

CSCI 241

Lecture 4

Recursive Sorting:
Mergesort and Quicksort

Announcements

- First programming assignment (A1) is out!
 - Due 1/25.
 - It's largeish, so don't wait to get started.
 - In lab this week you will complete the implementation of *unit tests* to verify that your sorts work.
- Office hours: posted on the course webpage.
- Mentor hours: 4-7pm, CF162/164

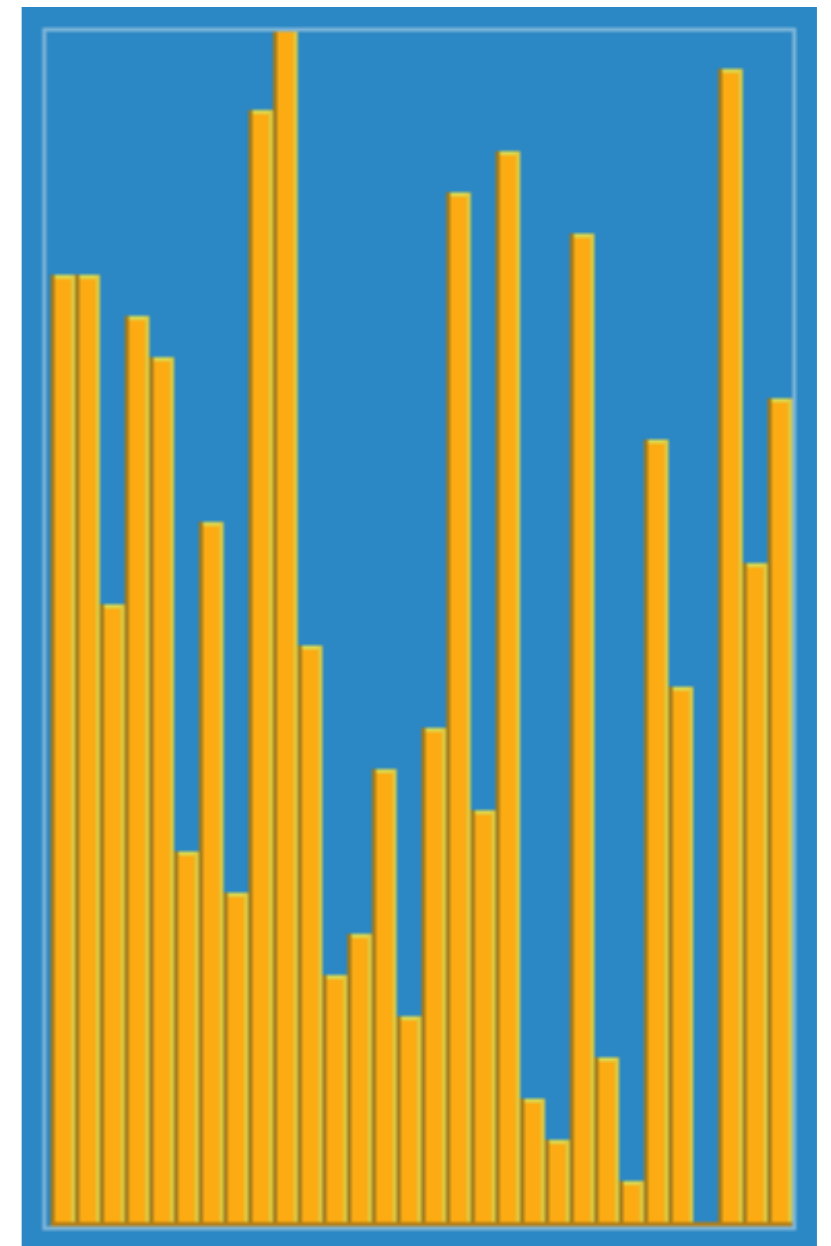
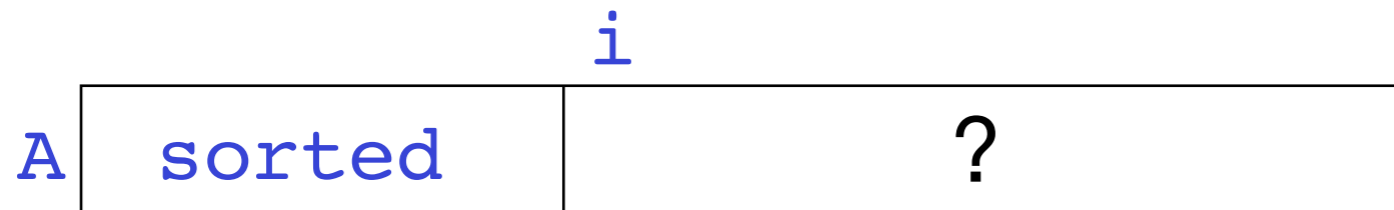
Goals:

- Know the generic steps of a divide-and-conquer algorithm.
- Thoroughly understand the mechanism of mergesort and quicksort.
- Be prepared to implement **merge** and **partition** helper methods.

Incremental Algorithms

solve a problem a little bit at a time.

Natural programming
mechanism: loops



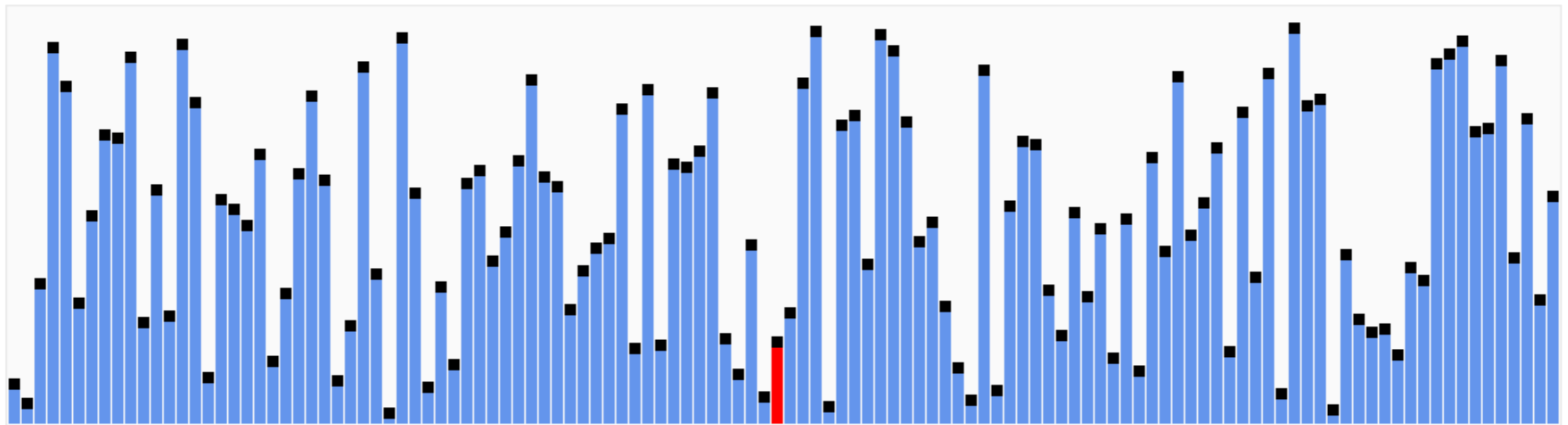
insertion sort

Divide-and-Conquer Algorithms

solve a problem by breaking it into smaller problems.

Natural programming mechanism: recursion

↑ (easier!)



<https://upload.wikimedia.org/wikipedia/commons/f/fe/Quicksort.gif>

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

```
mergeSort(A, start, mid)
```

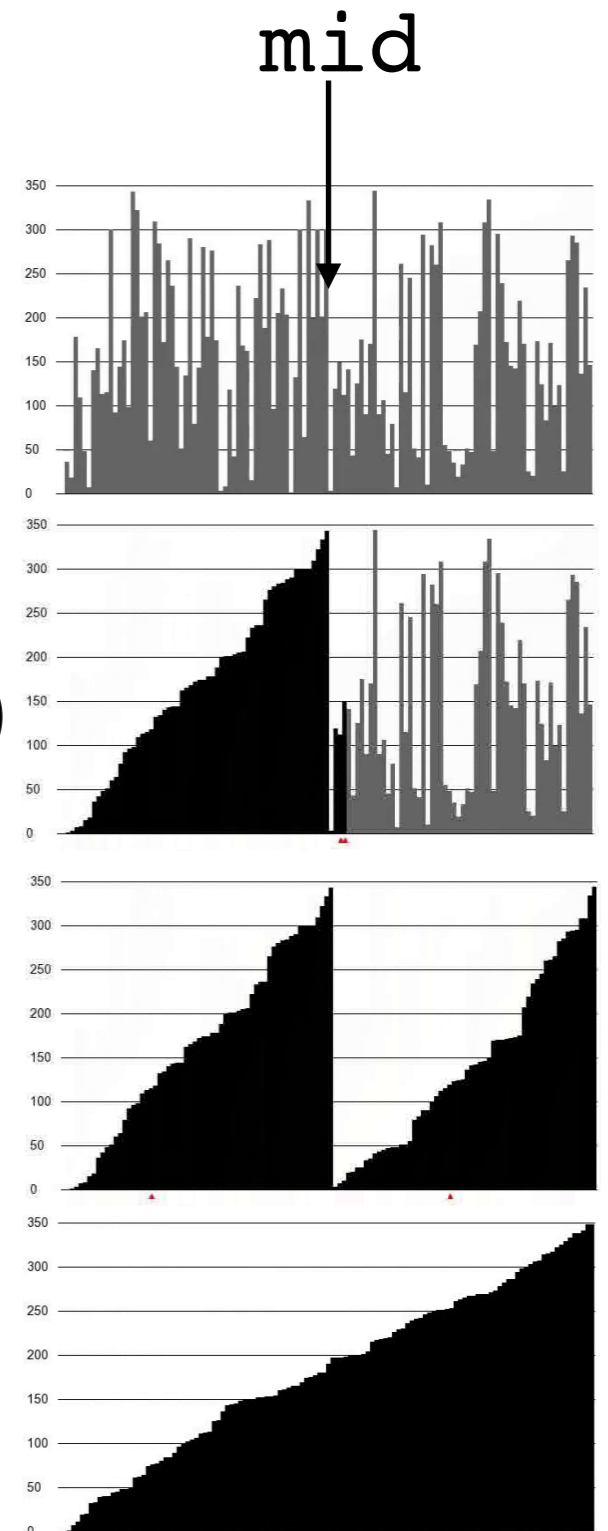
Conquer (left)

```
mergeSort(A, mid, end)
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



1. Spec

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

3. Progress

```
mergeSort(A, start, mid)
```

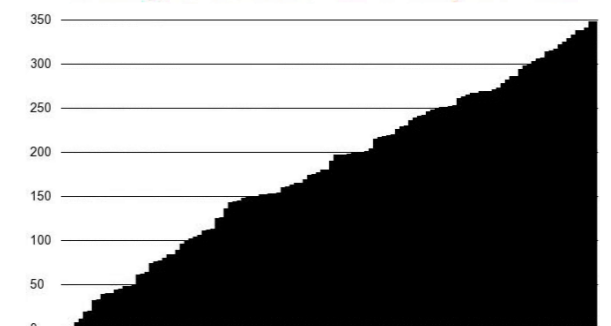
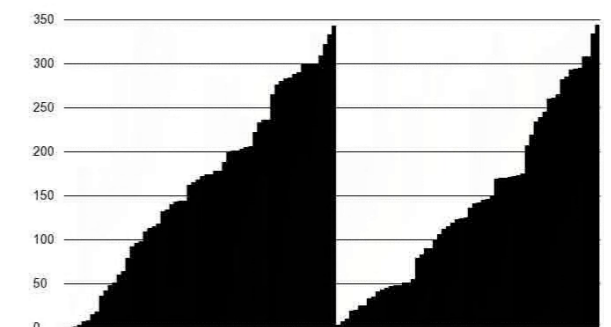
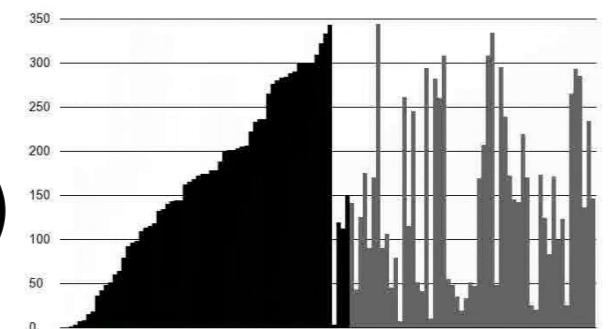
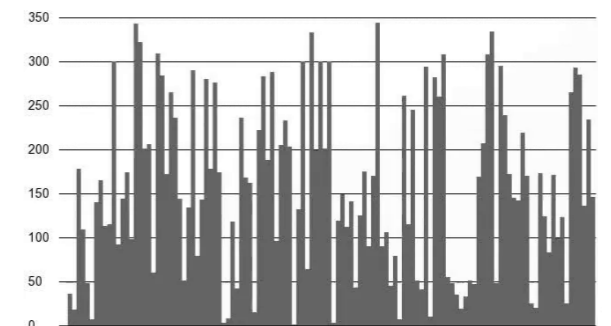
Conquer (left)

```
mergeSort(A, mid, end)
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



1. Spec

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

3. Progress

```
  sort A[start..mid]
```

Conquer (left)

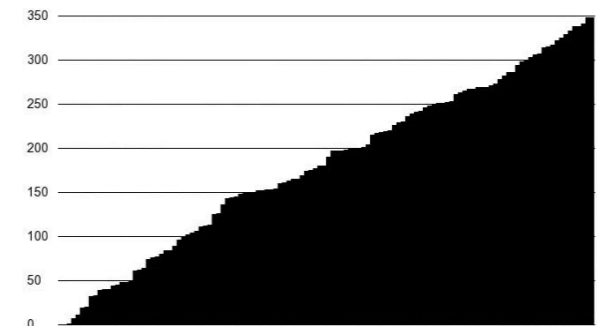
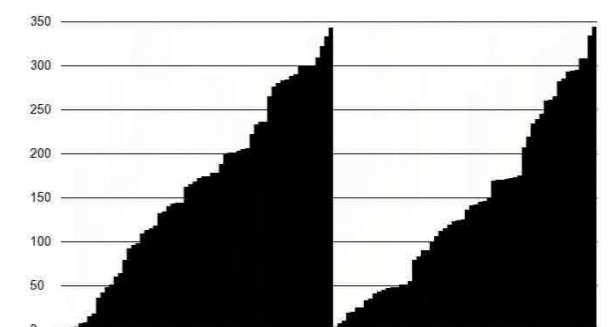
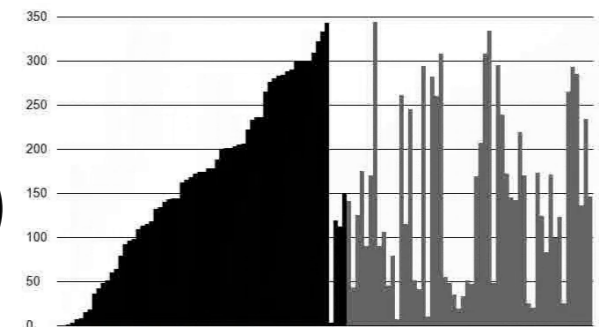
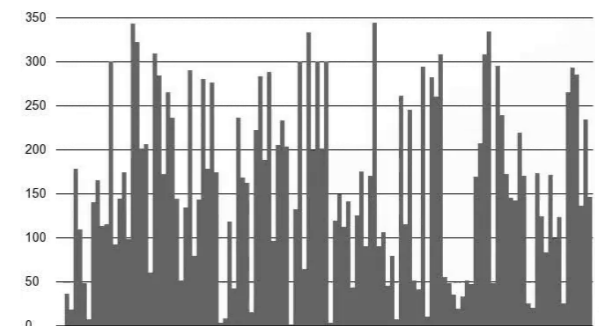
4. Replace recursive calls with spec

```
  sort A[mid..end]
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



Merge Step

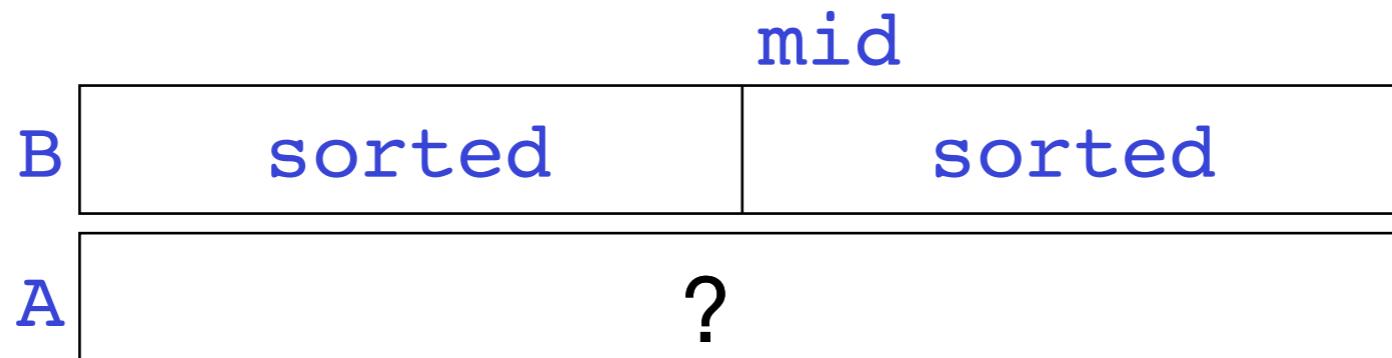
- Merge two halves, each of which is **sorted**.

1	3	5	6
---	---	---	---

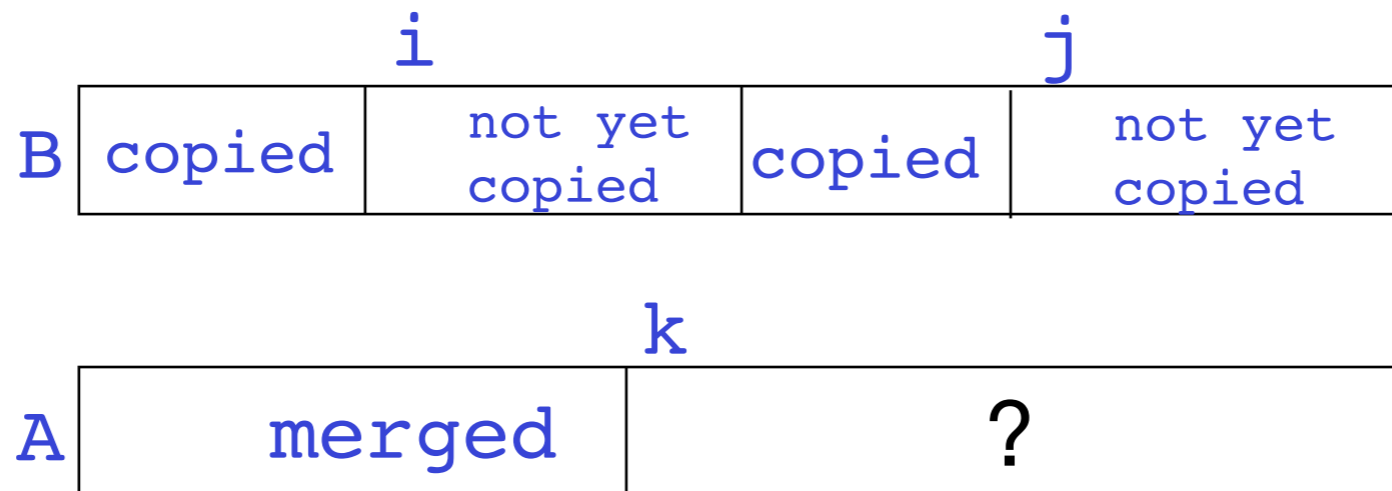
2	4	7	8
---	---	---	---

Merge step: Loop Invariant

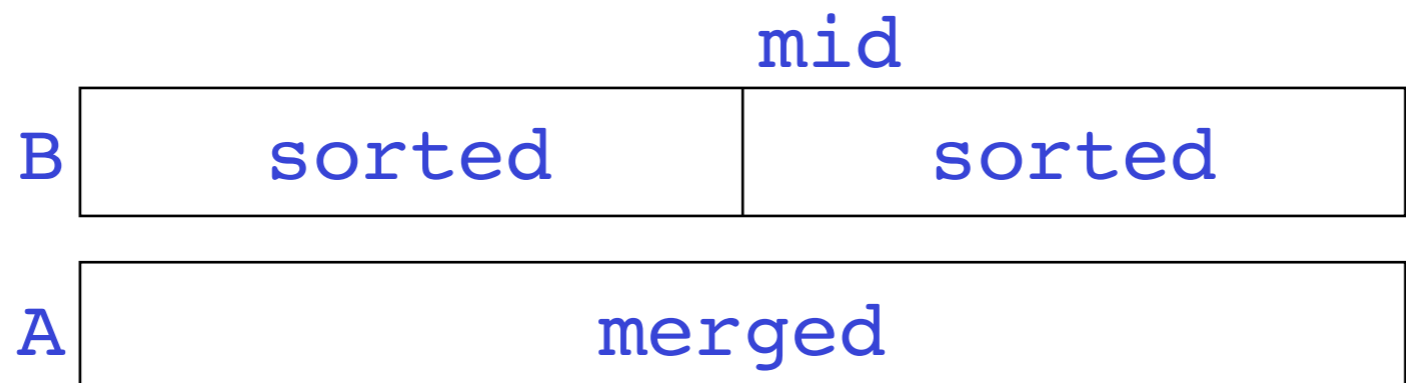
Precondition



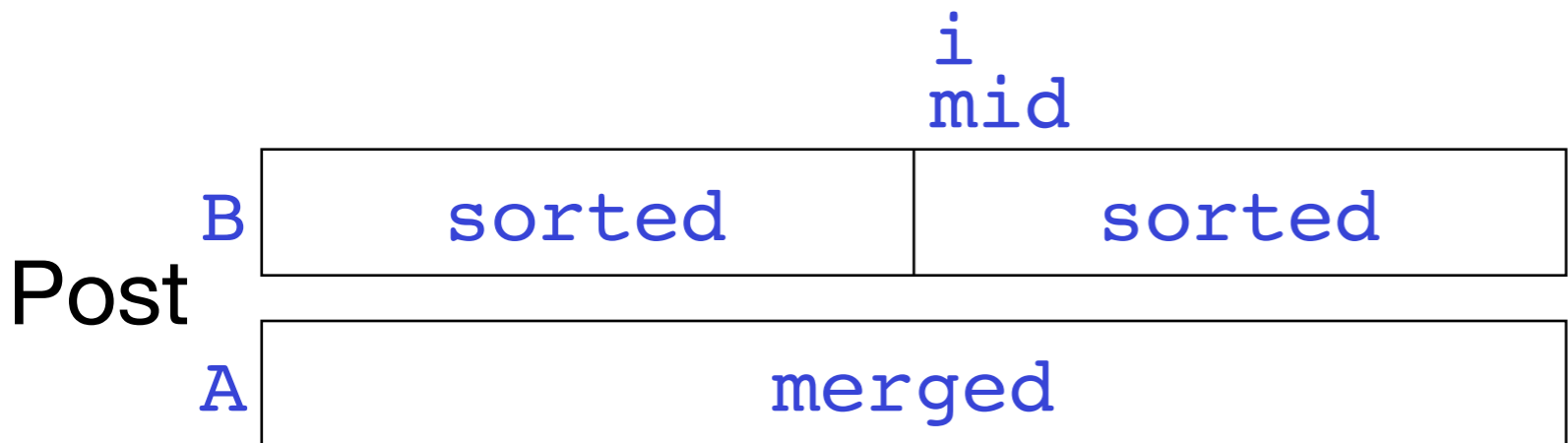
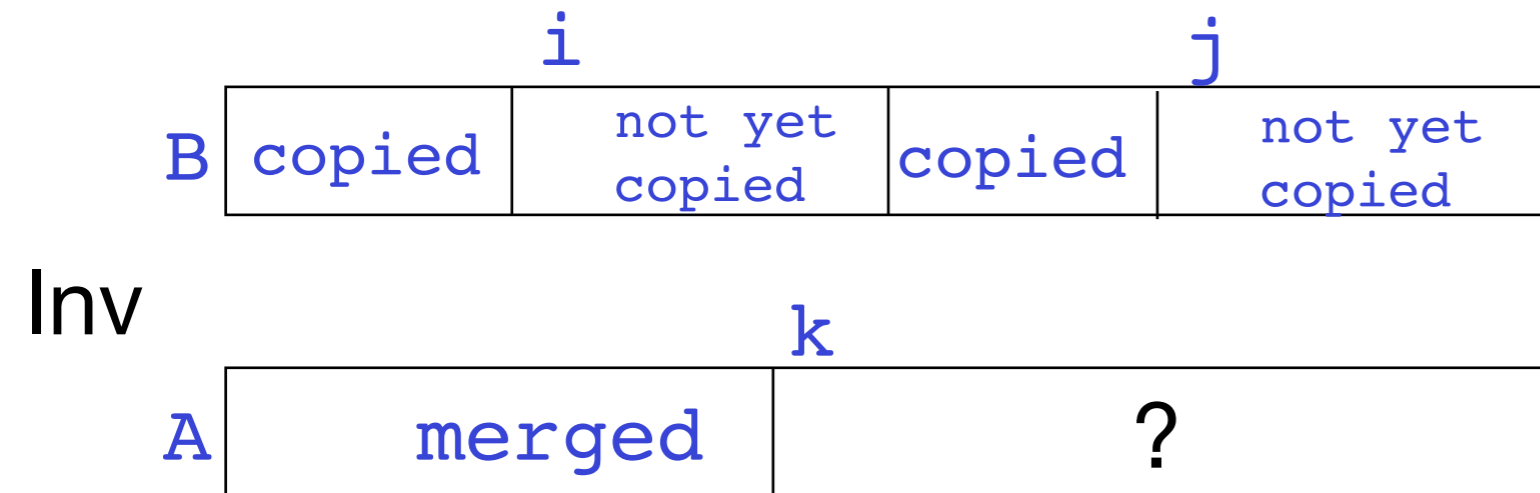
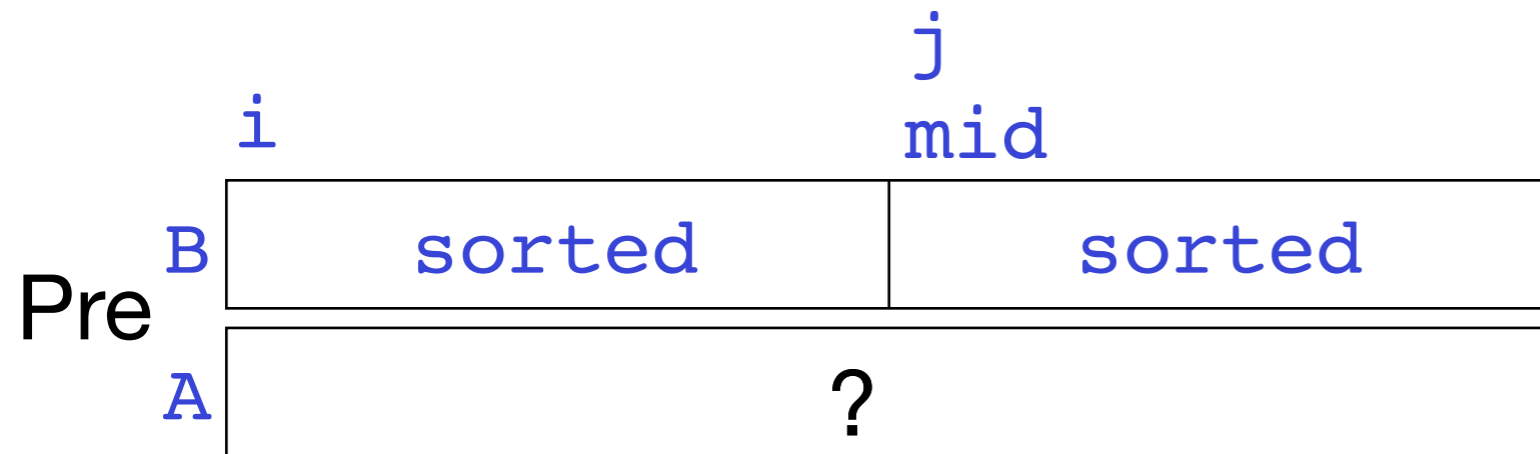
Invariant



Postcondition



Merge step



```

/** Merge sorted halves of A */
merge(A):
    B = a deep copy of A
    mid = A.length / 2
    i = 0
    j = mid
    k = 0
    while i < mid and j < end:
        if B[i] < B[j]:
            A[k] = B[i]
            i++
        else:
            A[k] = B[j]
            j++
        k++
    while i < mid:
        A[k] = B[i]
        i++, k++
    while j < end:
        A[k] = B[j]
        j++, k++

```

Smaller thing goes first

Ran out of things in one list or the other

Copy remaining things from nonempty half

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

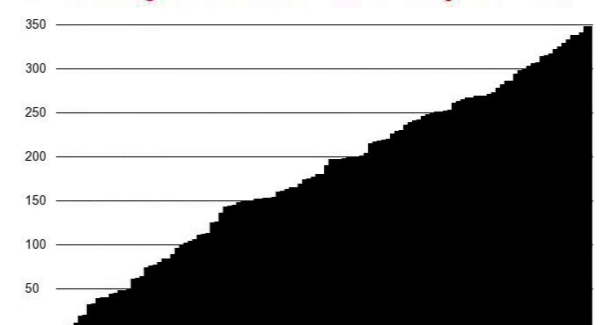
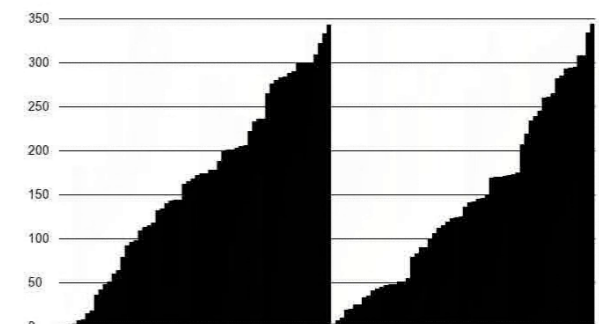
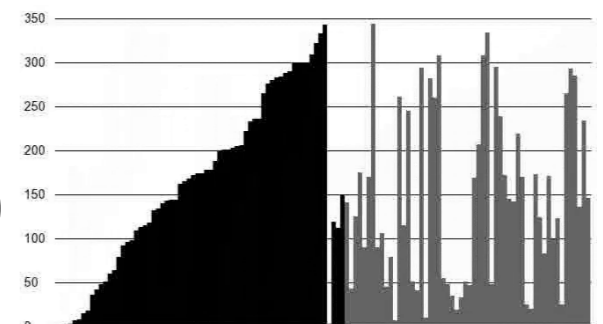
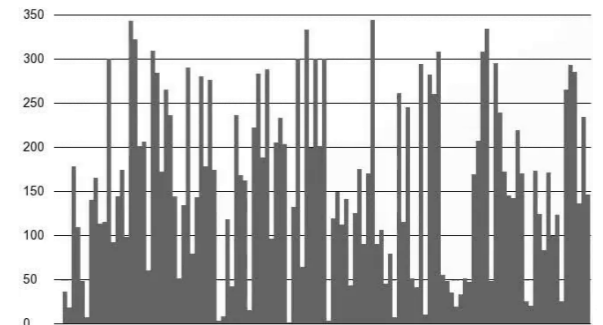
```
  mid = (end-start)/2
```

Divide

```
mergeSort(A, start, mid)    Conquer (left)
```

```
mergeSort(A, mid, end)     Conquer (right)
```

```
merge(A, start, mid, end)  Combine
```



<https://visualgo.net/bn/sorting>

Quicksort

```
/** mergesort A[st..end]*/  
mergeSort(A, st, end):  
    if (small):  
        return
```

```
mid = (end-start)/2
```

```
mergeSort(A, st, mid)  
mergeSort(A, mid, end)
```

```
merge(A, st, mid, end)Combine
```

```
/** quicksort A[st..end]*/  
quickSort(A, st, end):  
    if (small):  
        return
```

```
mid = partition(A, st, end)
```

```
quickSort(A, st, mid)  
quickSort(A, mid, end)
```

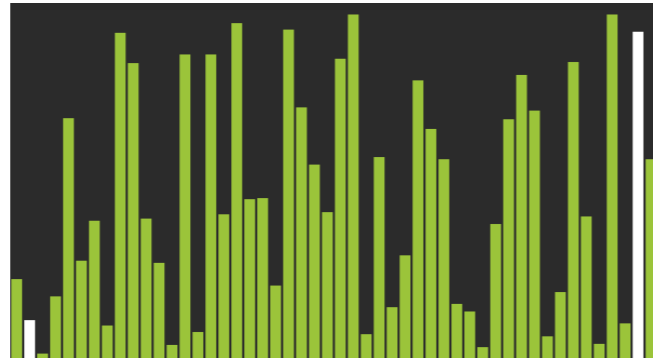
Divide

Conquer

Combine

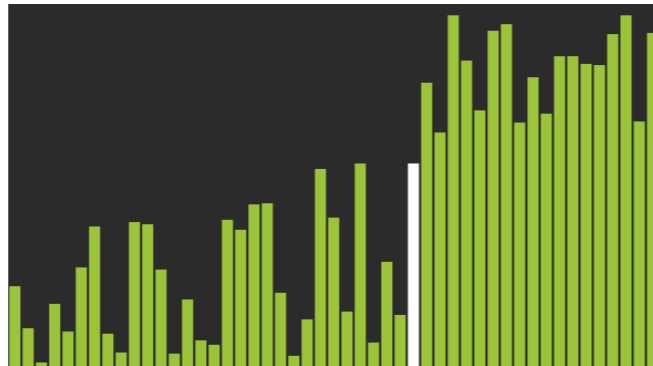
Quicksort

Unsorted:



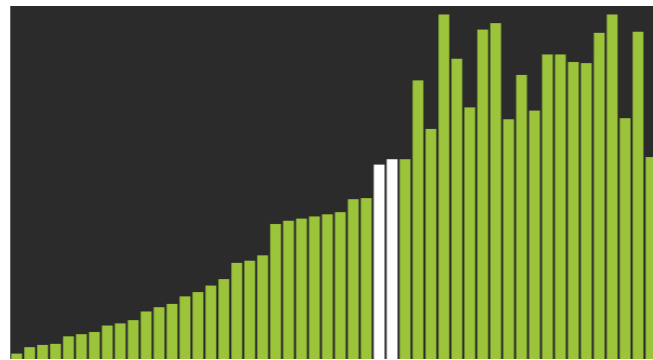
```
/** quicksort A[st..end]*/  
quicksort(A, st, end):  
    if (small):  
        return
```

Small things left
big things right:



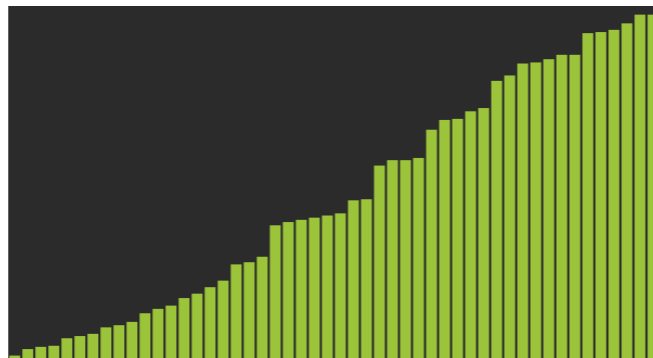
```
← mid = partition(A, st, end)
```

Sort left things:



```
← quicksort(A, st, mid)
```

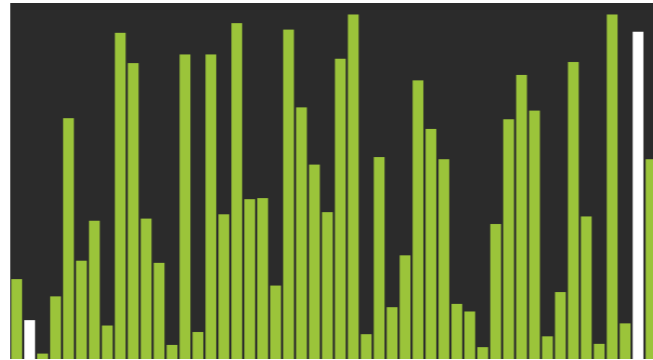
Sort right things:



```
← quicksort(A, mid, end)
```

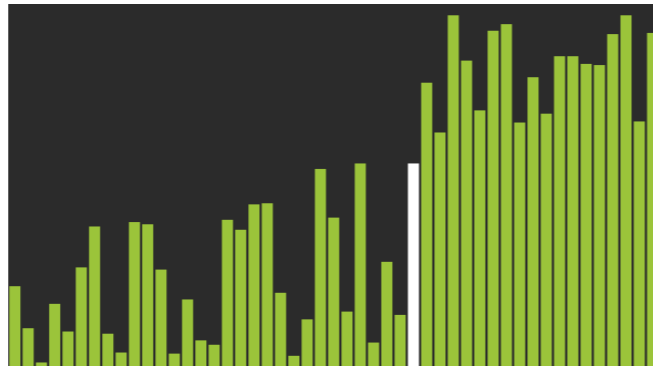
Quicksort - Does it work?

Unsorted:



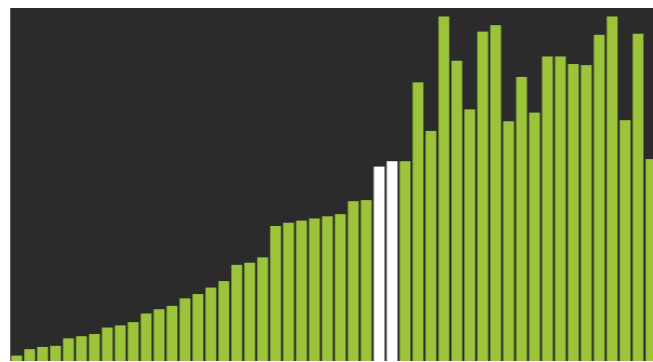
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/** quicksort A[st..end]*/  
quicksort(A, st, end):  
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```

Small things left
big things right:



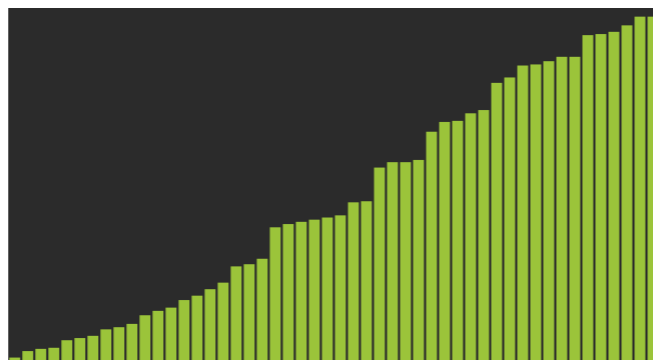
```
← mid = partition(A, st, end)
```

Sort left things:



```
← quicksort(A, st, mid)
```

Sort right things:



```
← quicksort(A, mid, end)
```

Quicksort

Key issues:

1. Picking the pivot

- First, middle, or last
- Median of first, middle, and last

2. Implementing `partition`

```
/** quicksort A[st..end]*/  
quicksort(A, st, end):  
    if (small):  
        return  
  
    mid = partition(A, st, end)  
  
    quicksort(A, st, mid)  
  
    quicksort(A, mid, end)
```


Quicksort

Key issues:

1. Picking the pivot

- First, middle, or last
- Median of first, middle, and last

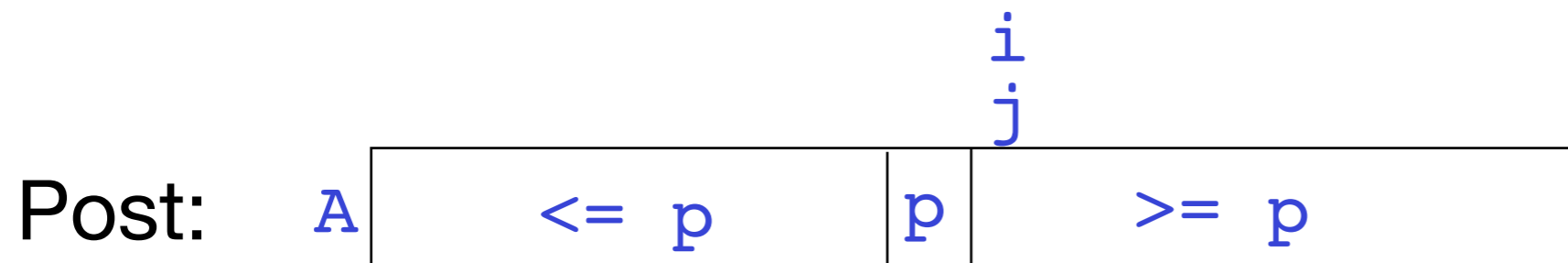
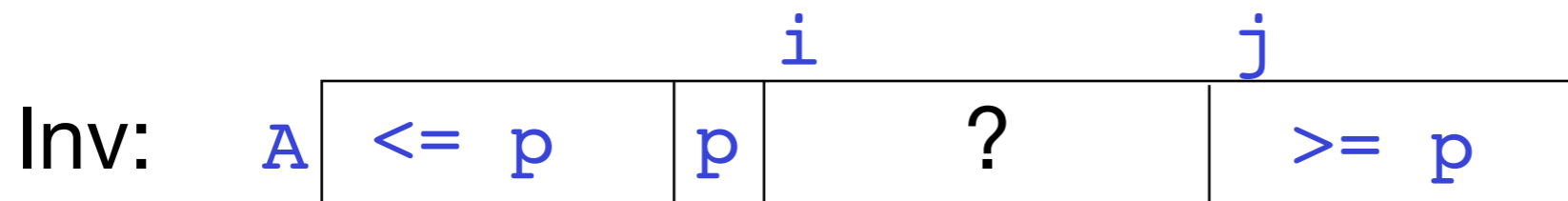
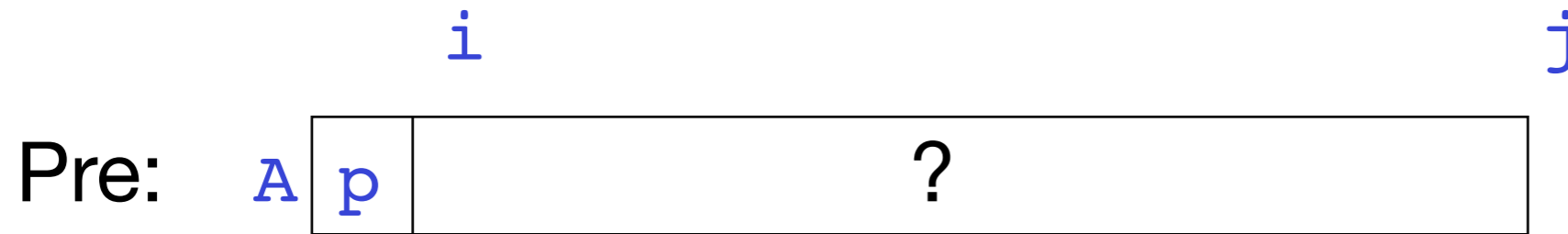
2. Implementing `partition`

```
/** quicksort A[st..end]*/  
quicksort(A, st, end):  
    if (small):  
        return  
  
    mid = partition(A, st, end)  
  
    quicksort(A, st, mid)  
  
    quicksort(A, mid, end)
```

```

/** partition A around the pivot A[pivIndex].
 * return the pivot's new index.
 * precondition: start <= pivIndex < end
 * postcondition: A[start..i] <= A[i] <= A[i+1..end]
 *     where i is the return value */
public int partition(int[] A, int pivIndex) {

```



Caution

- There are multiple ways to implement partition. I just showed you my favorite.
- If you look at other resources, you may find different approaches that accomplish the same result.