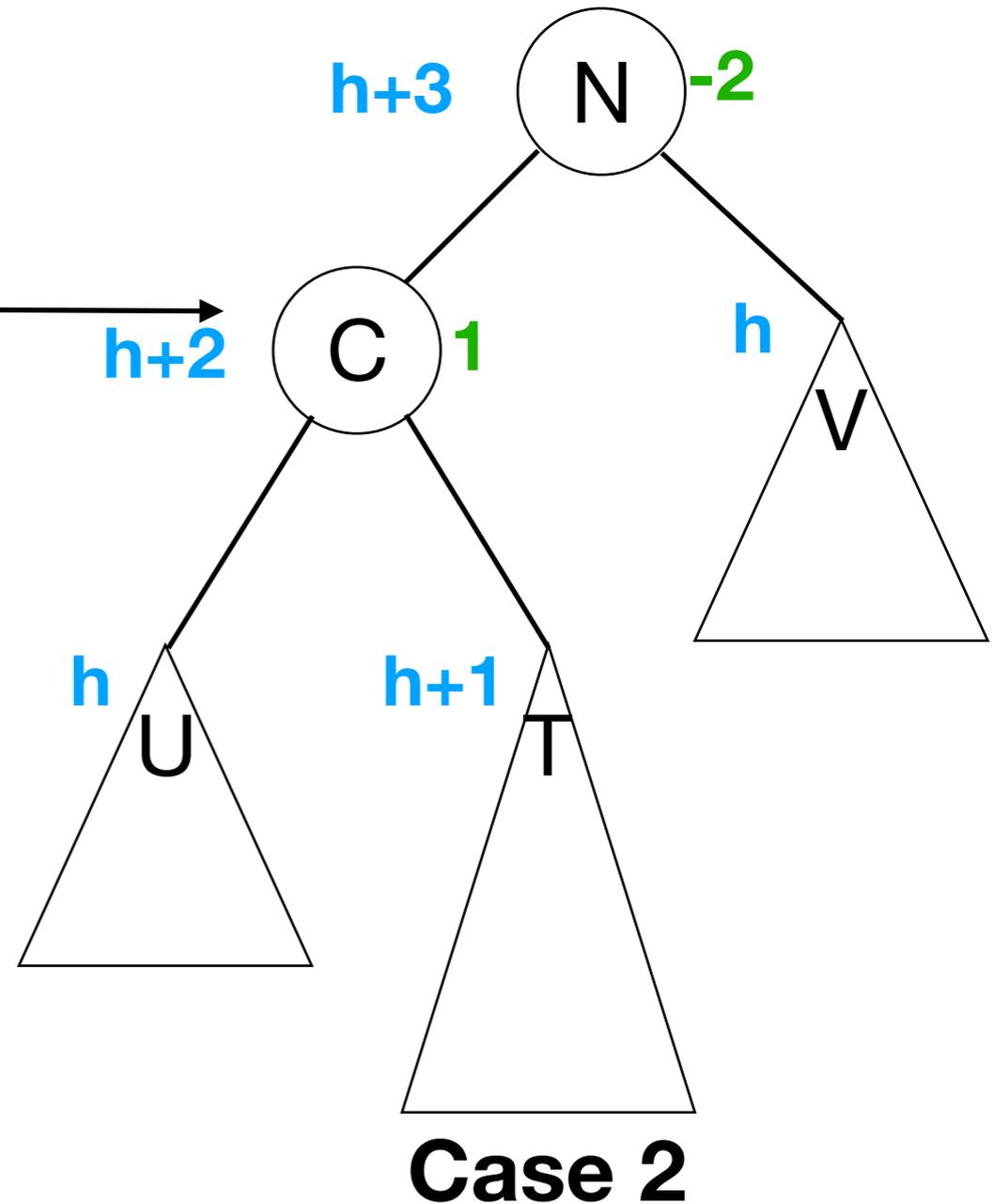
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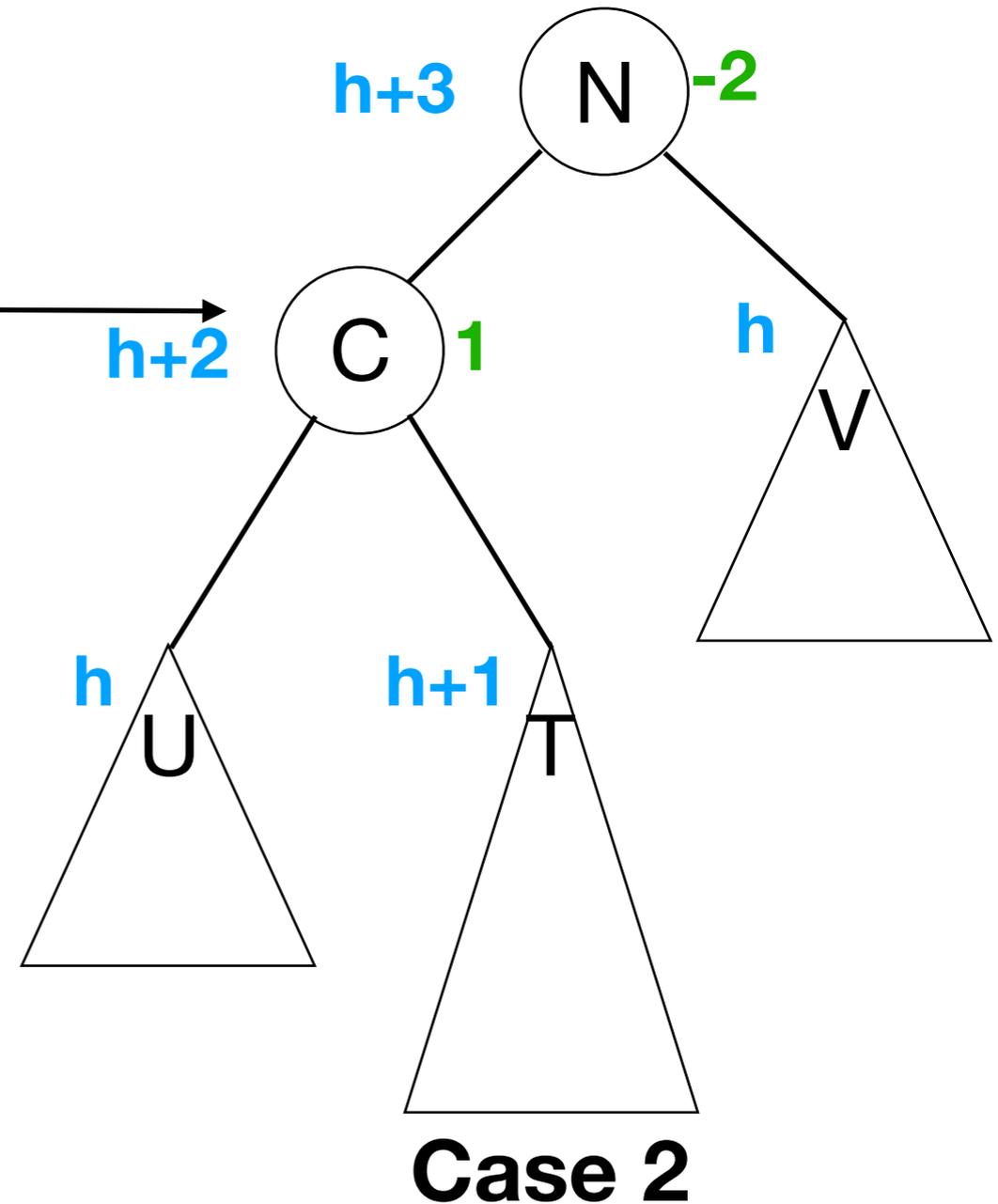
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```



Cases 3 and 4 are symmetric with 2 and 1

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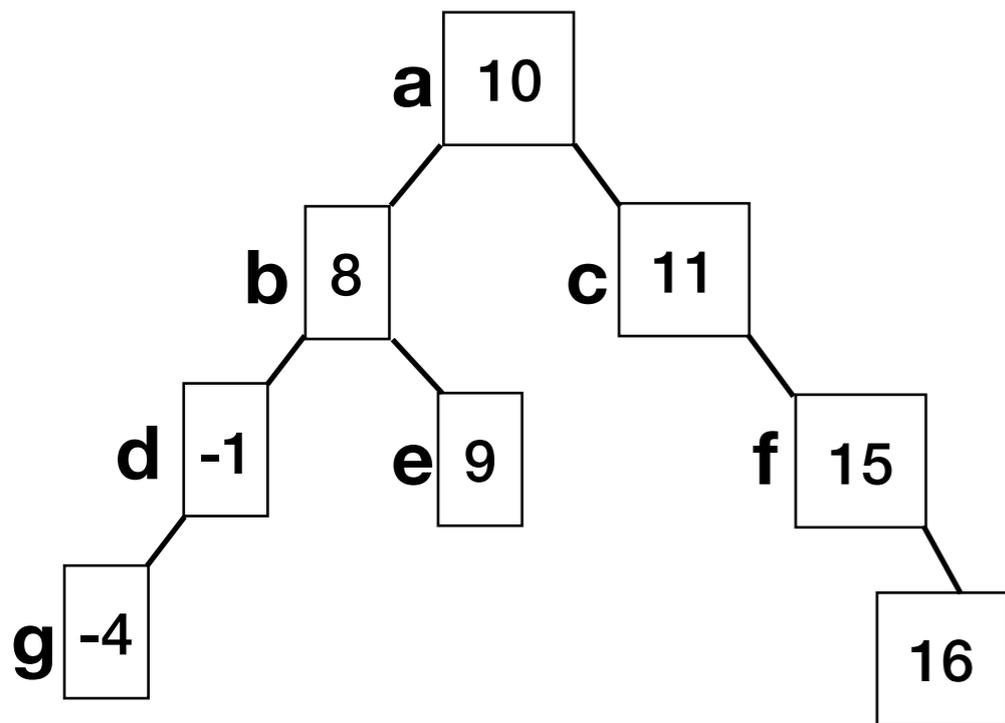
Cases 3 and 4 are symmetric with 2 and 1.

## Details

- Implementing bal:
  - calculating height as in lab 4 is  $O(n)$ ! Not good enough.
  - Nodes track their height and update when the tree changes
  - Update each node's height **on the way up the tree**, calculating height using only its children's heights.

# Insertion with Rebalance

```
insert(Node n, int v):  
    //...(other case, irrelevant here)  
    else: // v > n.value  
        if n has right:  
            insert(n.right, v)  
        else:  
            // attach new node w/ value  
            // v to n.right  
    rebalance(n);
```



**How did we know  
what rotation to do?**

```
insert(a, 16)  
=>insert(c, 16)  
=>insert(f, 16)  
=>attach new node  
    rebalance(f) already balanced  
    rebalance(c) perform rotation  
    rebalance(a) already balanced
```