

# CSCI 241

Scott Wehrwein

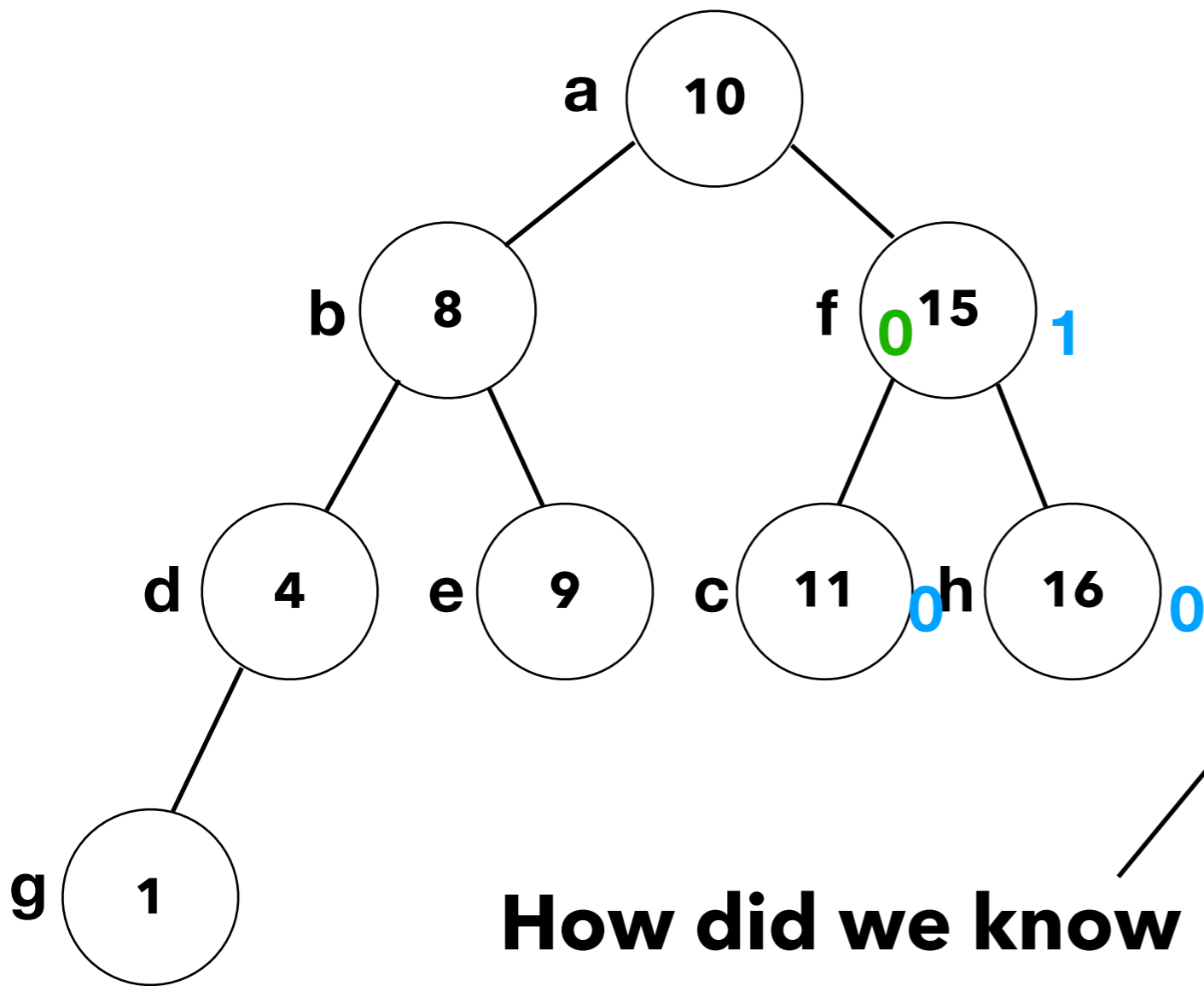
AVL Trees: Rebalancing

# Goals

Understand how rebalance decides what rotations to perform.

Be prepared implement rebalance.

# AVL Insertion



**How did we know  
what rotation to do?**

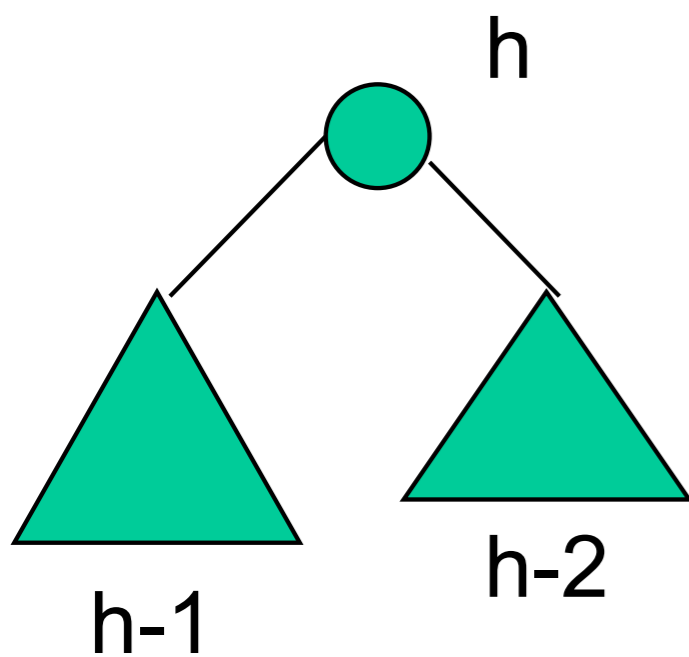
```
insert(a, 16)
=>insert(c, 16)
  =>insert(f, 16)
    =>attach new node
      (already balanced)(f)
      (perform rotation)(c)
      rebalance(a)
```

```
insert(Node n, int v):
  //...(other cases
  else: // v > n.value
    if n has right:
      insert(n.right, v)
    else:
      // attach new node
      rebalance(n);
```

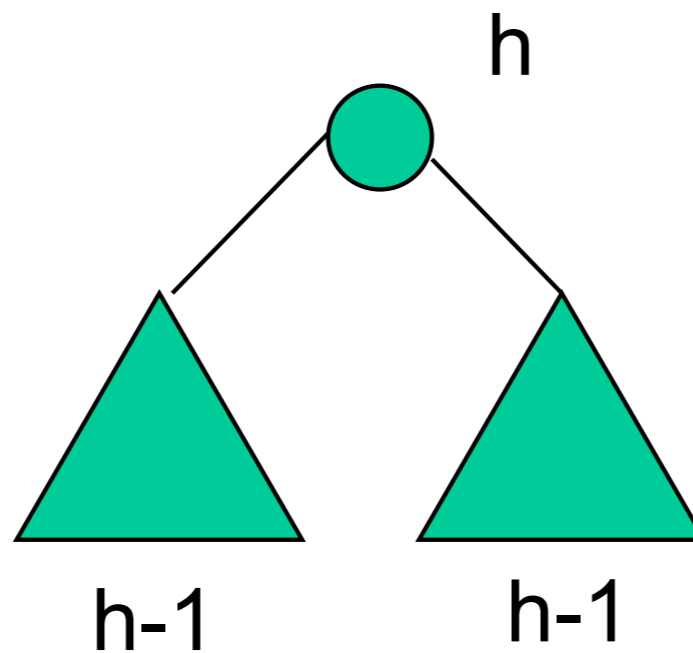
# Reminder: AVL Property

**AVL property:**  $-1 \leq \text{balance}(n) \leq 1$  for all nodes  $n$ .

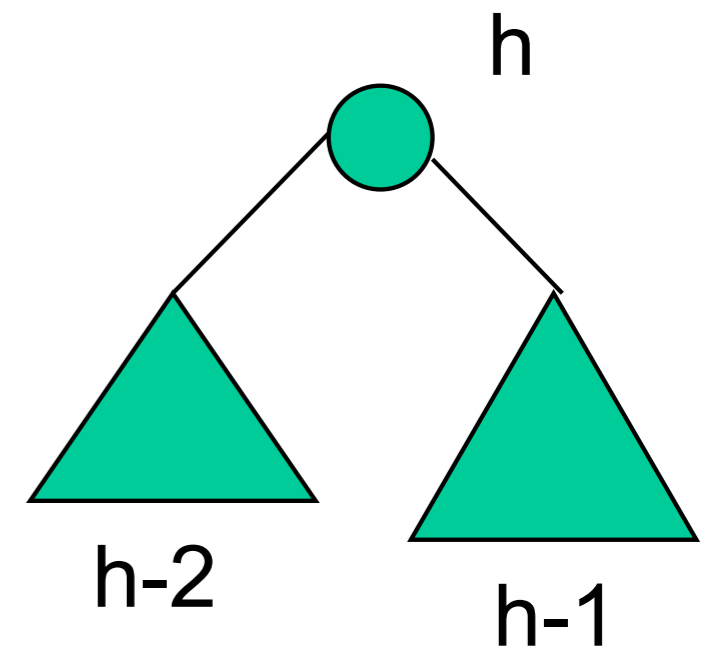
**Every subtree** in an AVL tree looks like one of these three trees:



(a) Balance factor:  $-1$

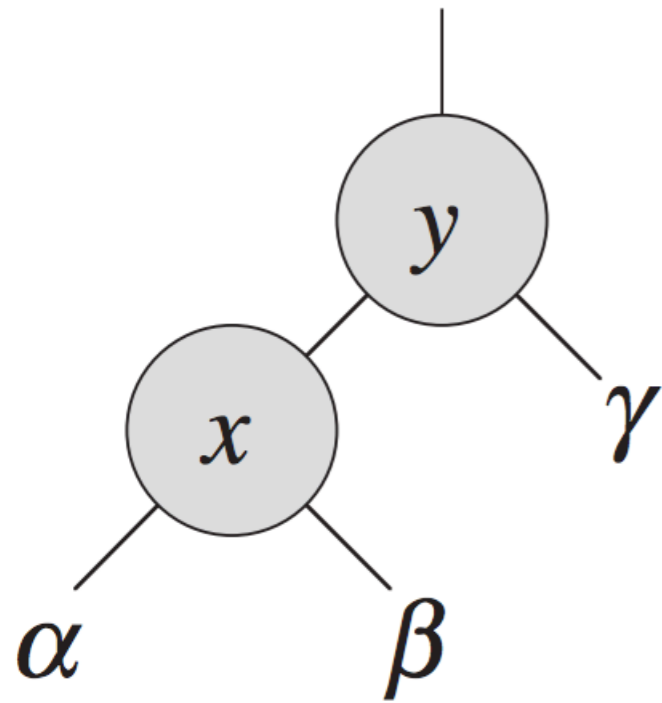


(b) Balance factor:  $0$



(c) Balance factor:  $+1$

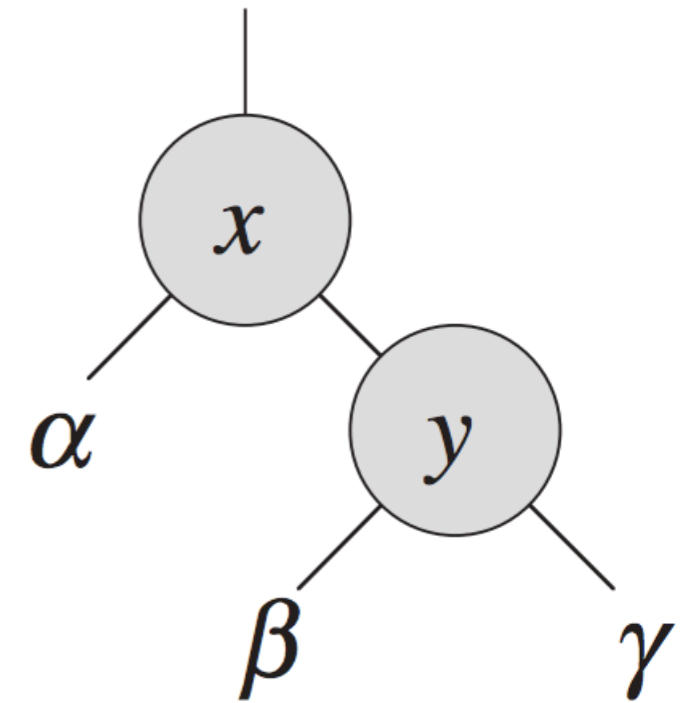
# Reminder: Tree Rotations



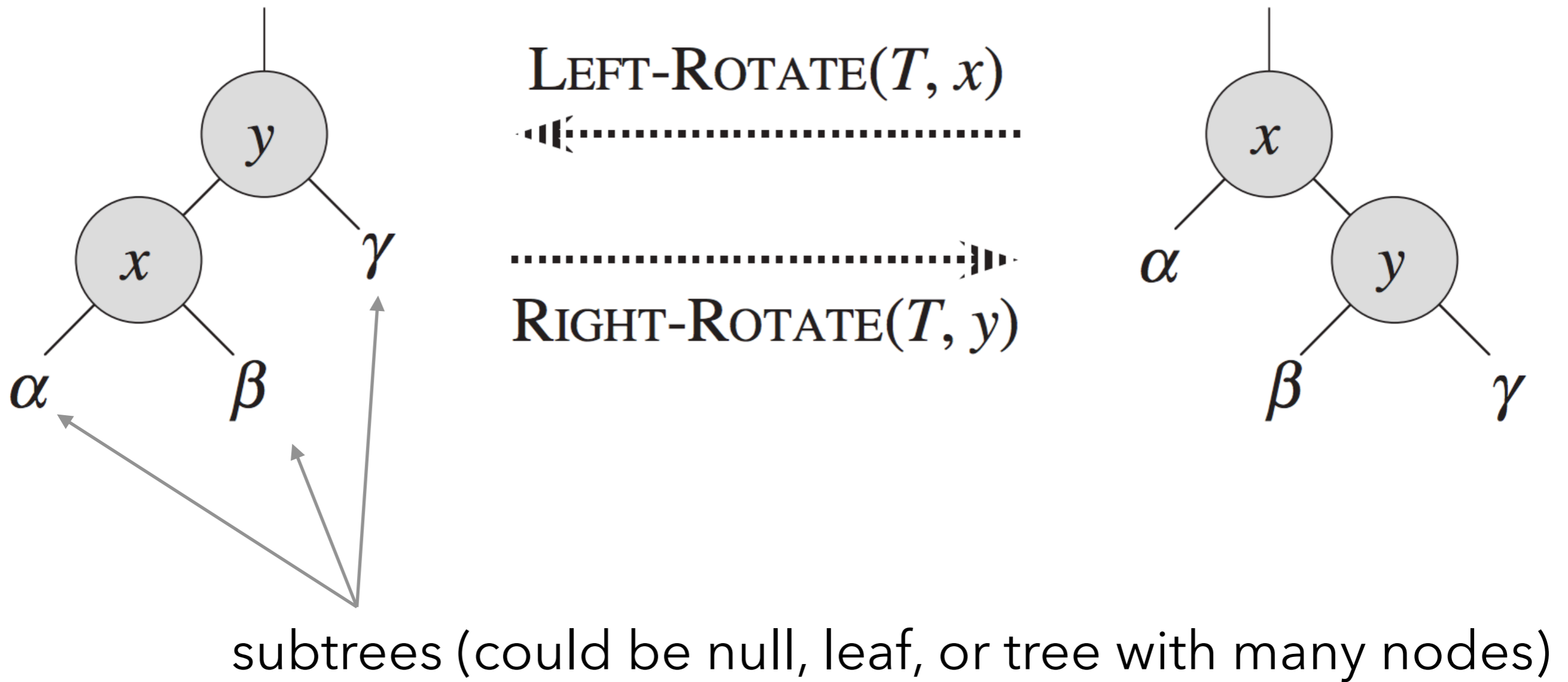
LEFT-ROTATE( $T, x$ )



RIGHT-ROTATE( $T, y$ )

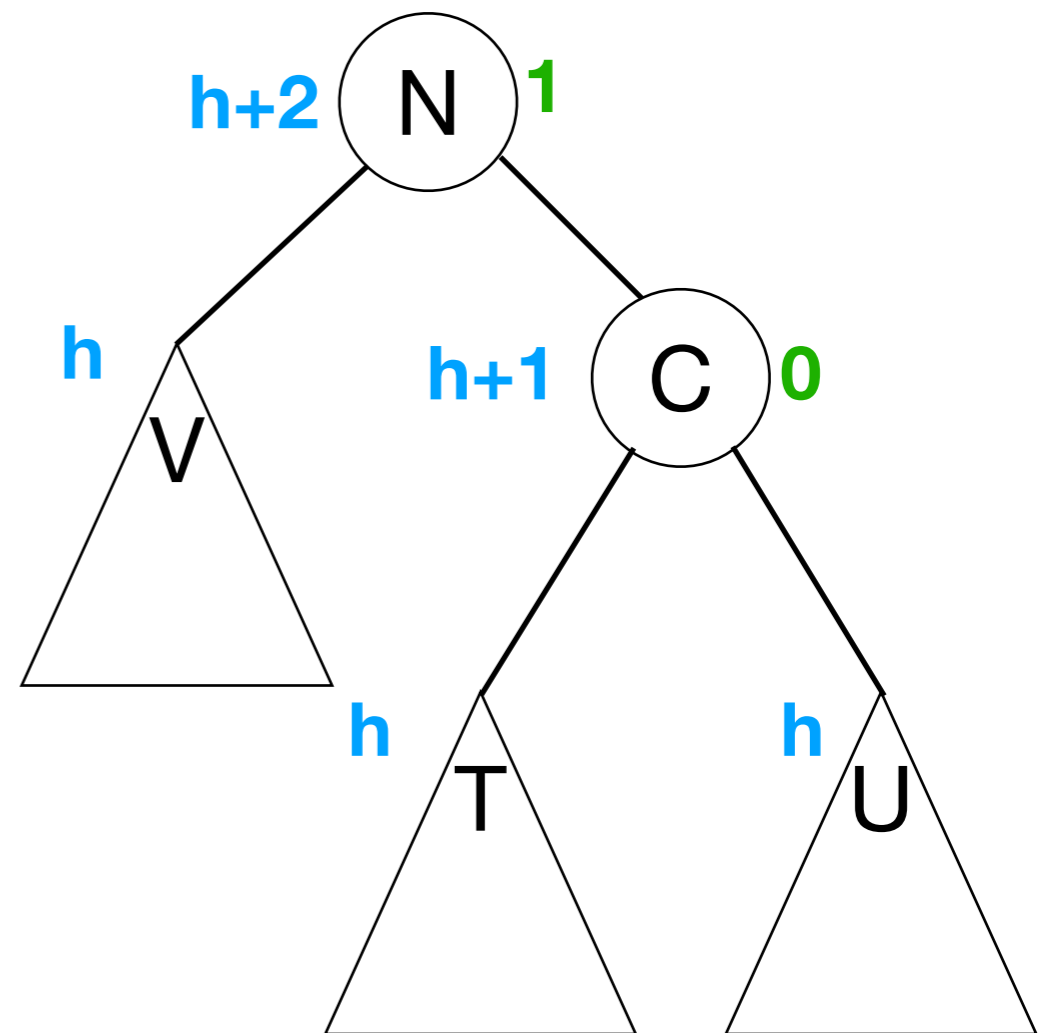
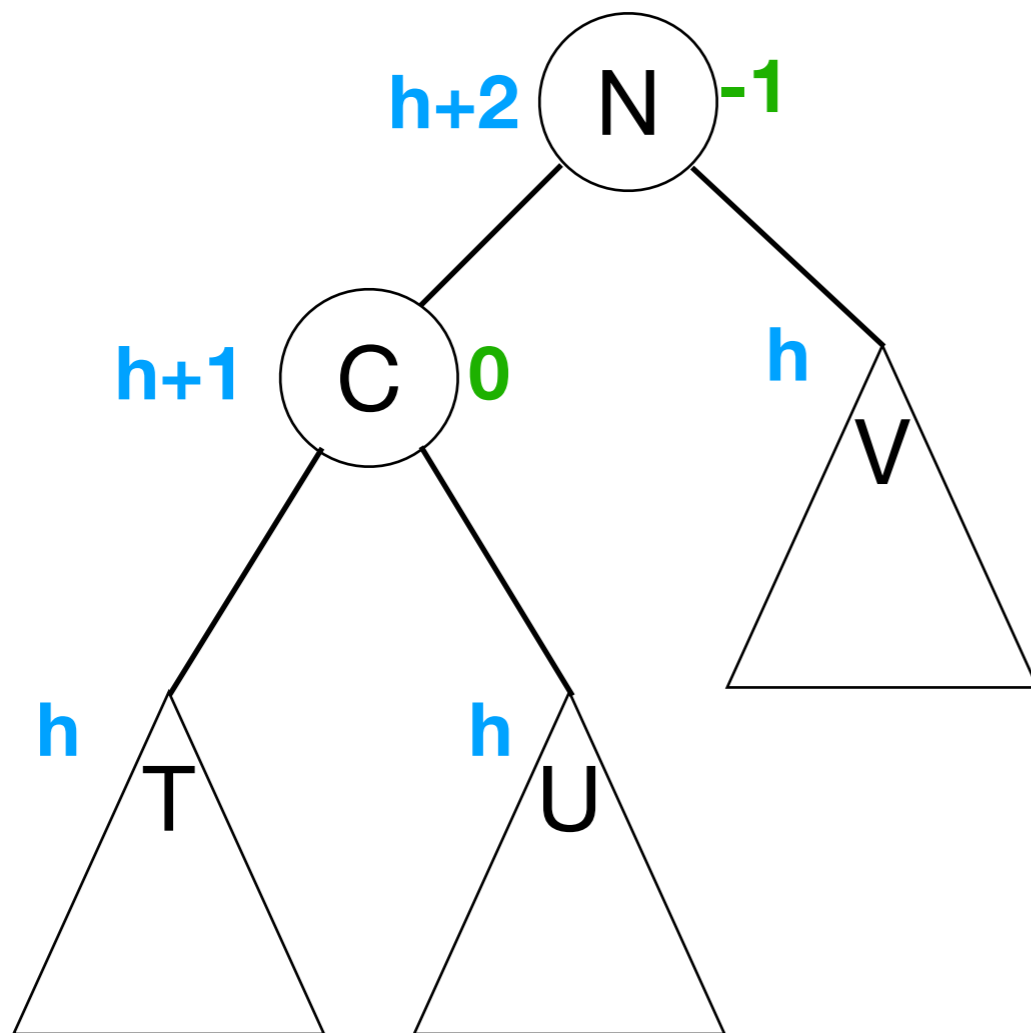


# Reminder: Tree Rotations



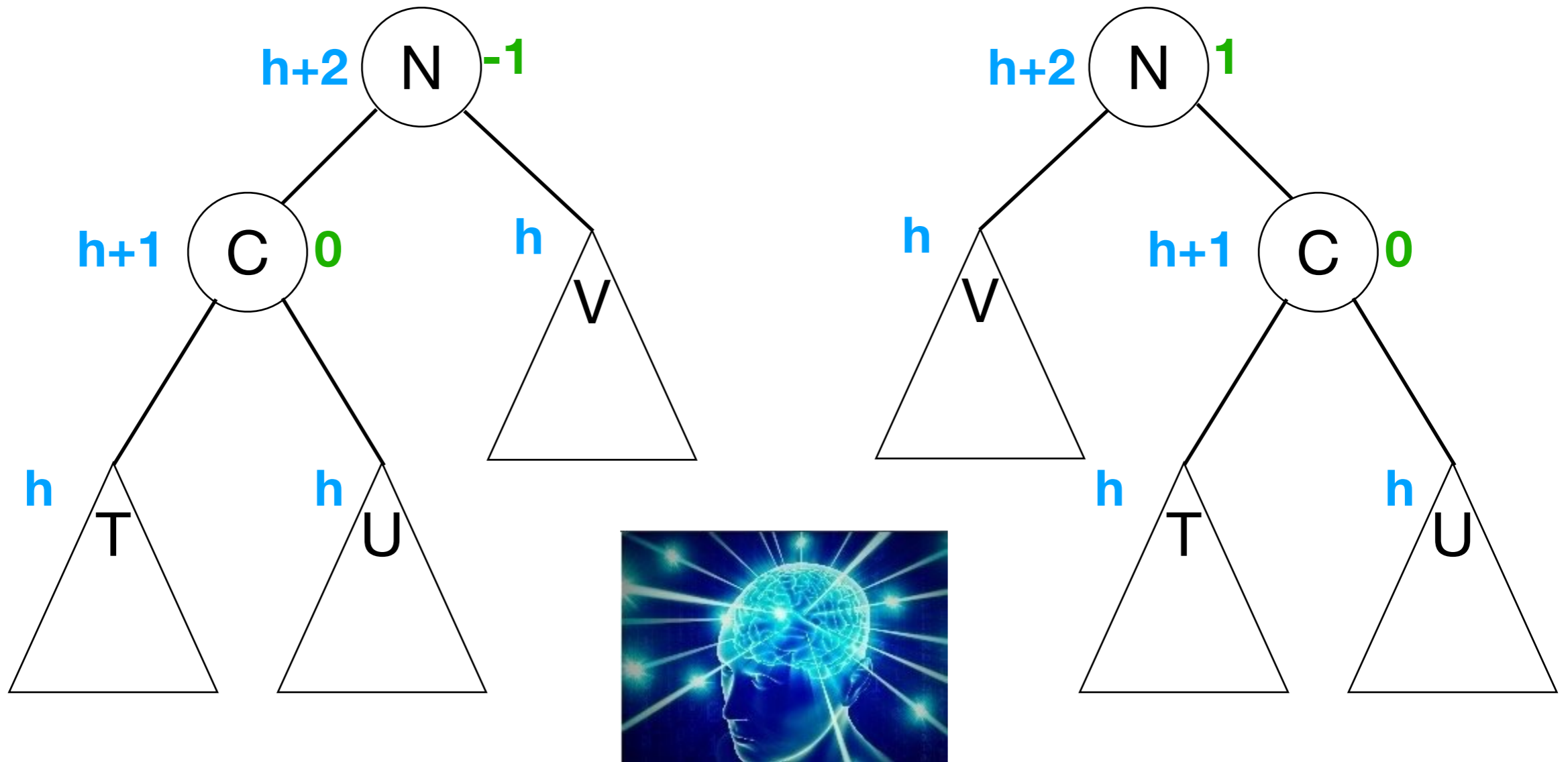
# AVL Rebalance

Before an insertion that unbalances N, the tree must look like one of these:



# AVL Rebalance

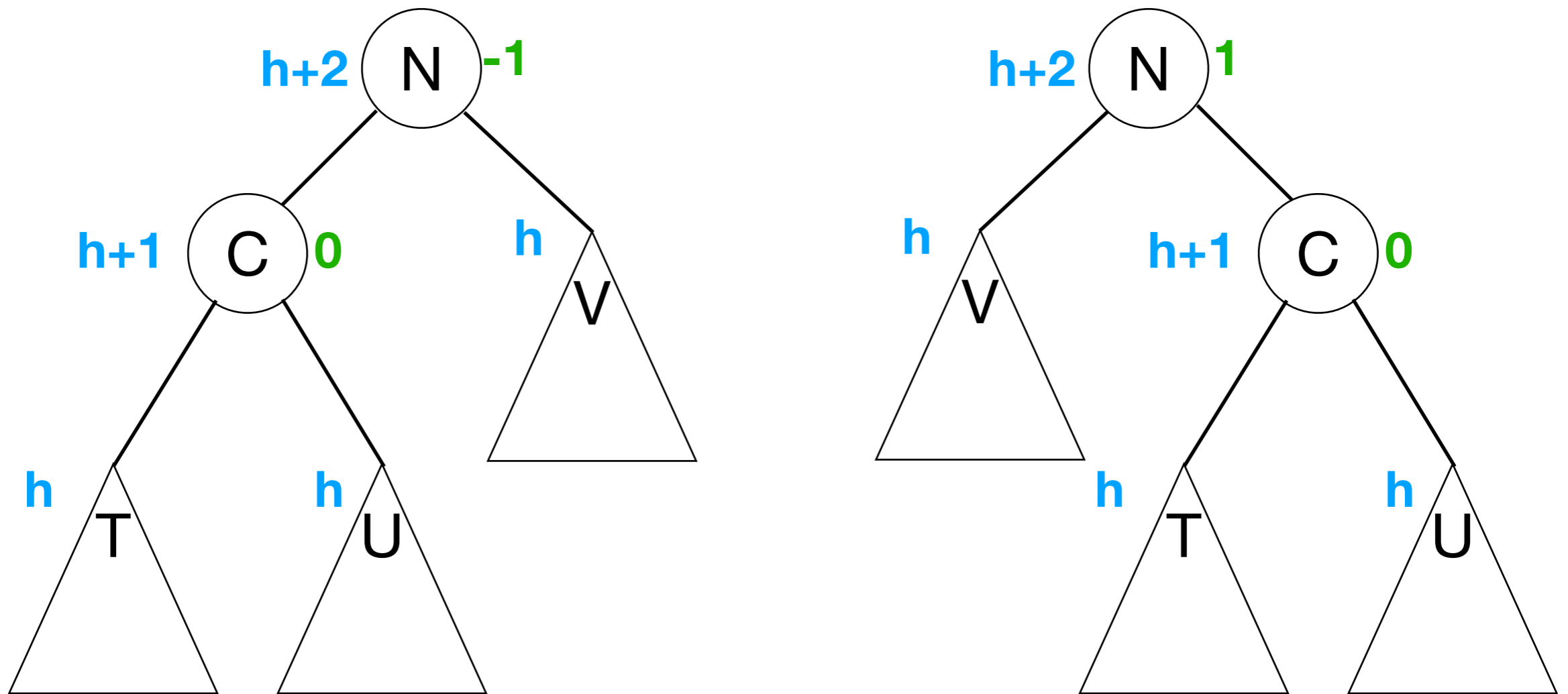
Before an insertion that unbalances N, the tree must look like one of these:





# AVL Rebalance

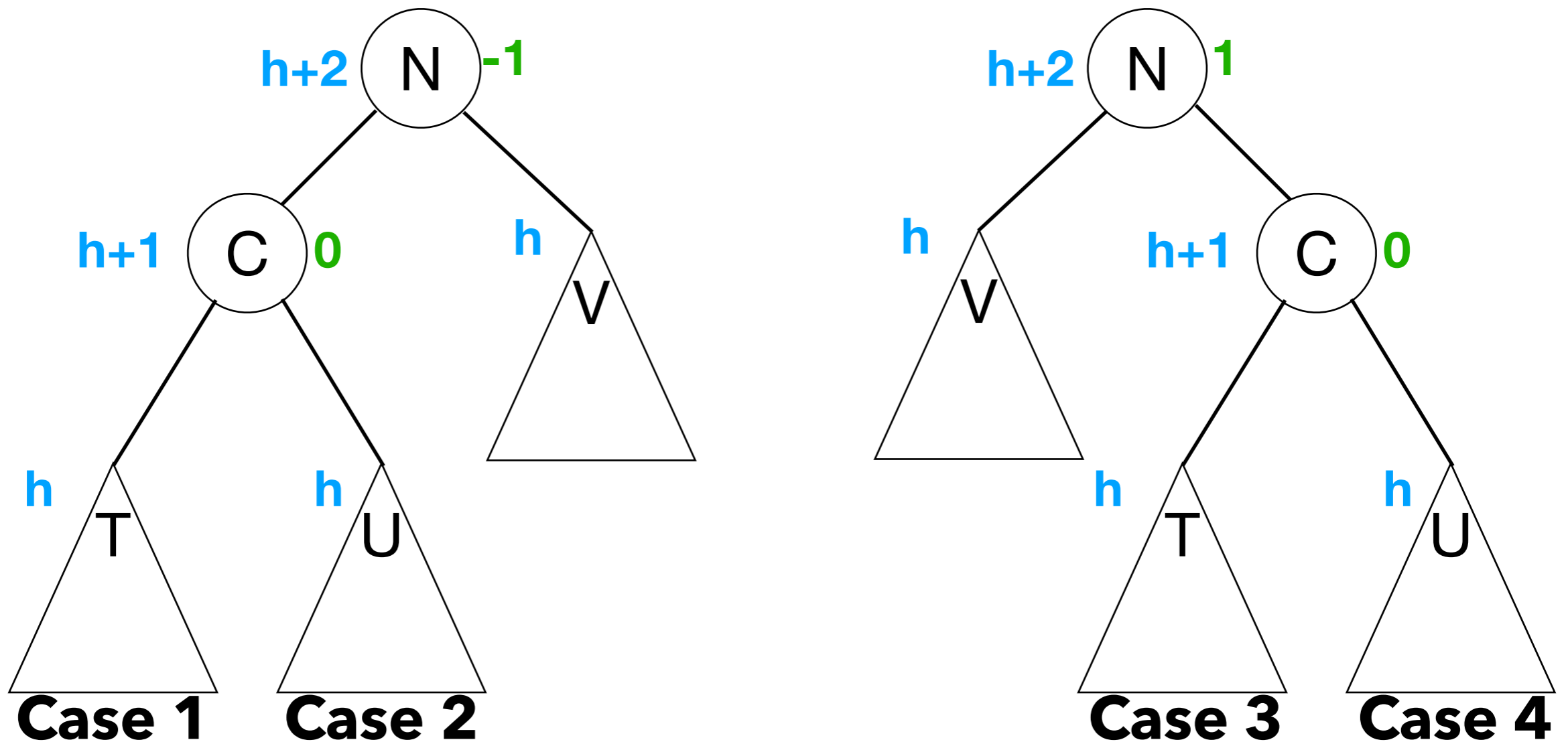
Before an insertion that unbalances  $N$ , the tree must look like one of these:



An insertion that *unbalances*  $N$  could go one of four places.

# AVL Rebalance

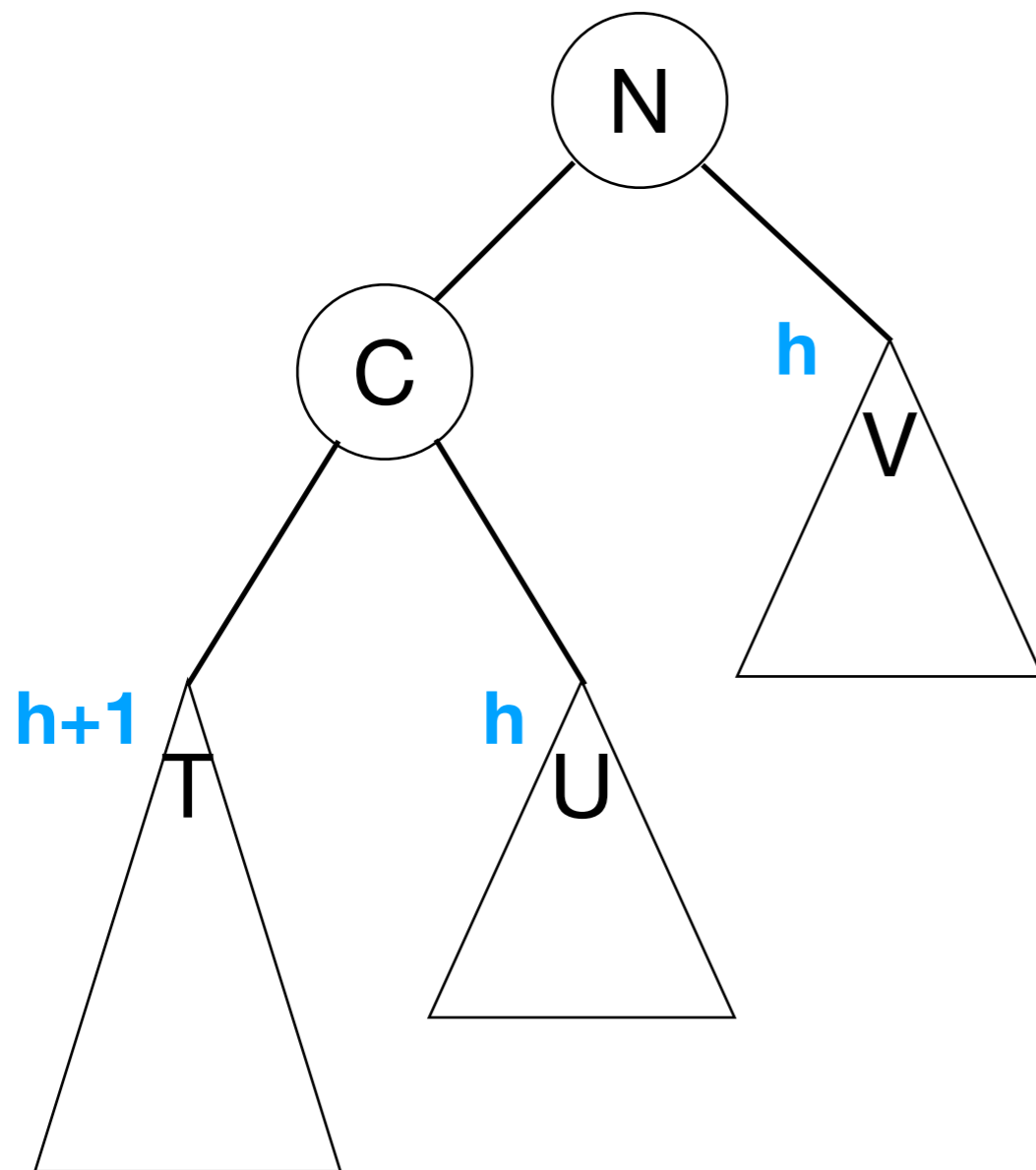
Before an insertion that unbalances N, the tree must look like one of these:



An insertion that unbalances N could go one of four places.

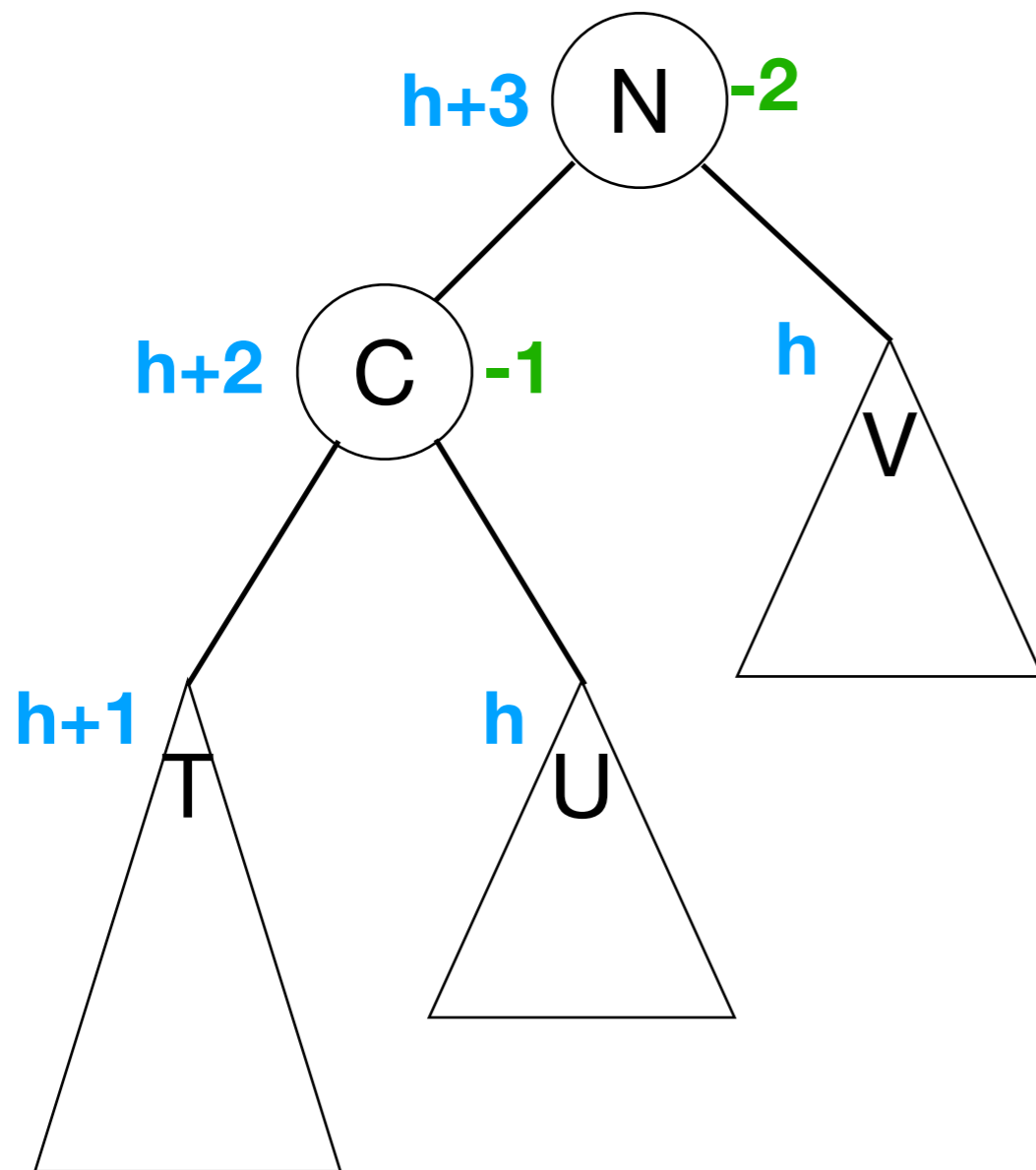
# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.



# AVL Rebalance

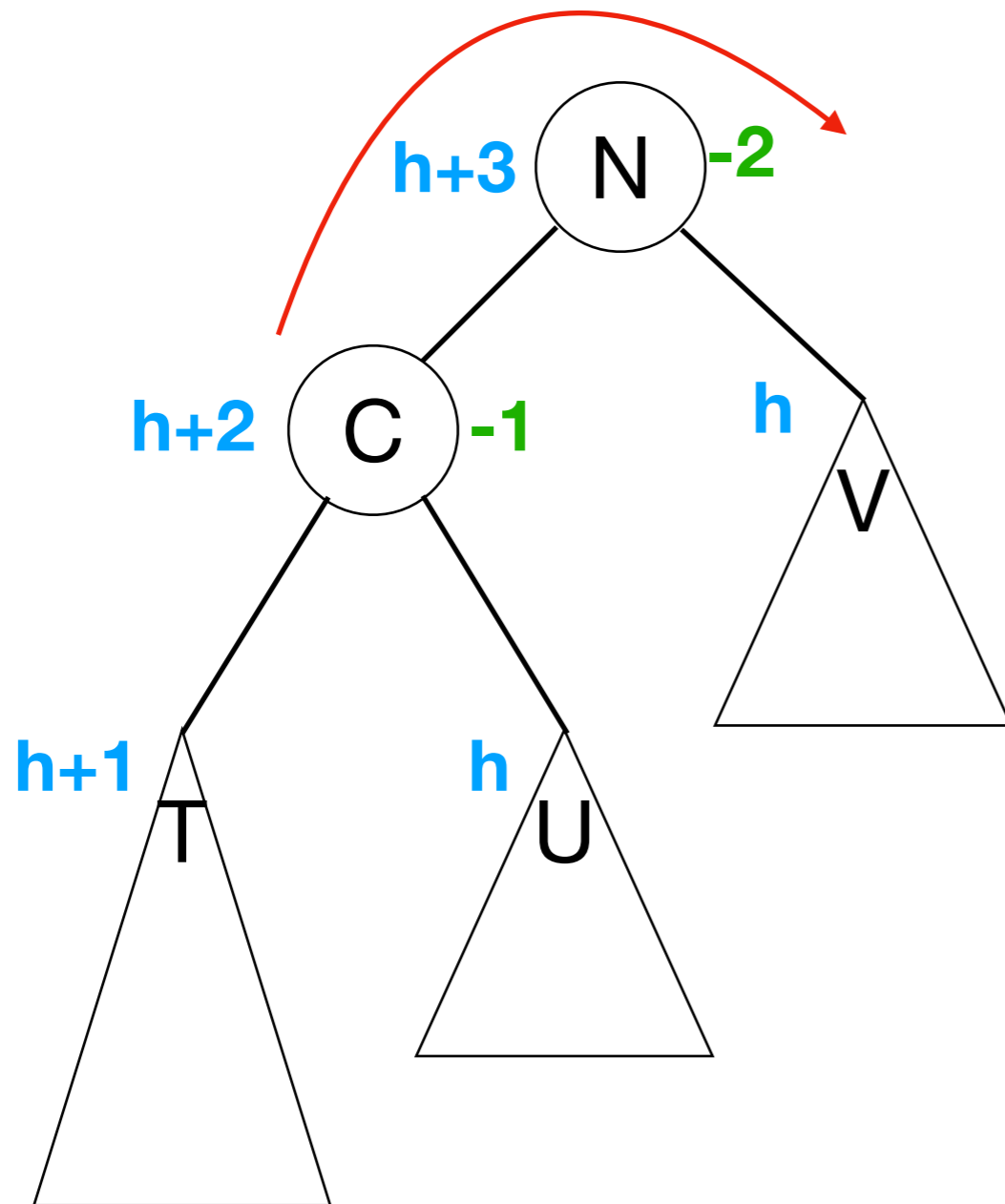
**Case 1:** After BST insertion step, the tree looks like this.



# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.

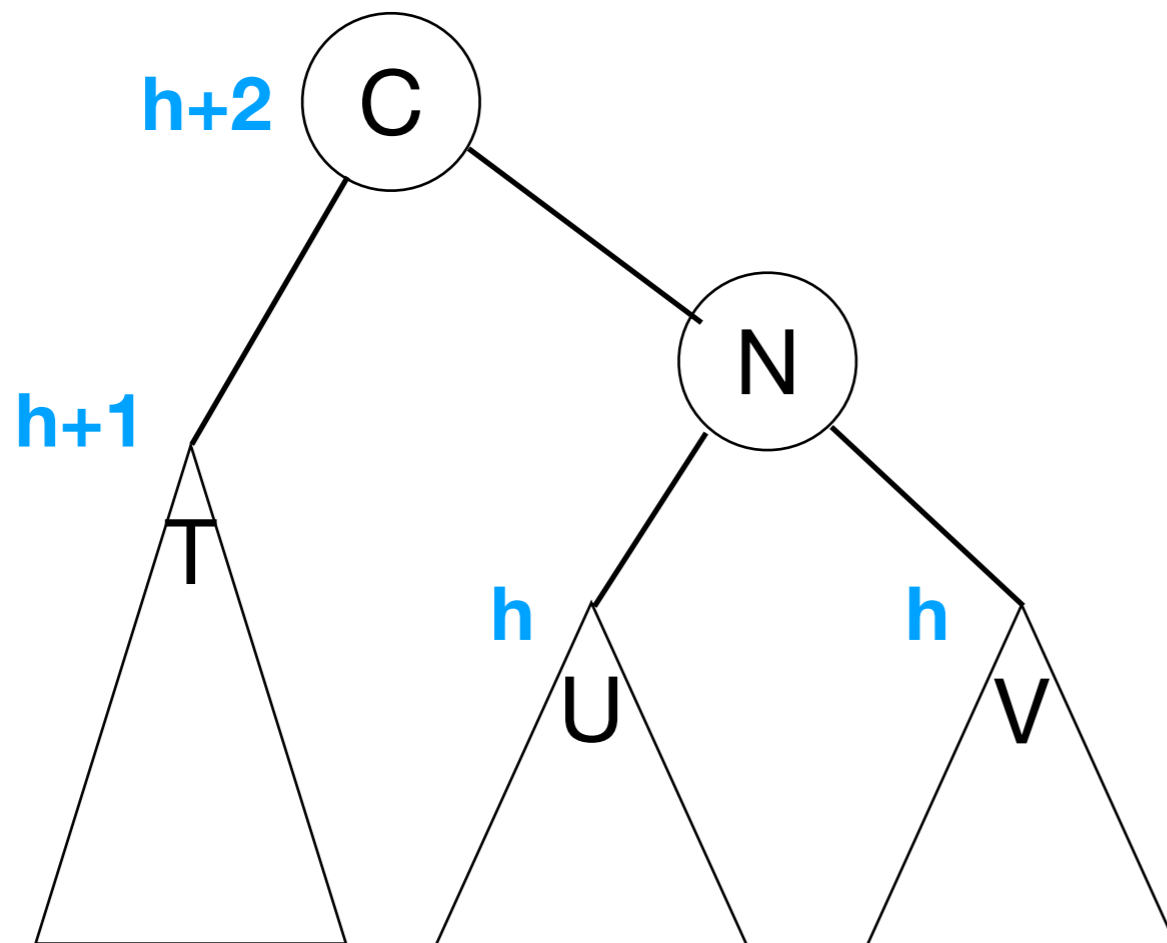
Solution: right rotate on N.



# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.

Solution: right rotate on N.

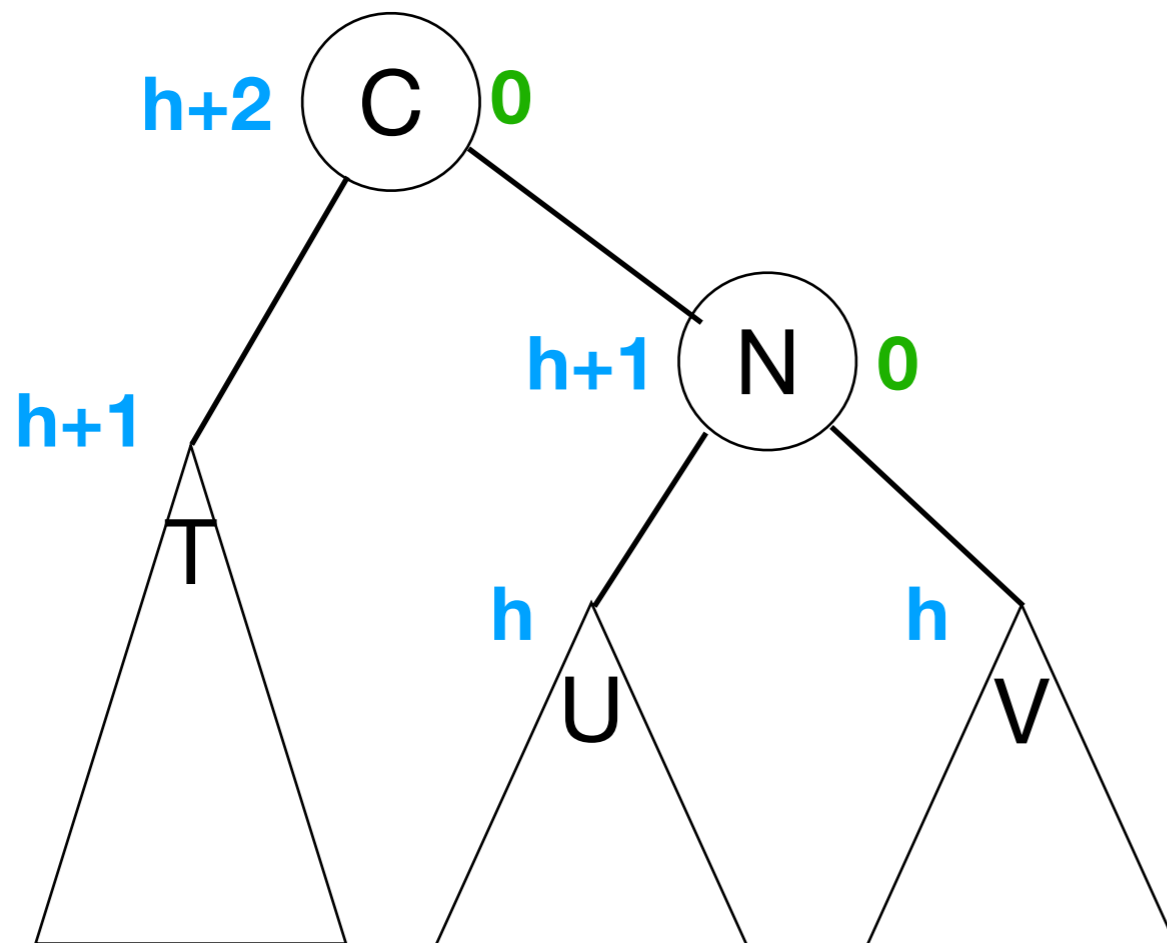


# AVL Rebalance

**Case 1:** After BST insertion step, the tree looks like this.

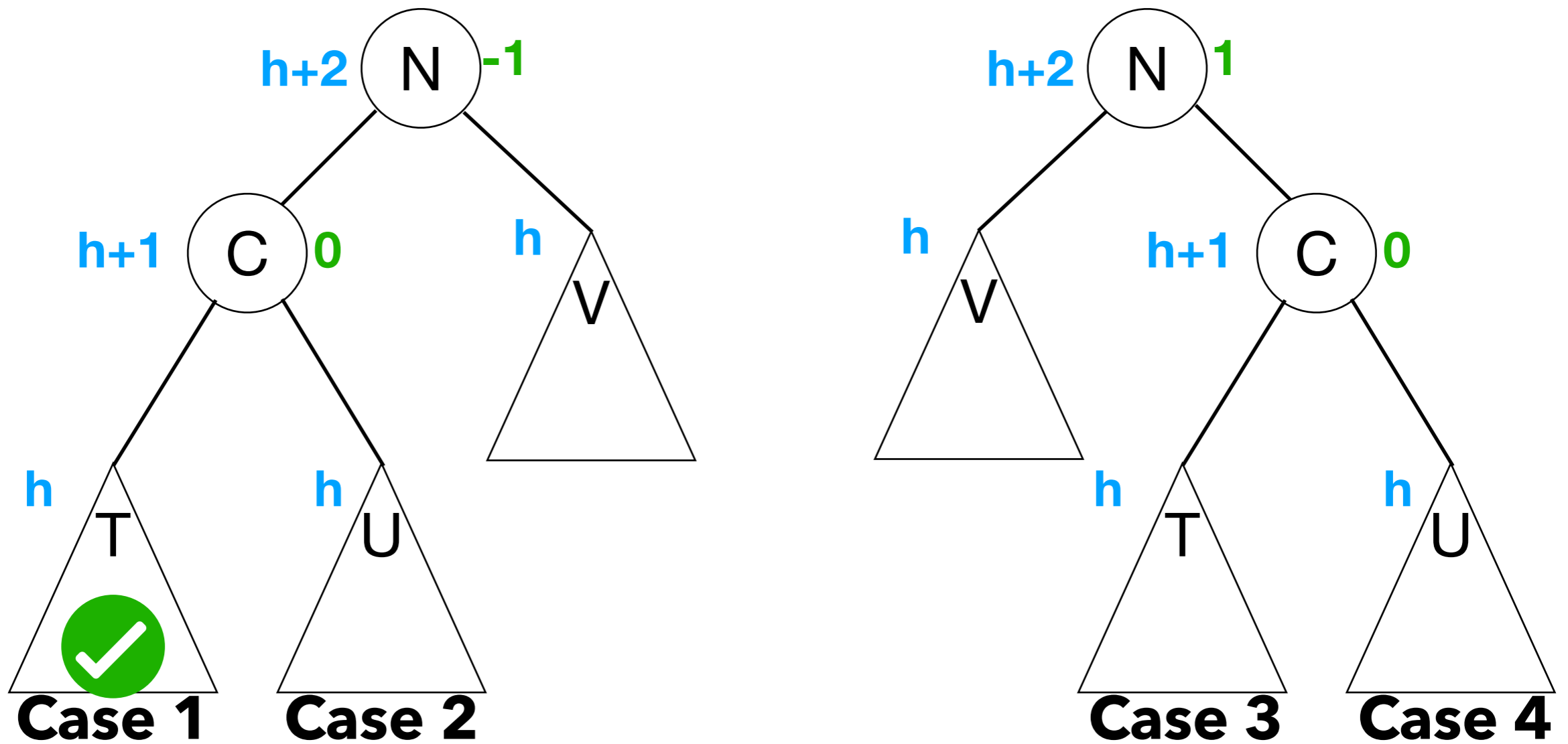
Solution: right rotate on N.

N is now AVL balanced.



# AVL Rebalance

Before an insertion that unbalances  $n$ , the tree must look like one of these:

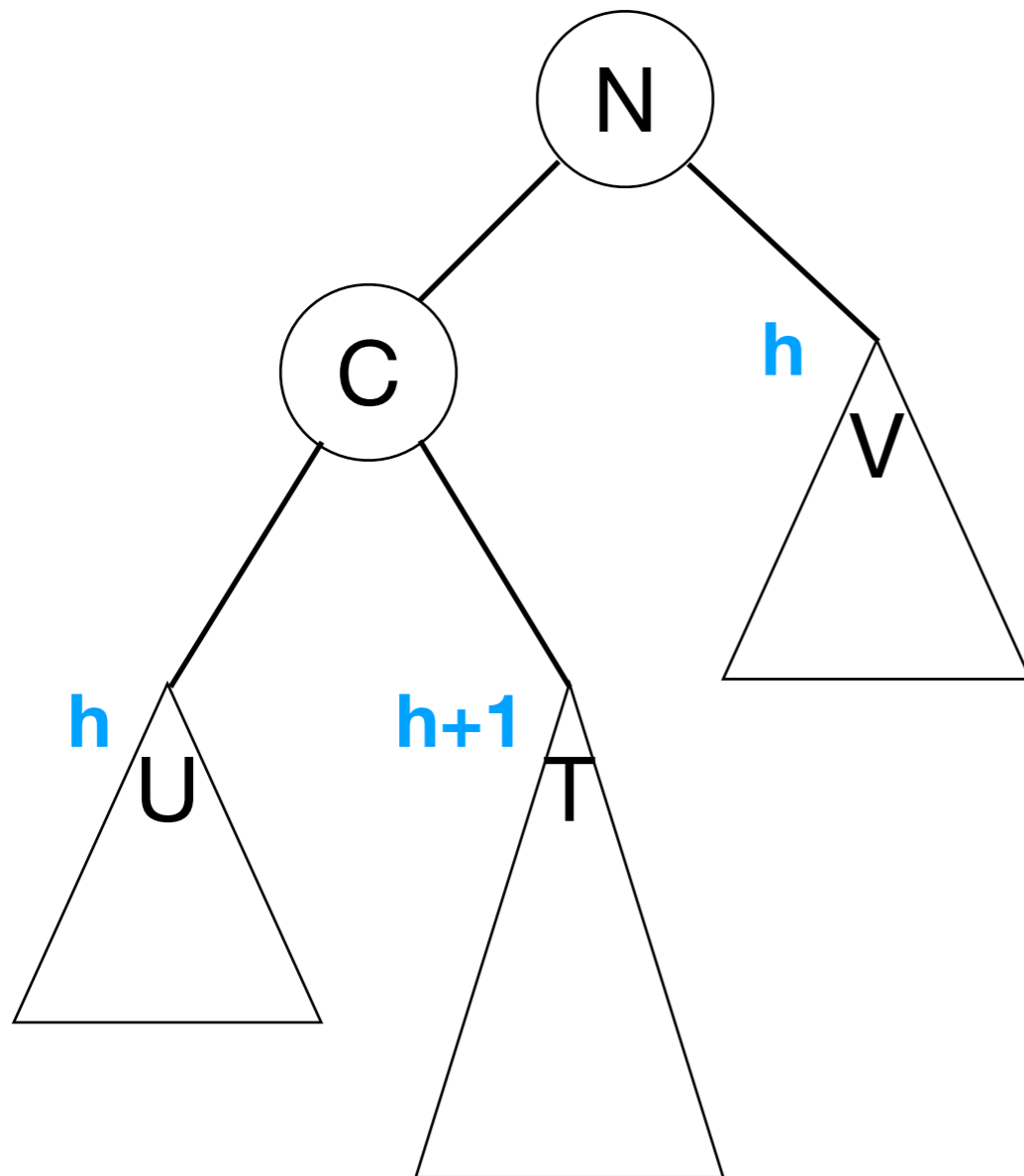


An insertion that unbalances  $n$  could go one of four places.



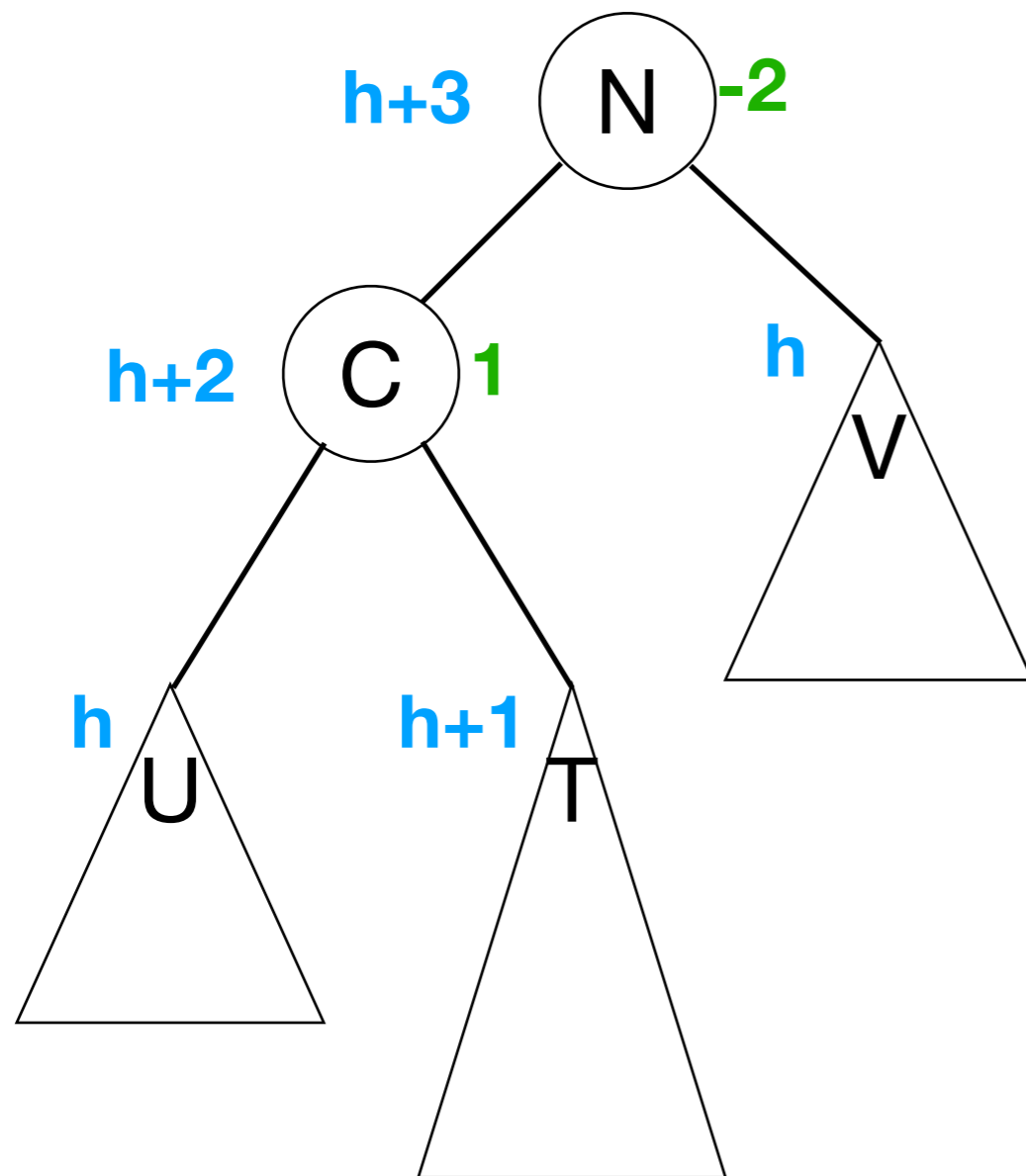
# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



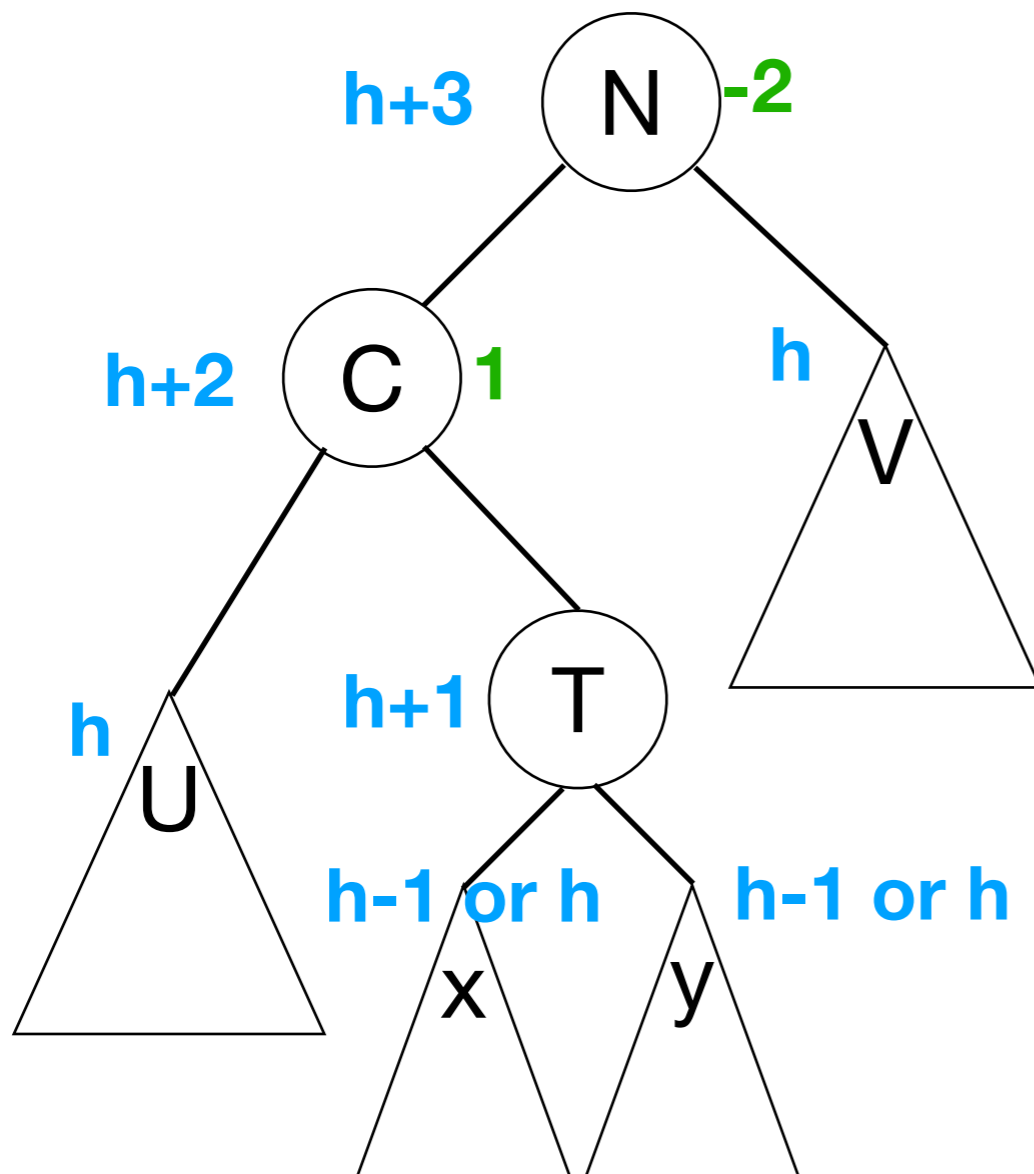
# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

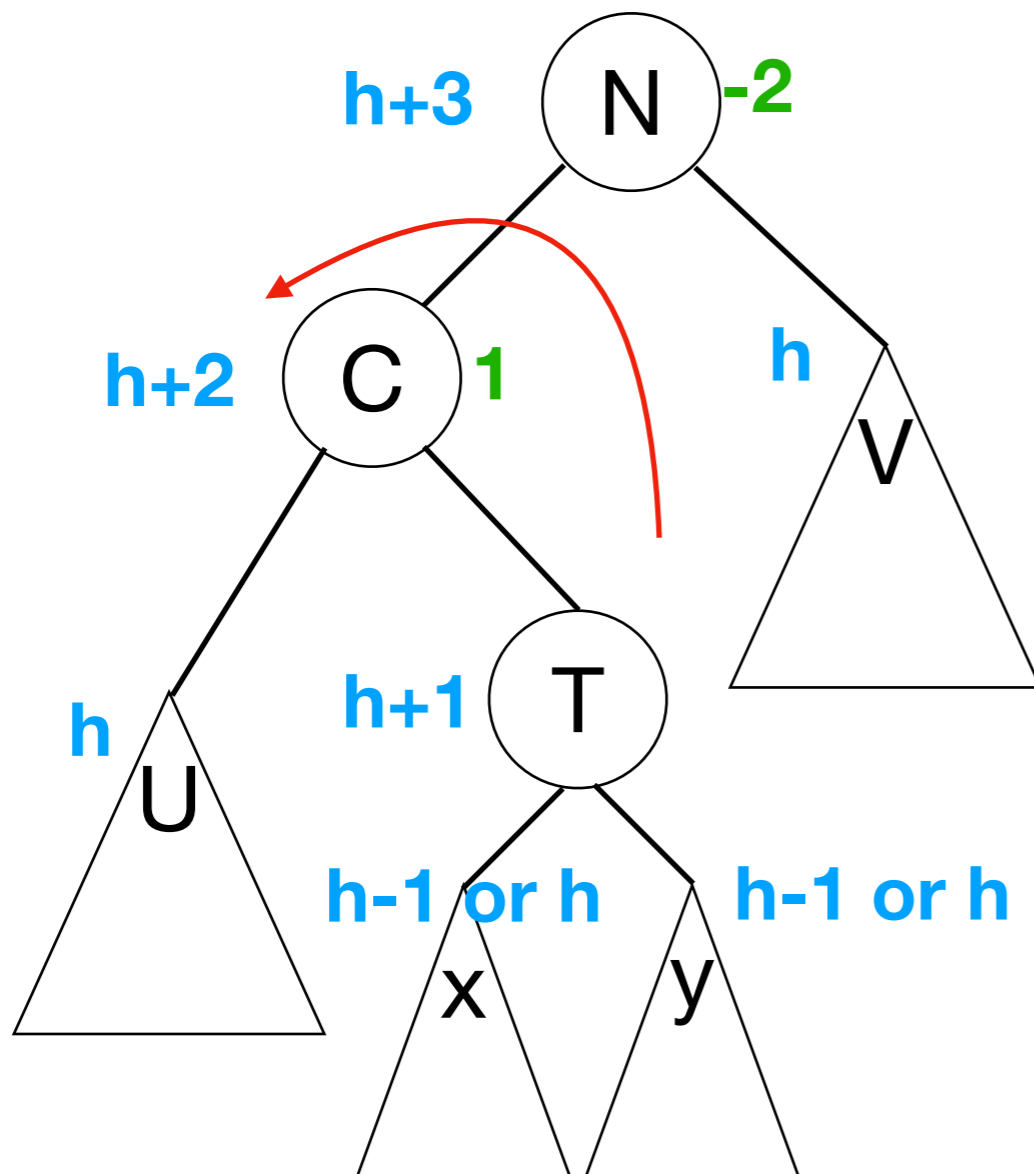


Solution - two rotations:

1. Left rotate C
2. Right rotate N

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



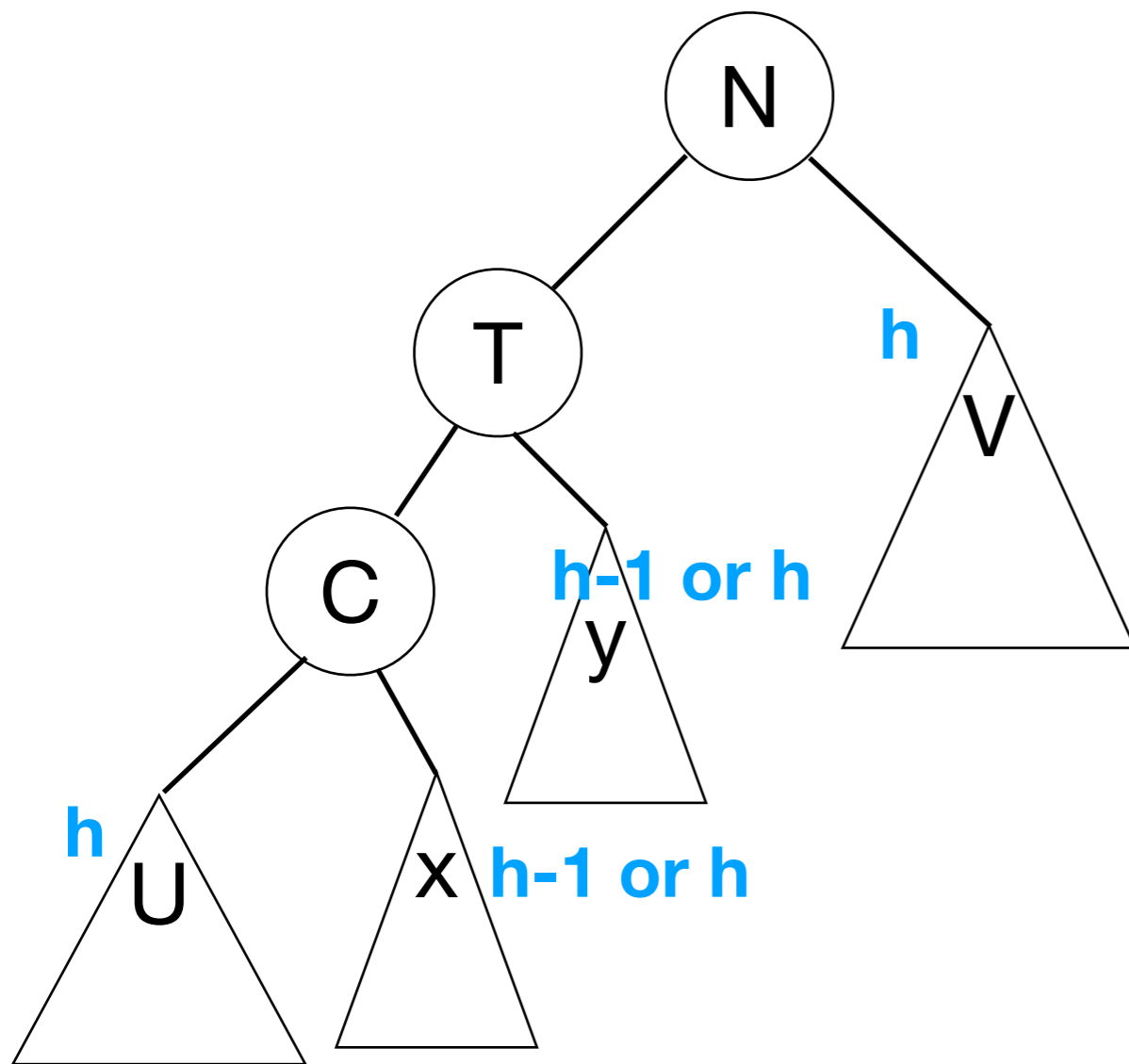
Solution - two rotations:

**1. Left rotate C**

**2. Right rotate N**

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

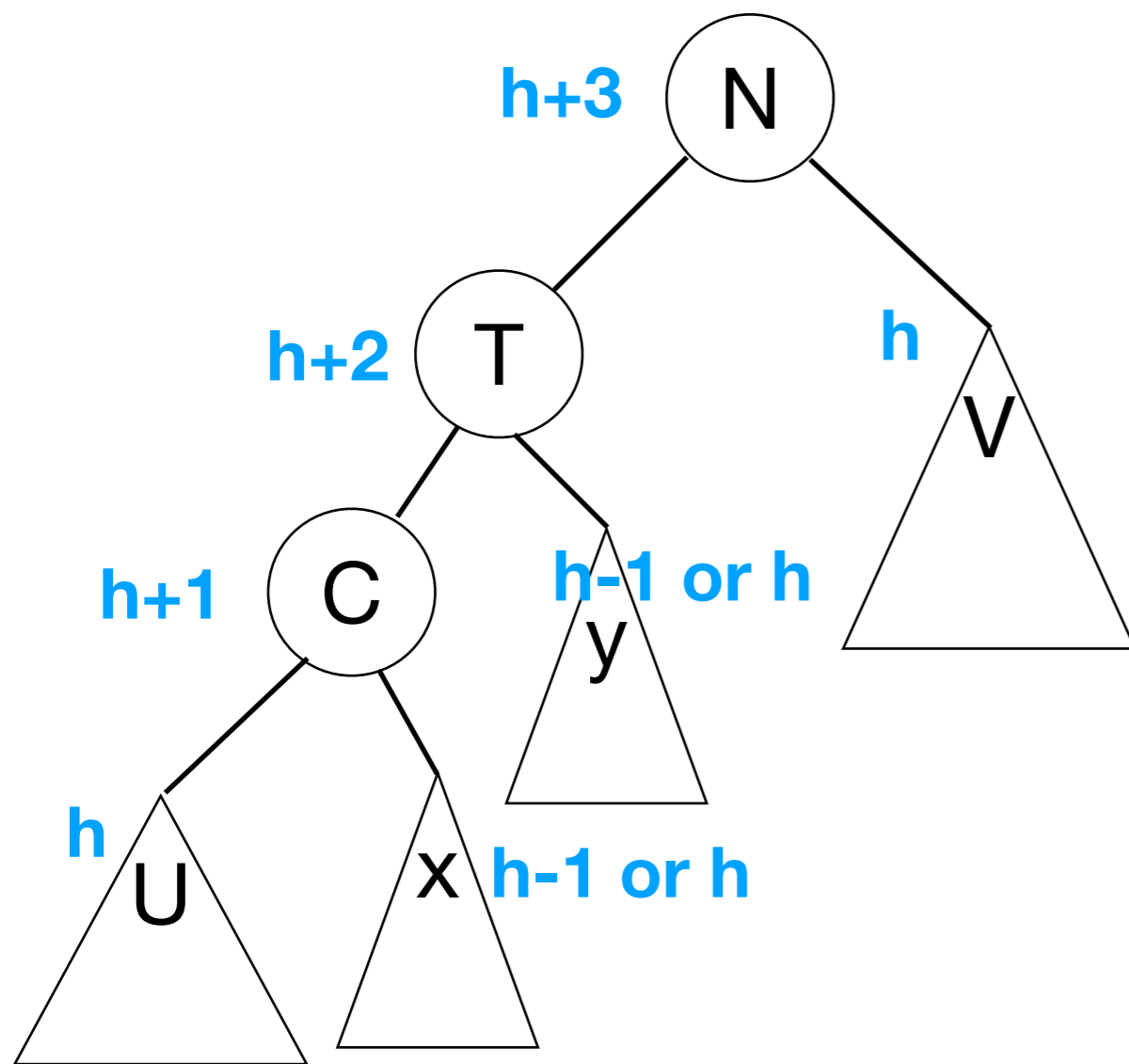


Solution - two rotations:

- 1. Left rotate C**
2. Right rotate N

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

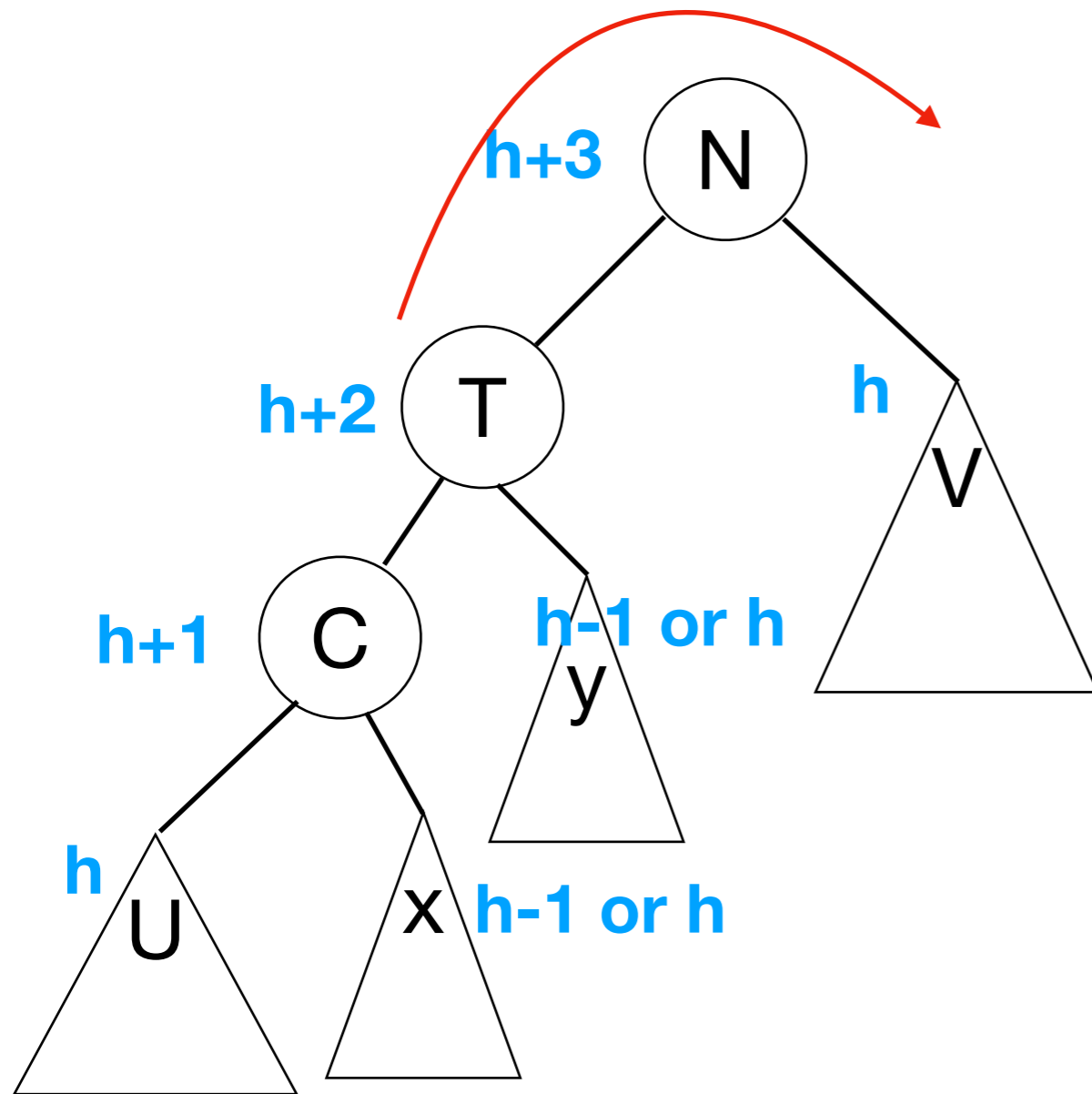


Solution - two rotations:

- 1. Left rotate C**
- 2. Right rotate N**

# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.



Solution - two rotations:

1. Left rotate C

**2. Right rotate N**

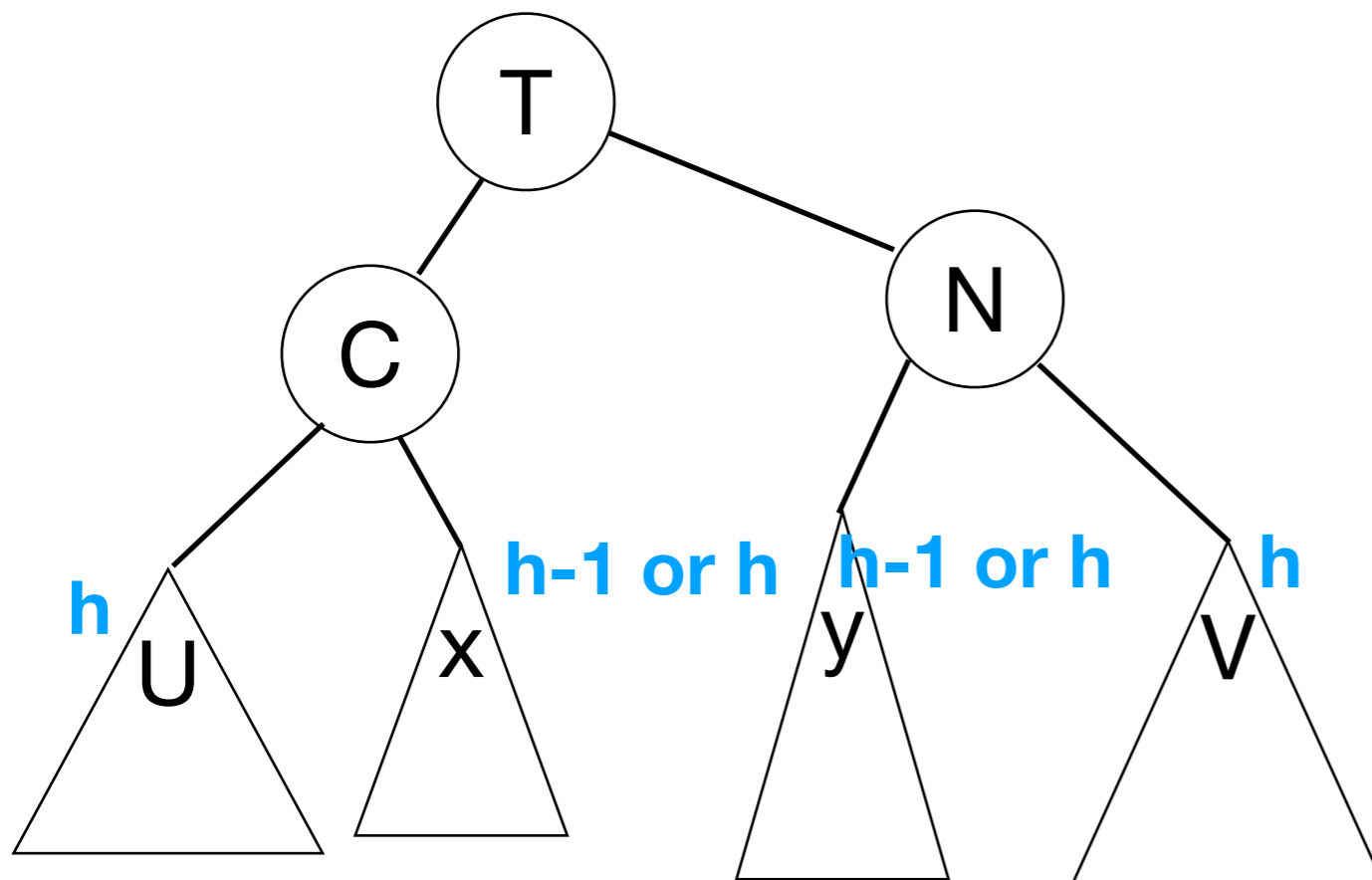
# AVL Rebalance

**Case 2:** After BST insertion step, the tree looks like this.

Solution - two rotations:

1. Left rotate C

**2. Right rotate N**





# AVL Rebalance

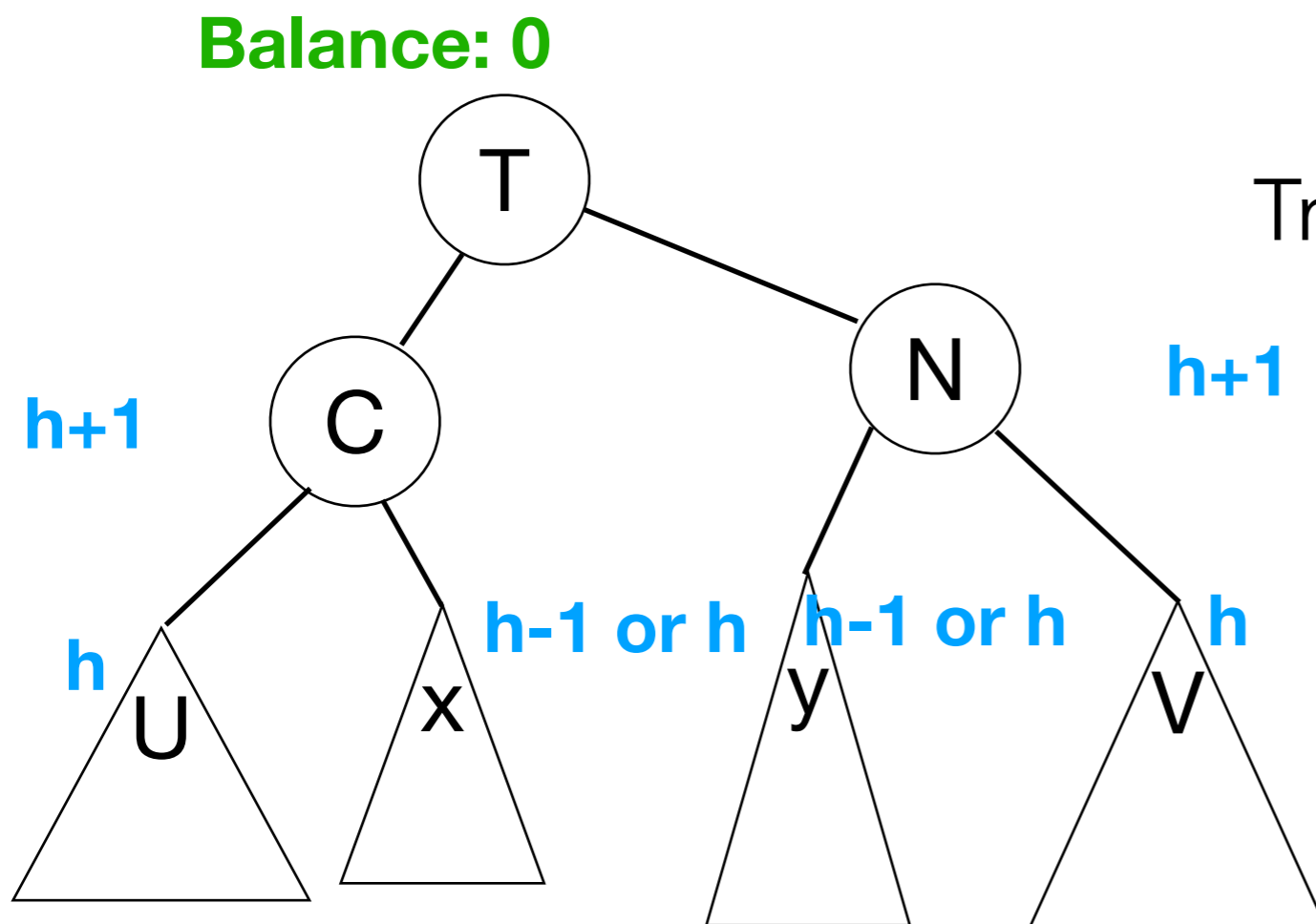
**Case 2:** After BST insertion step, the tree looks like this.

Solution - two rotations:

1. Left rotate C

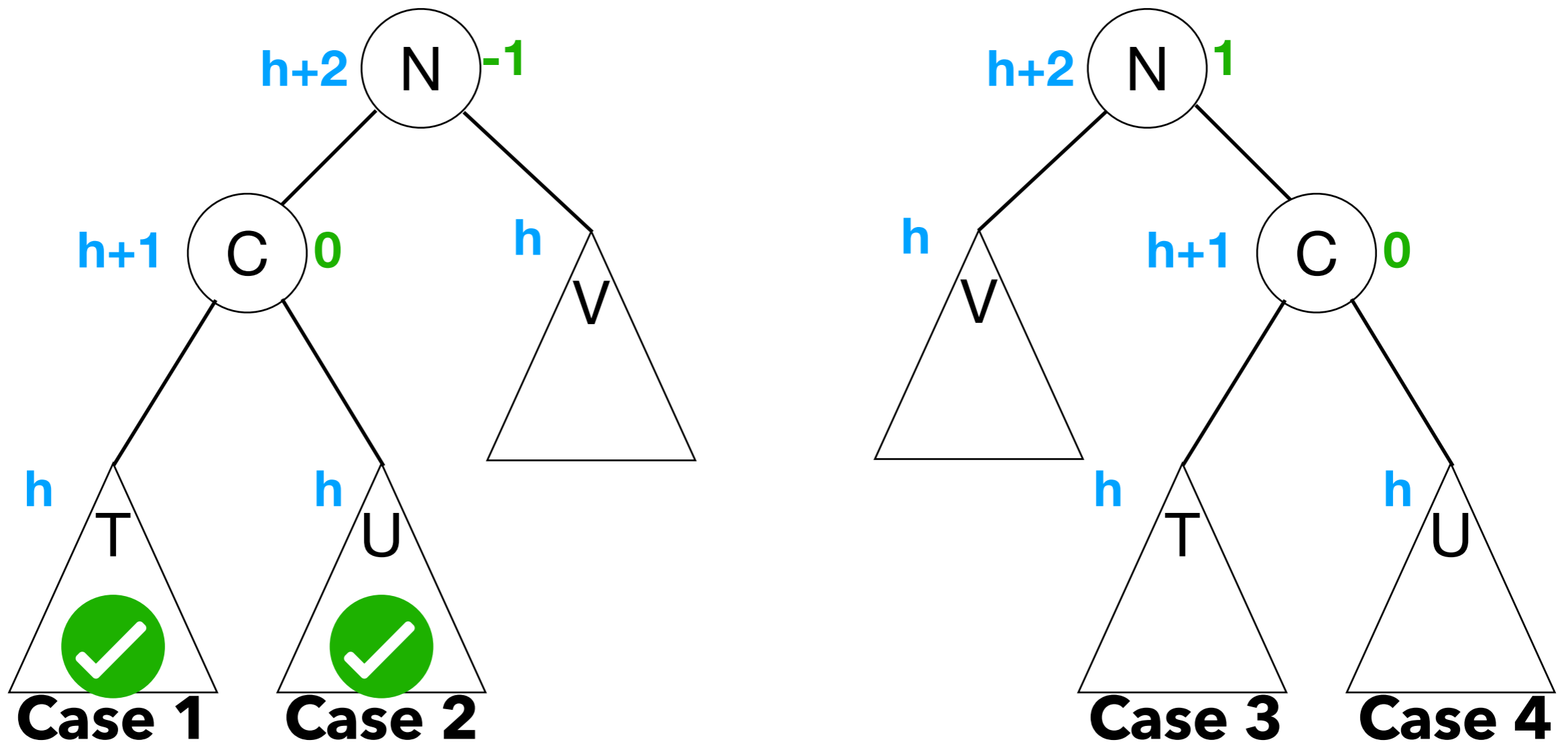
**2. Right rotate N**

Tree is now AVL balanced.



# AVL Rebalance

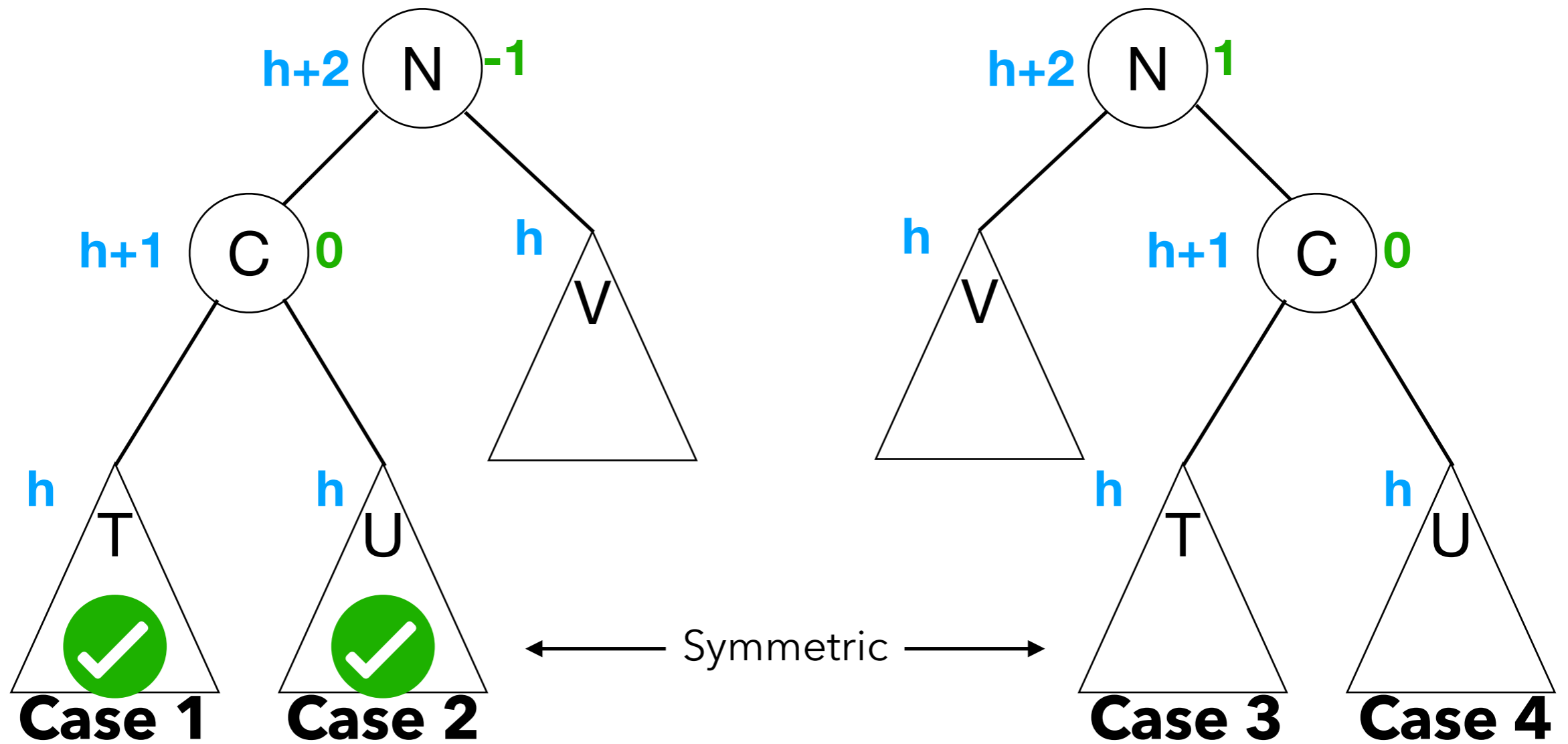
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

# AVL Rebalance

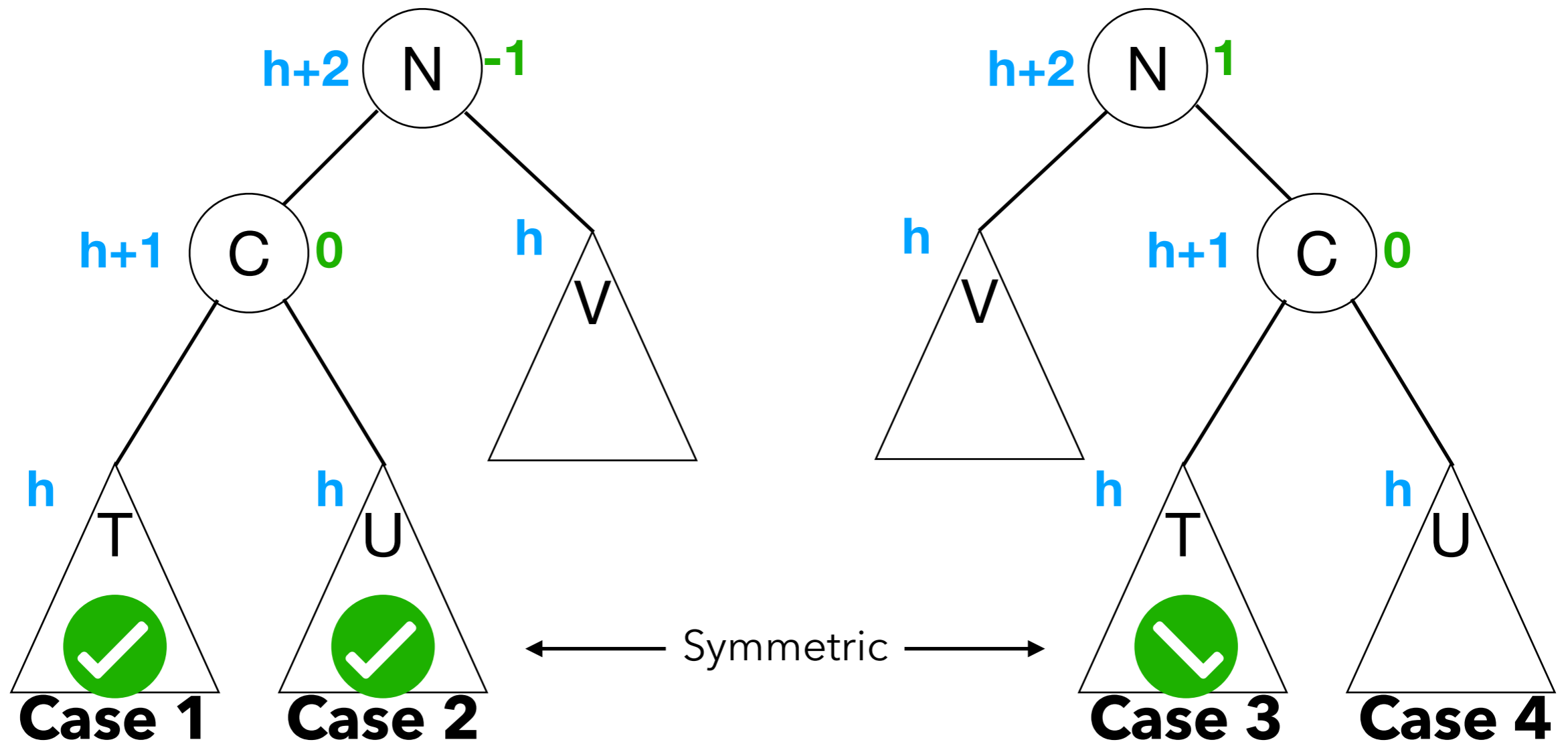
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

# AVL Rebalance

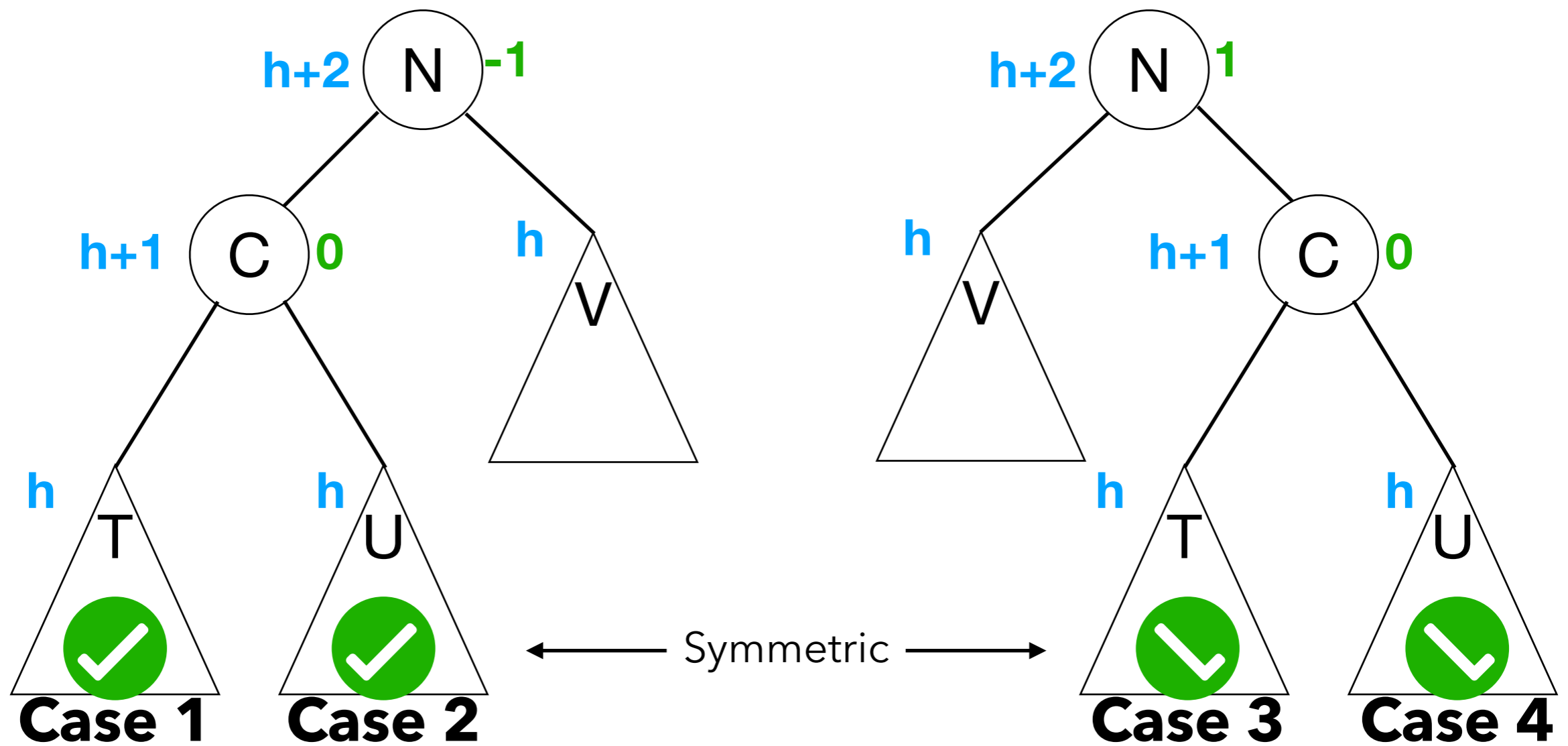
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

# AVL Rebalance

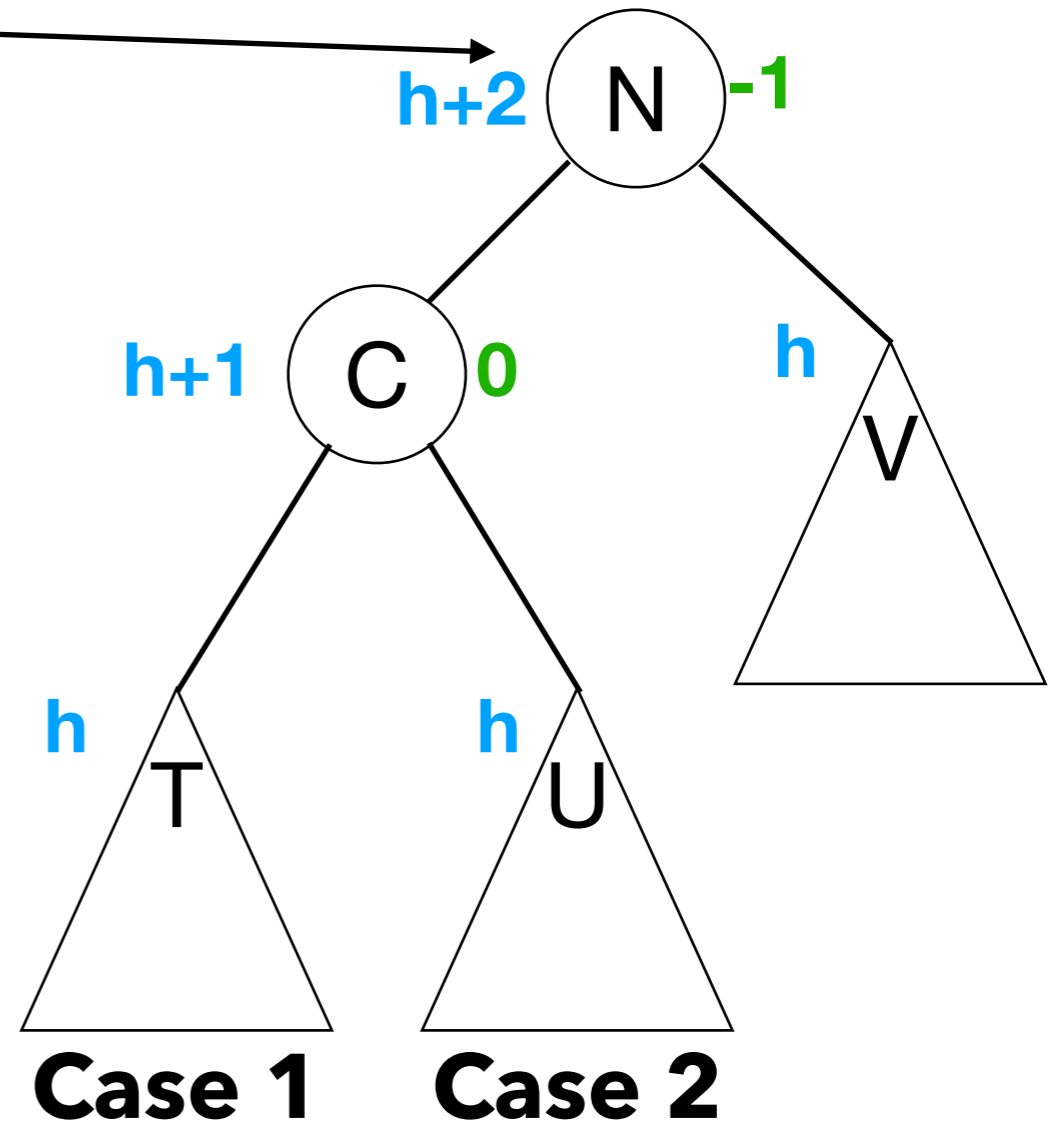
Before an insertion that unbalances  $n$ , the tree must look like one of these:



An insertion that unbalances  $n$  could go one of four places.

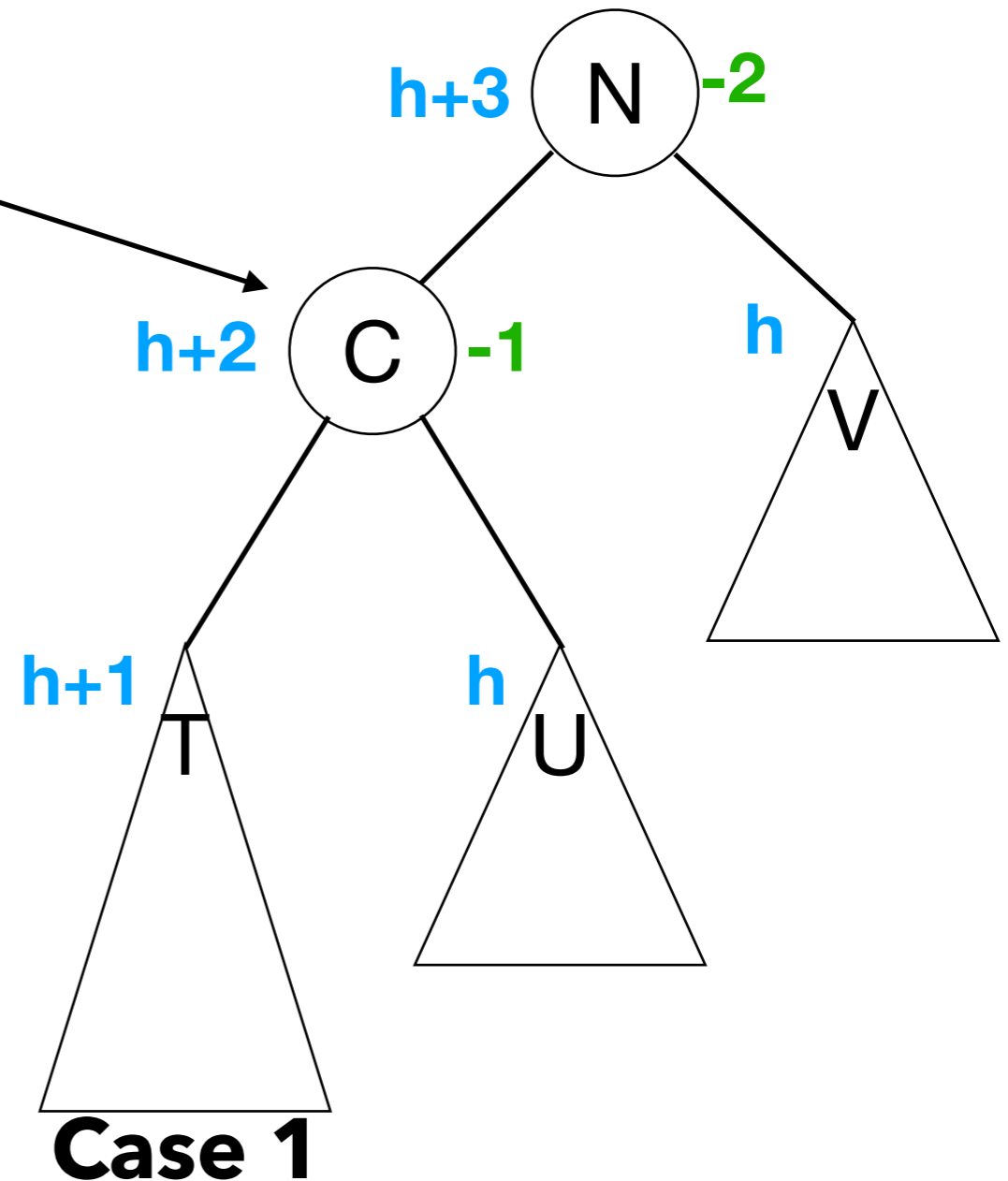
# Implementation

```
void rebalance(n):  
  if bal(n) < -1:  
    if bal(n.left) < 0  
      // case 1  
    else:  
      // case 2  
  else if bal(n) > 1:  
    if bal(n.right) < 0:  
      // case 3  
    else:  
      // case 4
```



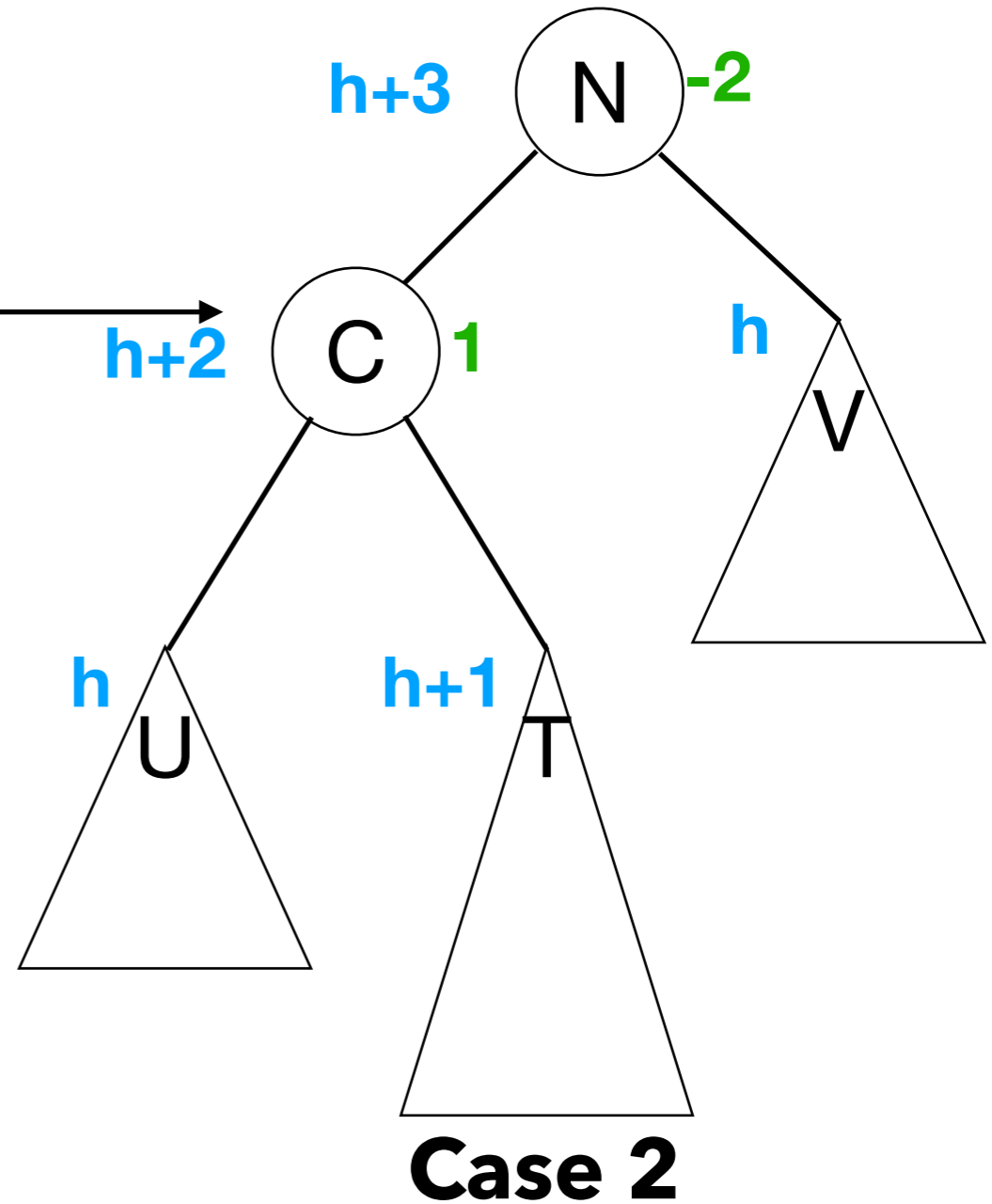
# Implementation

```
void rebalance(n):  
  if bal(n) < -1:  
    if bal(n.left) < 0  
      // case 1  
    else:  
      // case 2  
  else if bal(n) > 1:  
    if bal(n.right) < 0:  
      // case 3  
    else:  
      // case 4
```



# Implementation

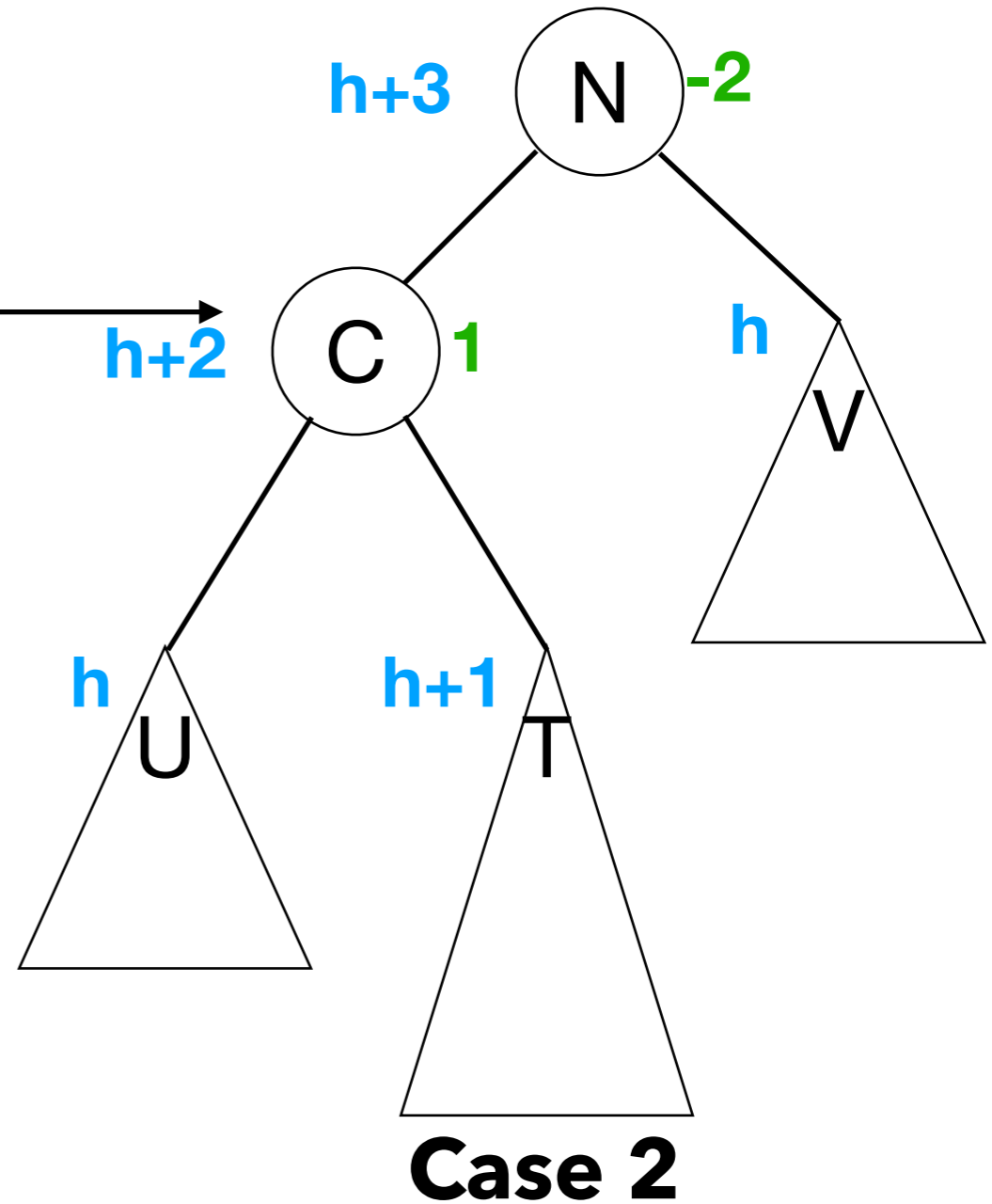
```
void rebalance(n):  
  if bal(n) < -1:  
    if bal(n.left) < 0  
      // case 1  
    else:  
      // case 2  
  else if bal(n) > 1:  
    if bal(n.right) < 0:  
      // case 3  
    else:  
      // case 4
```





# Implementation

```
void rebalance(n):  
  if bal(n) < -1:  
    if bal(n.left) < 0  
      // case 1  
    else:  
      // case 2  
  else if bal(n) > 1:  
    if bal(n.right) < 0:  
      // case 3  
    else:  
      // case 4
```



Cases 3 and 4 are symmetric with 2 and 1

# Implementation

```
void rebalance(n):  
    if bal(n) < -1:  
        if bal(n.left) < 0  
            // case 1  
        else:  
            // case 2  
    else if bal(n) > 1:  
        if bal(n.right) < 0:  
            // case 3  
        else:  
            // case 4
```

## Details - implementing bal:

- calculating height as in Lab 3 is  $O(n)$  - not good enough!
- Instead, nodes store their height. Need to update when the tree changes.
- Update each node's height **on the way up the tree**, calculating height using only the children's heights.

# Removing from AVL Tree

- Similar to insertion: remove as usual, rebalance as necessary at each level up to the root.
- Whereas insertion only ever requires only one rebalance, deletion can require many
  - but still no more than the tree's height.