



# CSCI 241

## Lecture 9 Binary Search Trees

# Announcements

- Performance bug alert: in `merge()`, don't make a copy of the whole array if you're only merging part of it!
- Quiz grades will be released via GradeScope
- How's A1 going?

# Goals (Today and Wednesday):

- Know the definition and uses of a binary search tree.
- Be prepared to implement, and know the runtime of, the following BST operations:
  - searching
  - inserting
  - deleting
- Know what a balanced BST is and why we want it.

# Tree Terminology: Lighting Round!

**ABCD:**

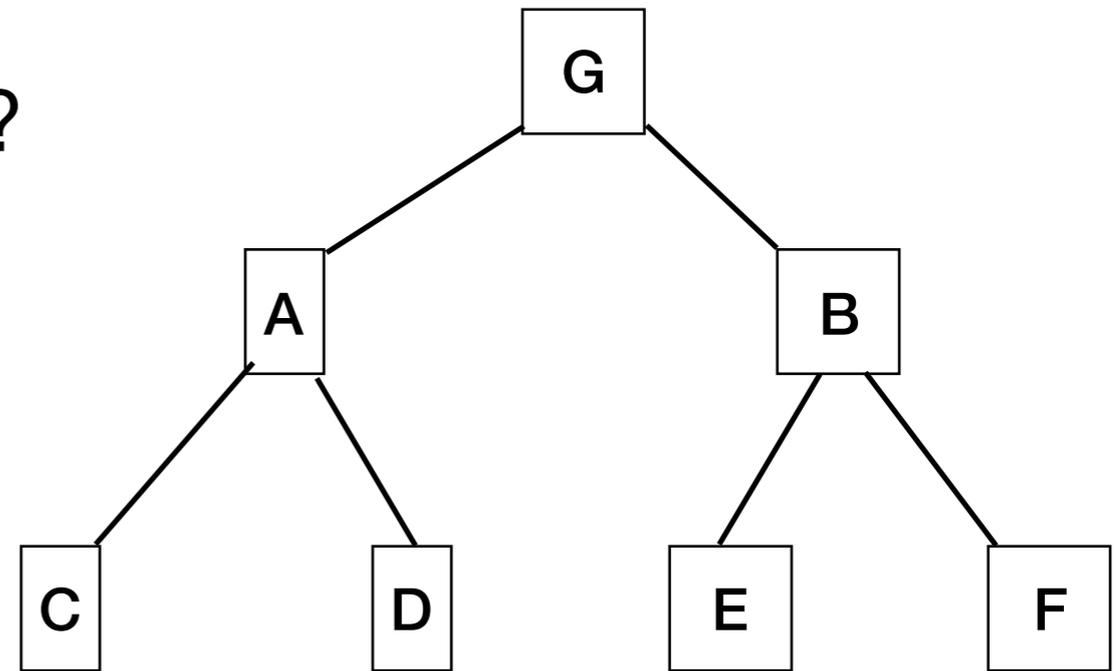
What's the root of G's right subtree?

What's an ancestor of F?

What's C's parent?

What's a node at depth 1?

What's a node at the root of a subtree of height 0?

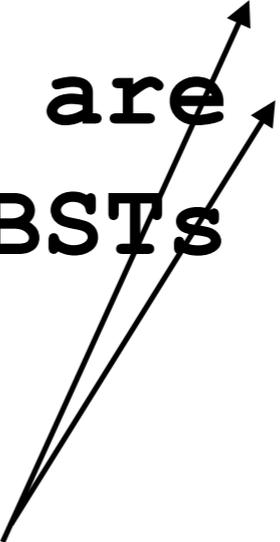


# Binary Tree

```
public class Tree {  
    int value;  
    Tree parent;  
    Tree left;  
    Tree right;  
}
```

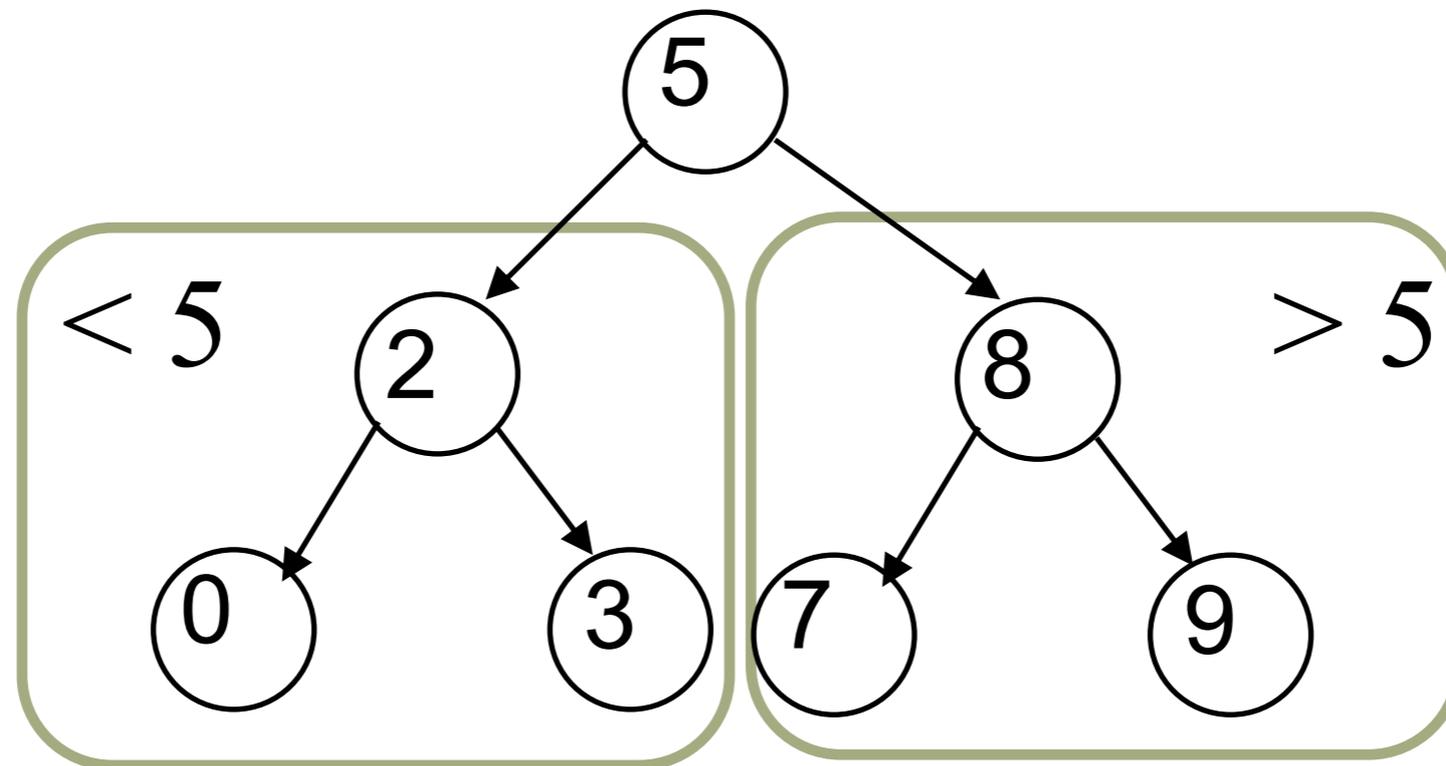
# Binary Search Tree

```
/** BST: a binary tree, in which:  
 * -all values in left are < value  
 * -all values in right are > value  
 * -left and right are BSTs */  
public class BST {  
    int value;  
    BST parent;  
    BST left;  
    BST right;  
}
```

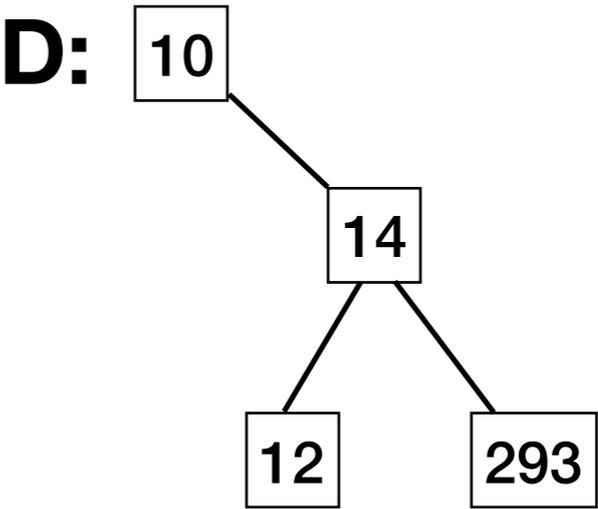
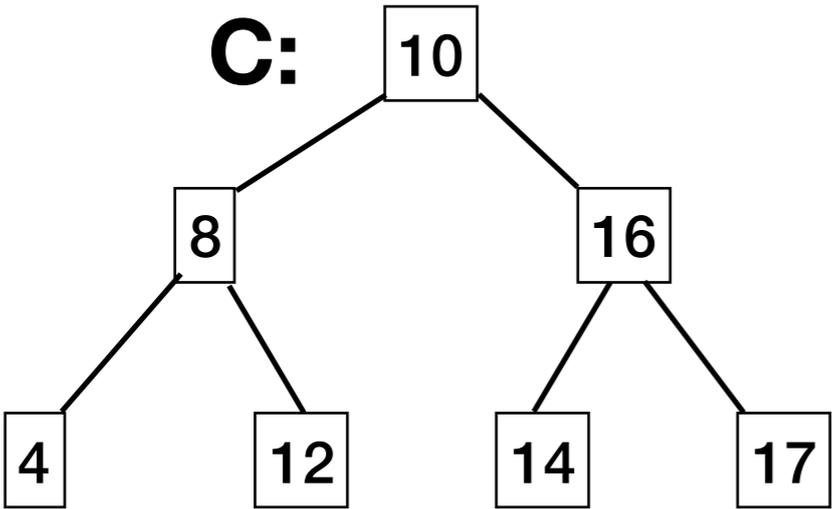
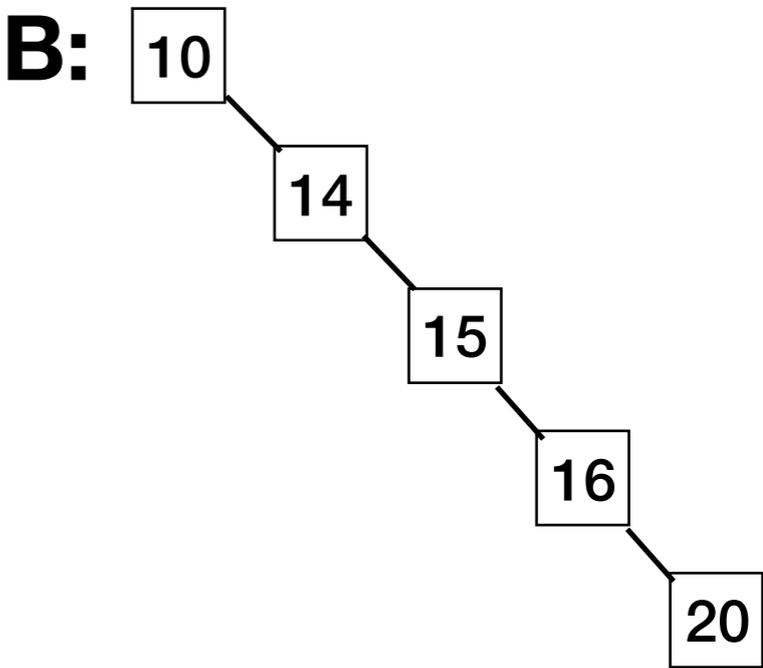
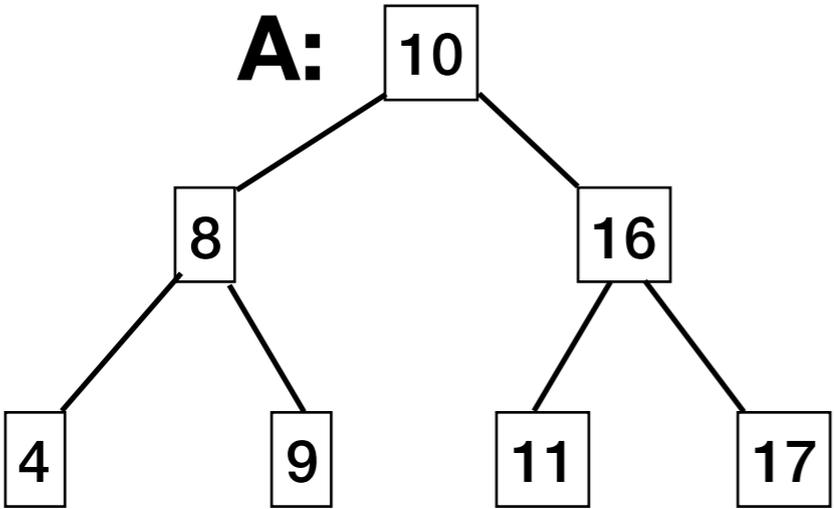


consequence: no duplicates!

# Binary Search Tree



**ABCD:** Which of these is **not** a binary search tree?



# Traversing a BST

## pre-order traversal:

1. **Process root**
2. Process left subtree
3. Process right subtree

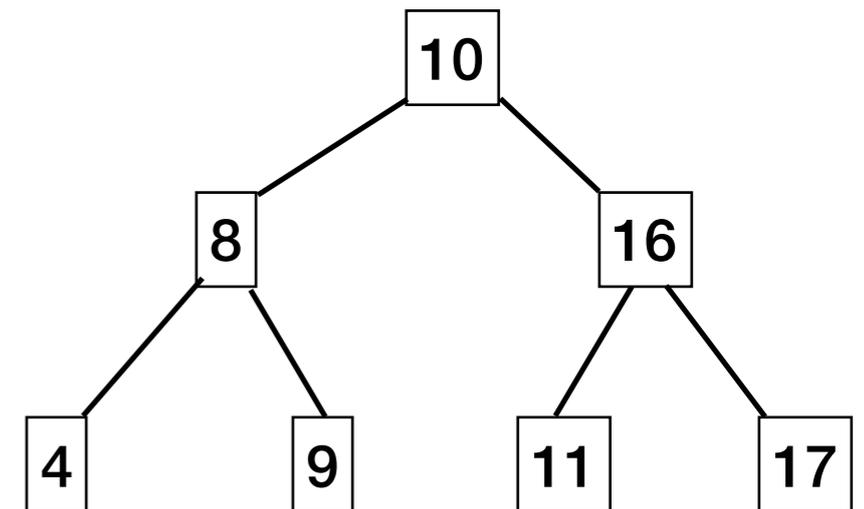
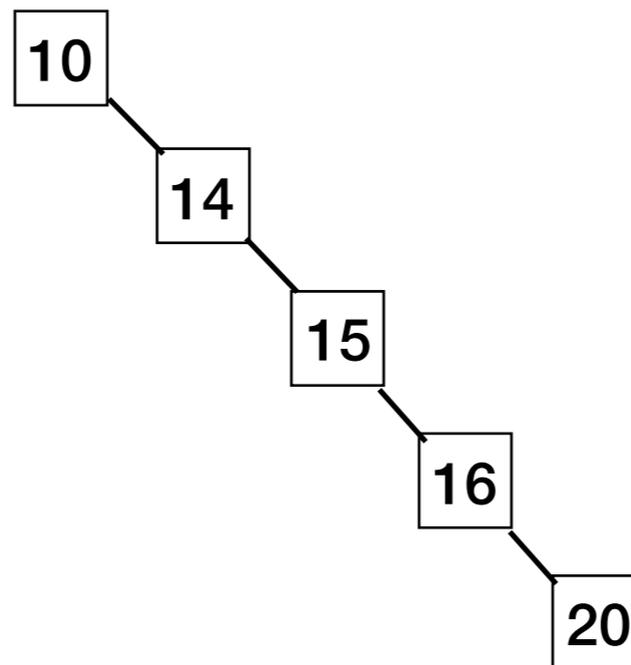
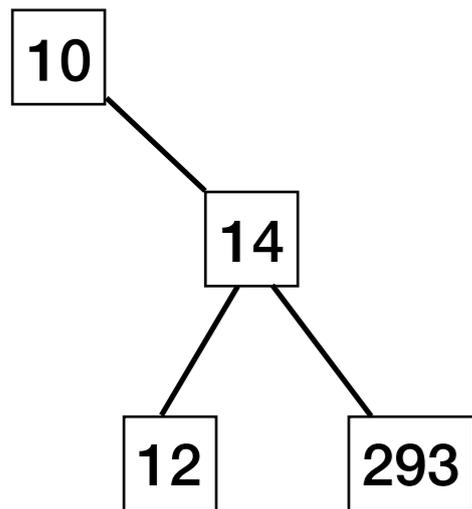
## in-order traversal:

1. Process left subtree
2. **Process root**
3. Process right subtree

## post-order traversal:

1. Process left subtree
2. Process right subtree
3. **Process root**

Write the values printed by an **in-order** traversal of each of the following BSTs:



# Searching a Binary Tree

- A **binary tree** is
  - Empty, or
  - Three things:
    - value
    - a left **binary tree**
    - a right **binary tree**

(not BST!)

Find  $v$  in a binary tree:

```
boolean findVal(Tree t, int v):
```

(base case - not found!)

```
if t == null:
```

```
    return false
```

(base case - is this  $v$ ?)

```
if t.value == v: return true
```

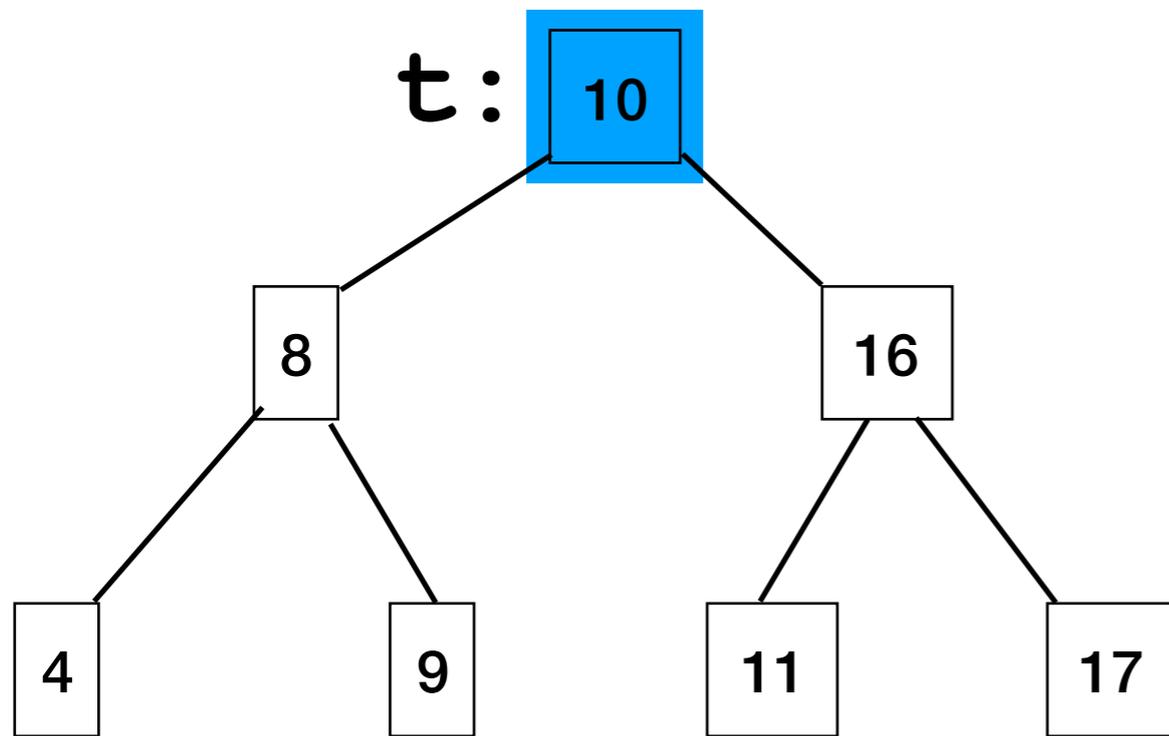
(recursive call - is  $v$  in left?)

```
return findVal(t.left)
```

```
    || findVal(t.right)
```

(recursive call - is  $v$  in right?)

# Searching a BST

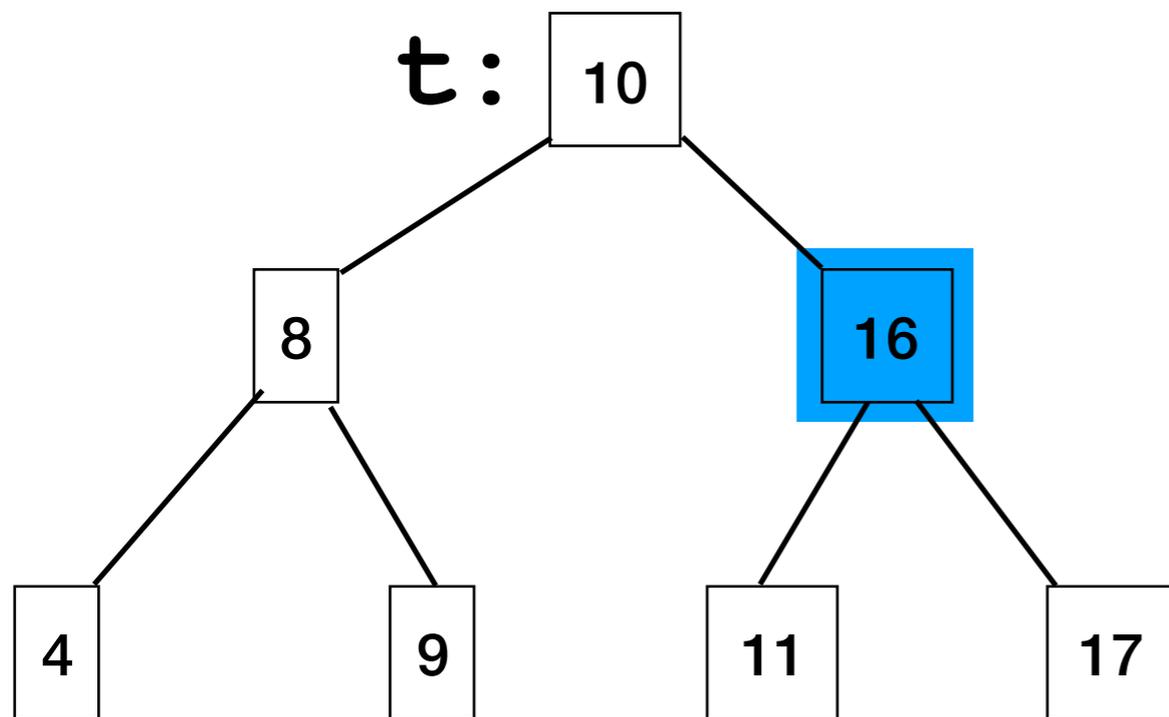


`search(t, 11)`

`11 > 10`

`search(right, 11)`

# Searching a BST



`search(t, 11)`

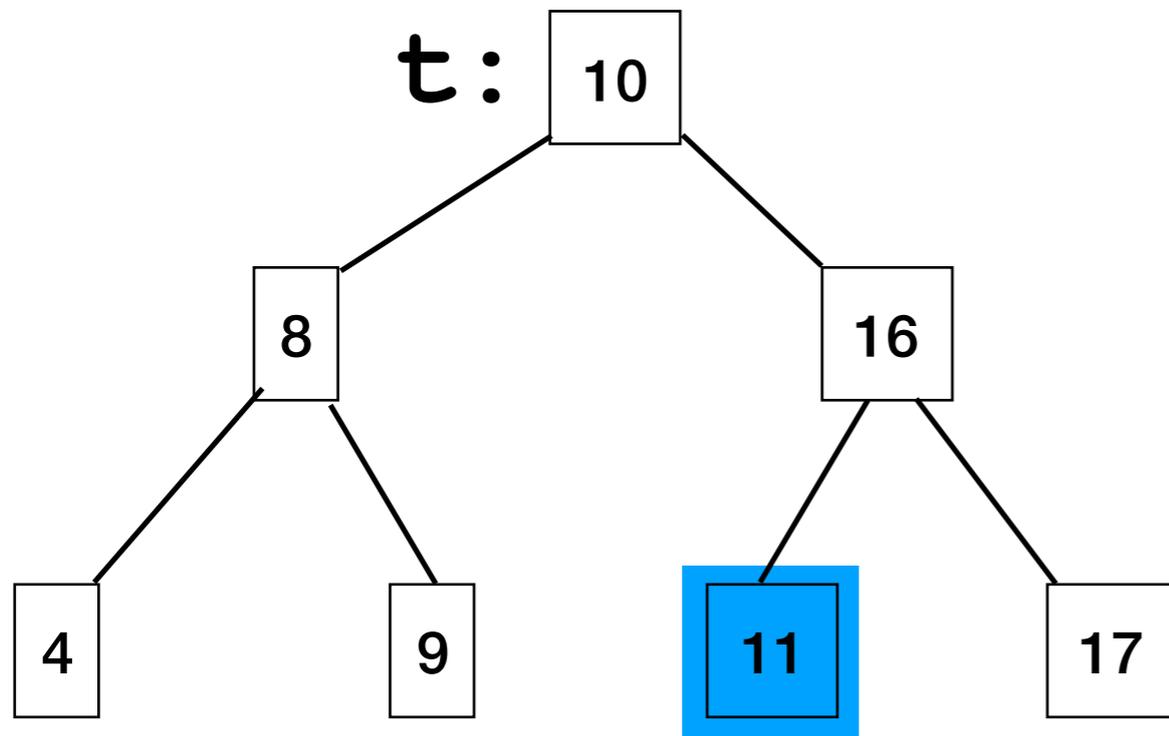
`11 > 10`

`search(right, 11)`

`11 < 16`

`search(left, 11)`

# Searching a BST



`search(t, 11)`

`11 > 10`

`search(right, 11)`

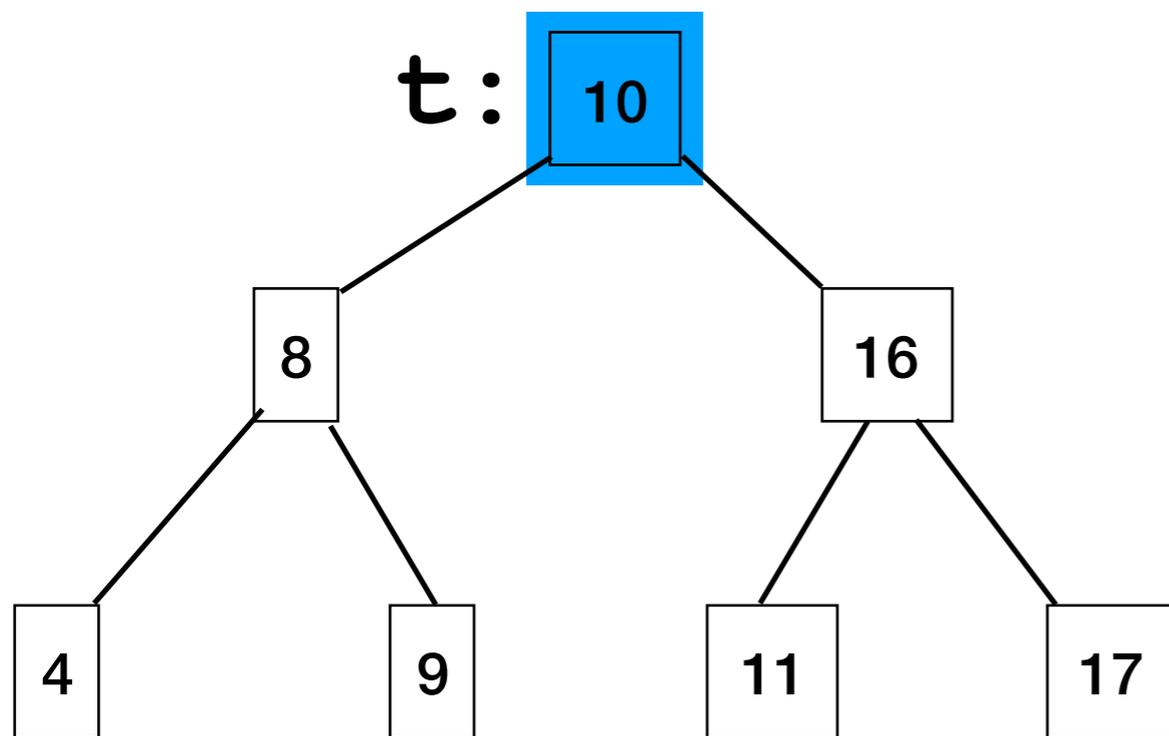
`11 < 16`

`search(left, 11)`

`11 < 16`

`found it! return.`

# Searching a BST - the nonexistent case

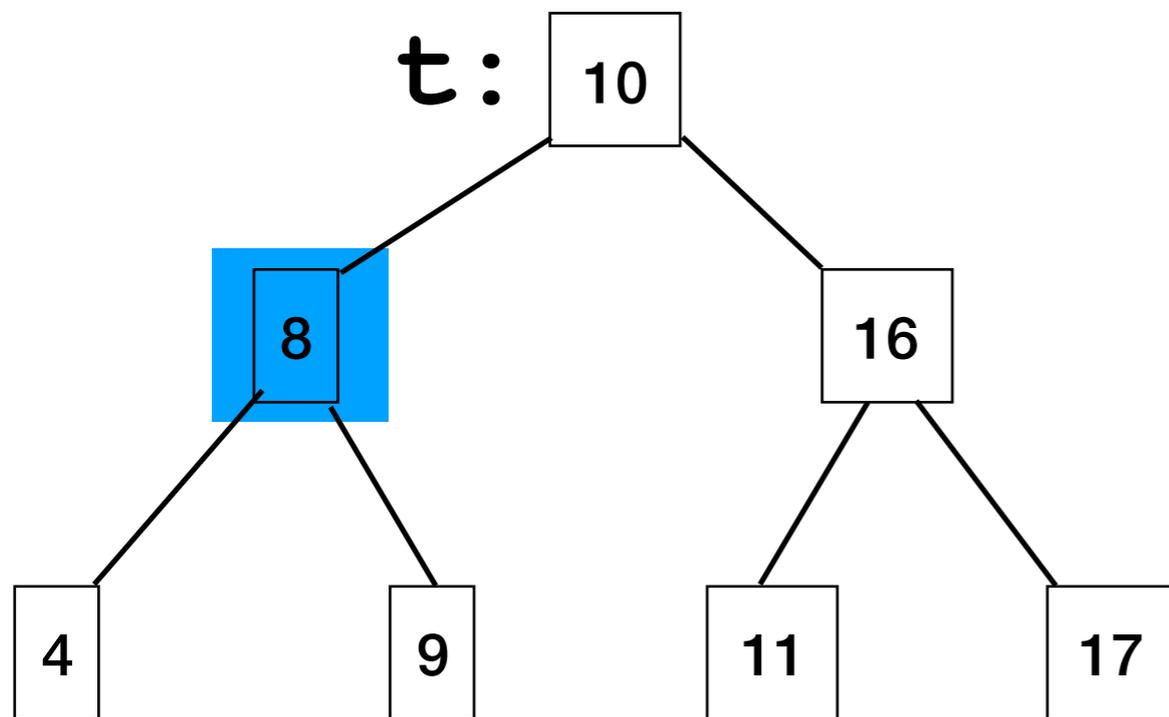


`search(t, 5)`

`5 < 10`

`search(left, 5)`

# Searching a BST - the nonexistent case



`search(t, 5)`

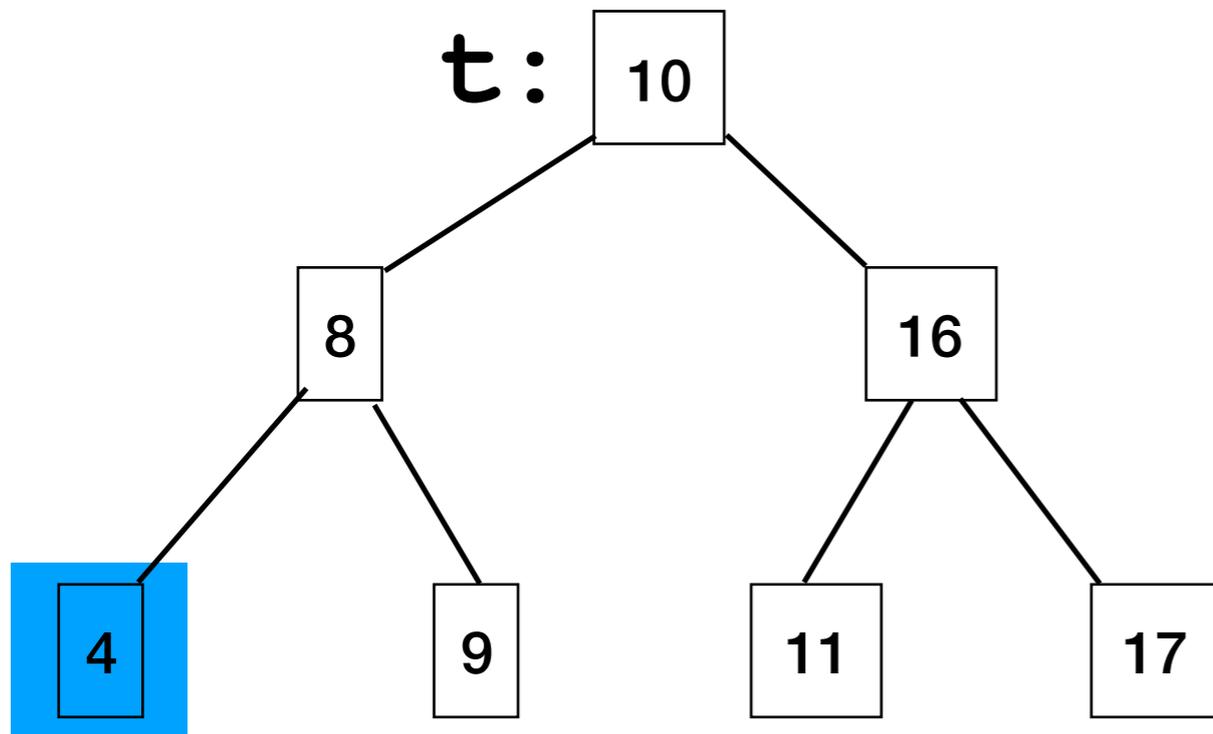
`5 < 10`

`search(left, 5)`

`5 < 8`

`search(left, 5)`

# Searching a BST - the nonexistent case



`search(t, 5)`

$5 < 10$

`search(left, 5)`

$5 < 8$

`search(left, 5)`

$5 > 4$

`search(right, 5)`

`null - not found!`

# Searching: BT vs BST

Compare binary tree to binary search tree:

```
boolean searchBT(n, v):  
    if n==null, return false  
    if n.v == v, return true  
    return searchBST(n.left, v)  
        || searchBST(n.right, v)
```

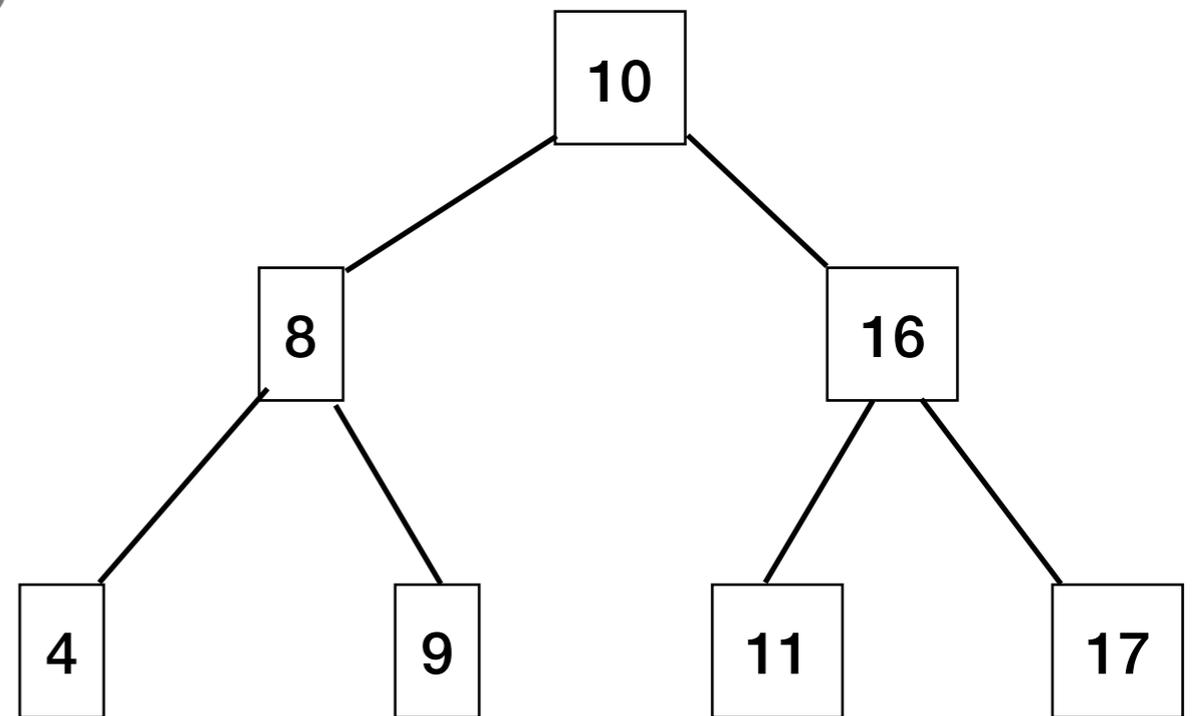
2 recursive calls

```
boolean searchBST(n, v):  
    if n==null, return false  
    if n.v == v, return true  
    if v < n.v  
        return searchBST(n.left, v)  
    else  
        return searchBST(n.right, v)
```

1 recursive call

# Searching a BST: What's the runtime?

```
boolean search(BST t, int v):  
    if t == null:  
        return false  
    if t.value == v:  
        return true  
    if v < t.value:  
        return search(t.left)  
    else:  
        return search(t.right)
```



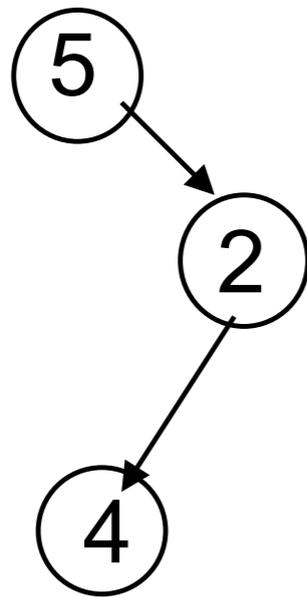
If  $h$  is the tree's **height**, search can visit at most  $h+1$  nodes!

Runtime of search is  $O(h)$ .

*That's great, but how does  $h$  relate to  $n$ , the number of nodes?*

# How many nodes does a tree with height $h$ have?

Consider  $h = 2$ :

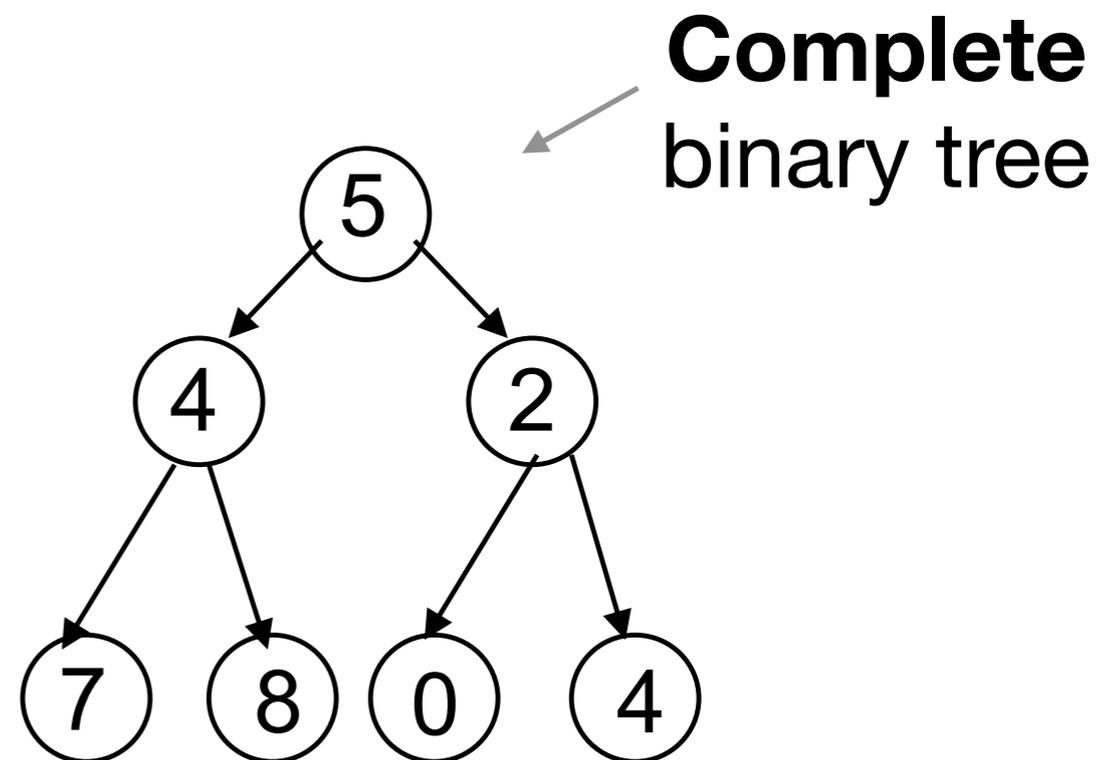


depth

0 -----

1 -----

2 -----



**Fewest possible:**

$$n = h + 1$$

$n$  is  $O(h)$

**$h$  is  $O(n)$**

**Most possible:**

At depth  $d$ :  $2^d$  nodes possible.

$$\text{At all depths: } 2^0 + 2^1 + \dots + 2^h = 2^{h+1} - 1$$

$$n = 2^{h+1} - 1$$

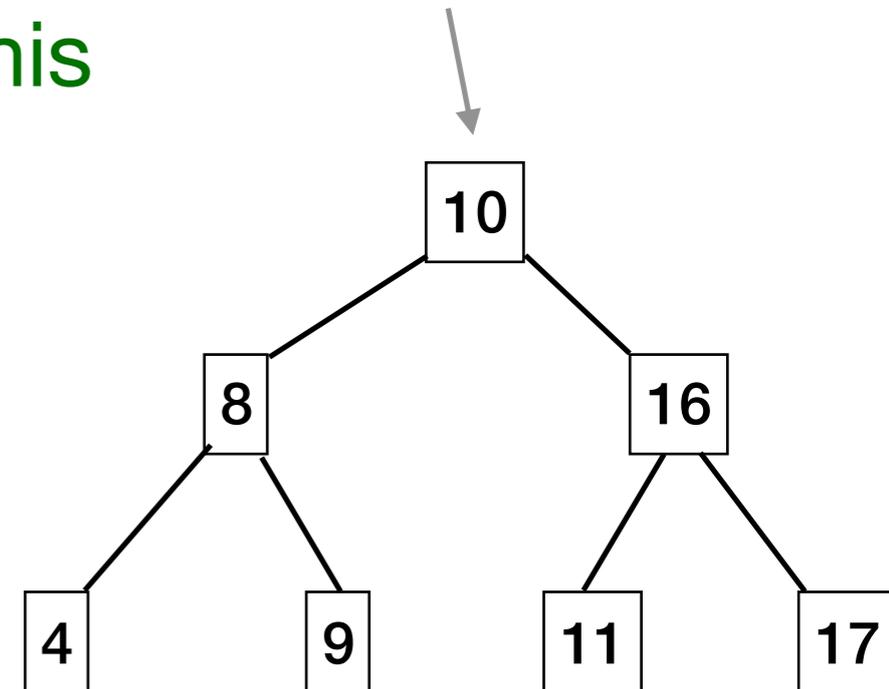
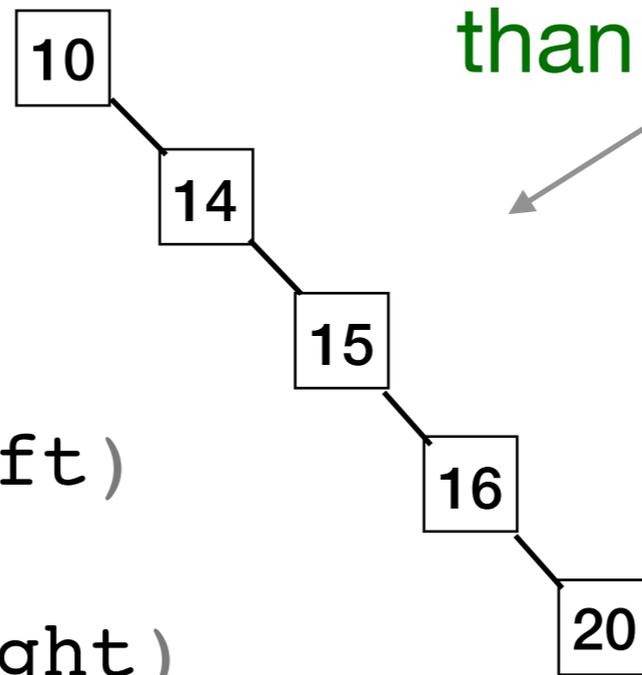
$n$  is  $O(2^h)$

**$h$  is  $O(\log n)$**

# Searching a BST: What's the runtime?

```
boolean search(BST t, int v):  
    if t == null:  
        return false  
    if t.value == v:  
        return true  
    if t.value < v:  
        return search(t.left)  
    else:  
        return search(t.right)
```

We want our trees to look more like this than this

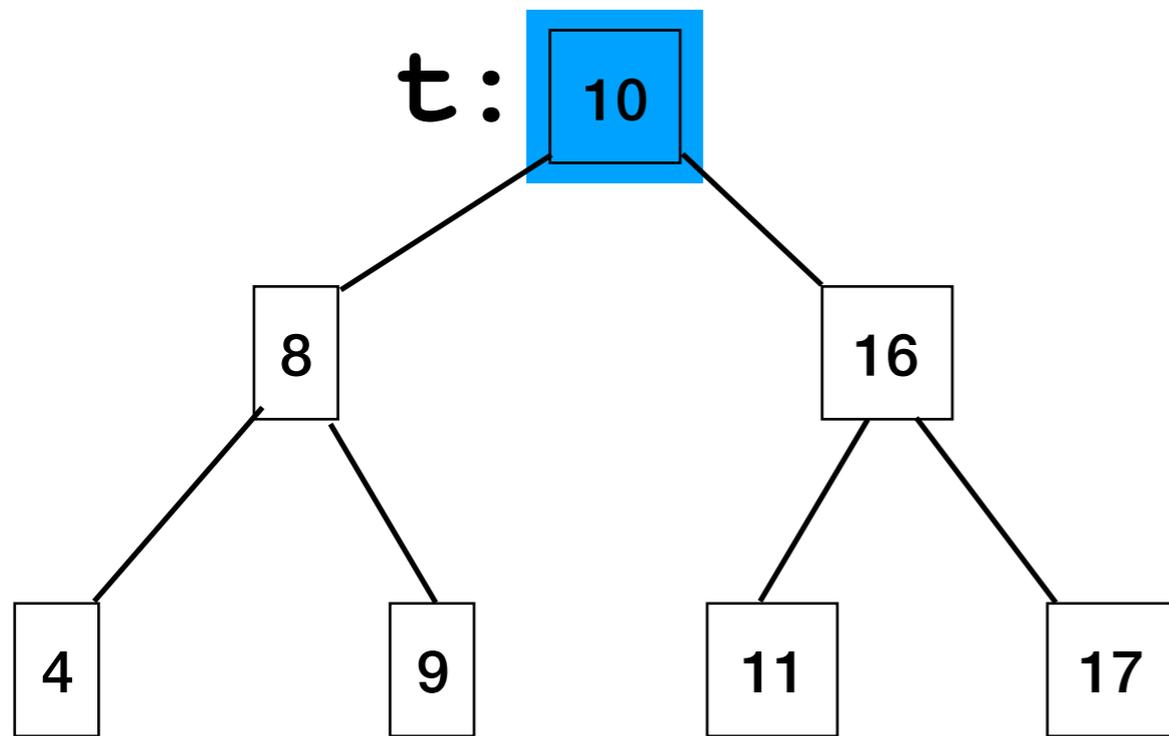


Runtime of search is  $O(h)$ . Worst:  $O(n)$

Best:  $O(\log n)$

# Inserting into a BST

# Inserting into a BST

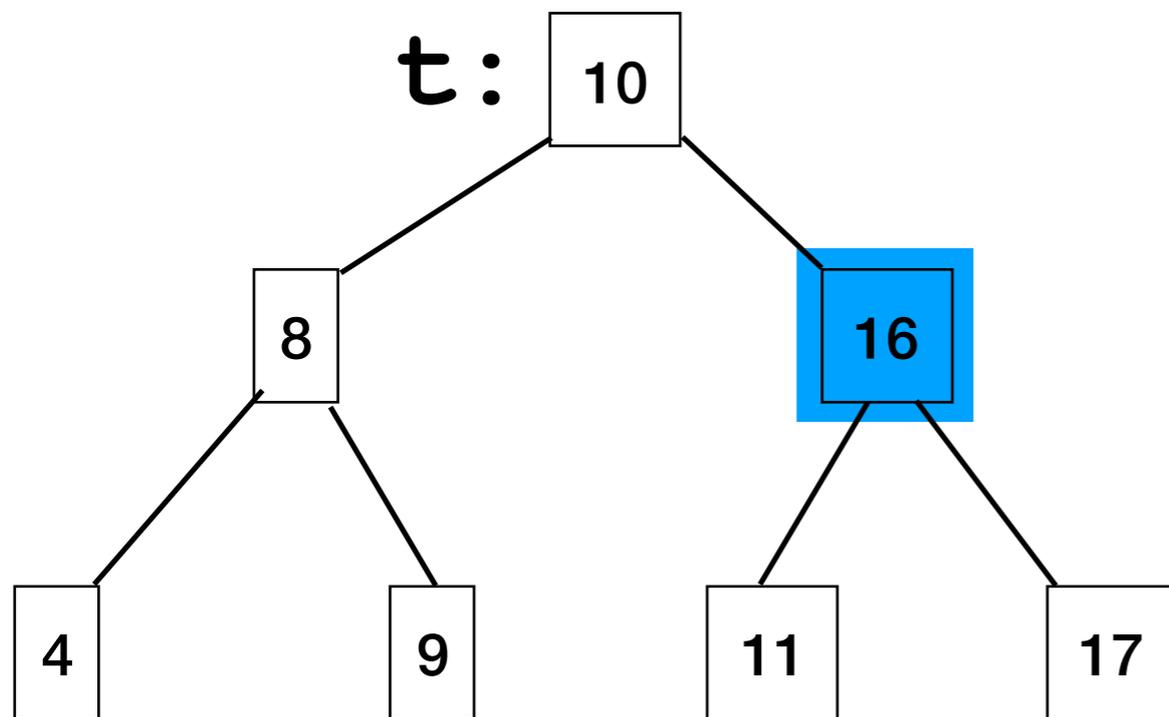


`insert(t, 11)`

`11 > 10`

`insert(right, 11)`

# Inserting into a BST



`insert(t, 11)`

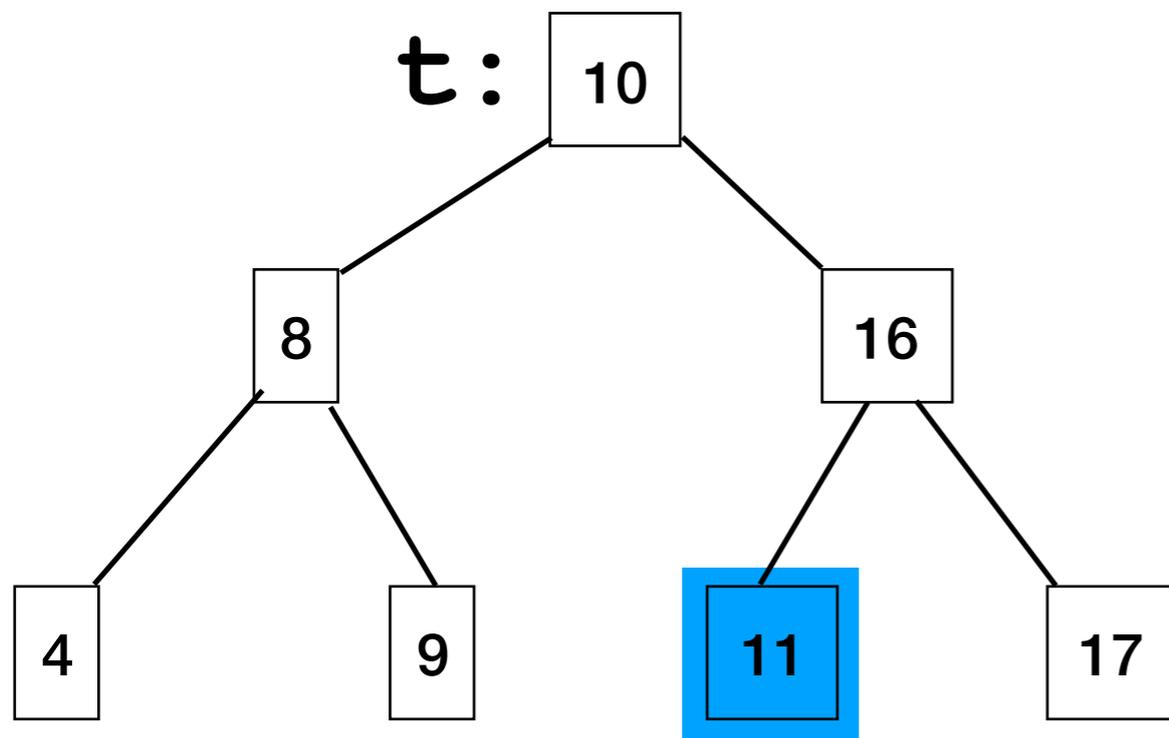
`11 > 10`

`insert(right, 11)`

`11 < 16`

`insert(left, 11)`

# Inserting into a BST



```
insert(t, 11)
```

```
11 > 10
```

```
insert(right, 11)
```

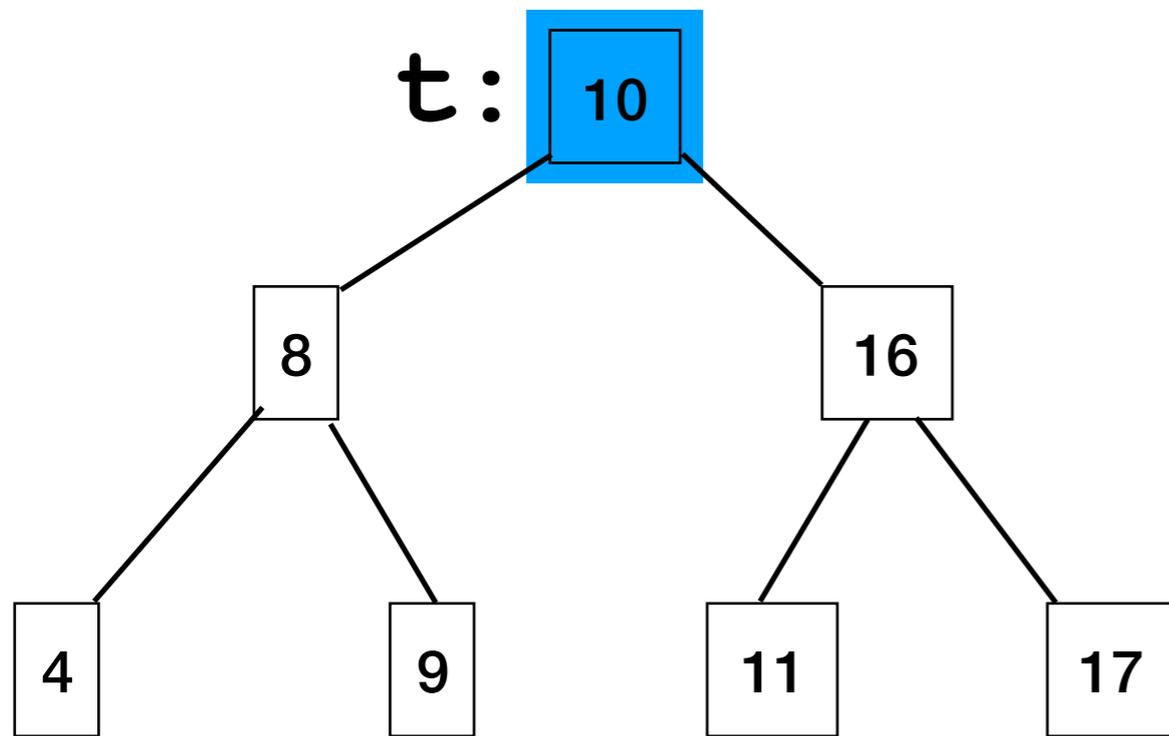
```
11 < 16
```

```
insert(left, 11)
```

```
11 < 16
```

```
found it! no duplicates,  
allowed; nothing to do.  
return.
```

# Inserting into a BST - the nonexistent case

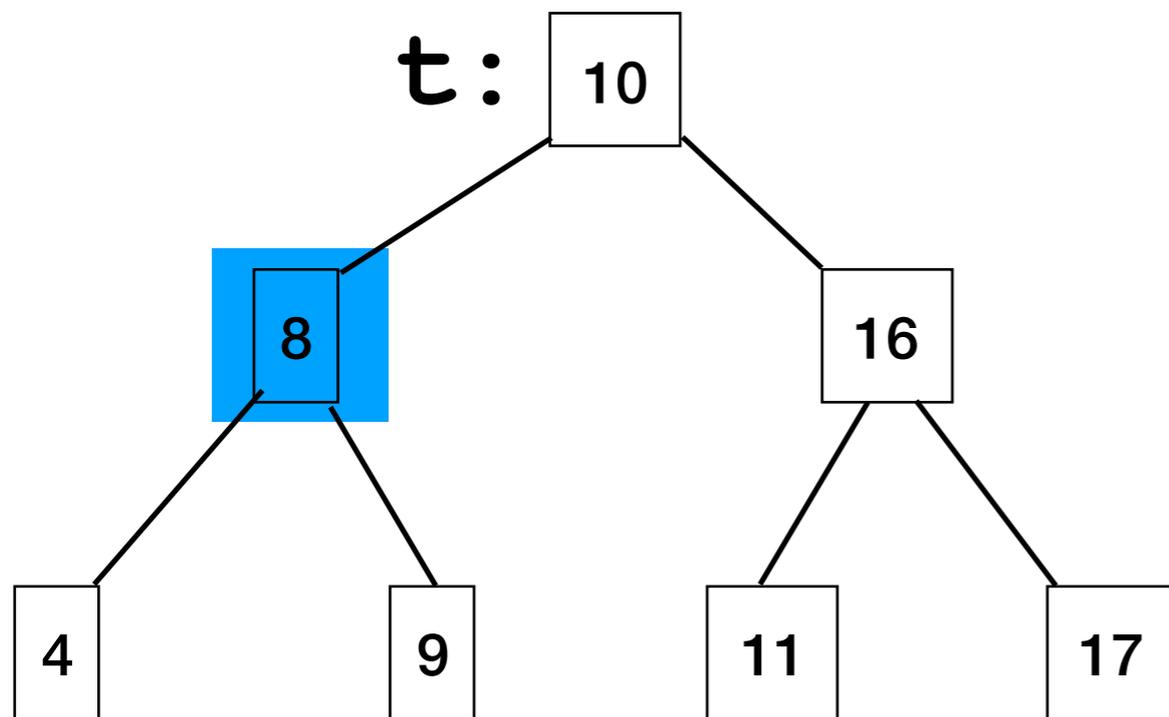


`insert(t, 5)`

`5 < 10`

`insert(left, 5)`

# Inserting into a BST - the nonexistent case



`insert(t, 5)`

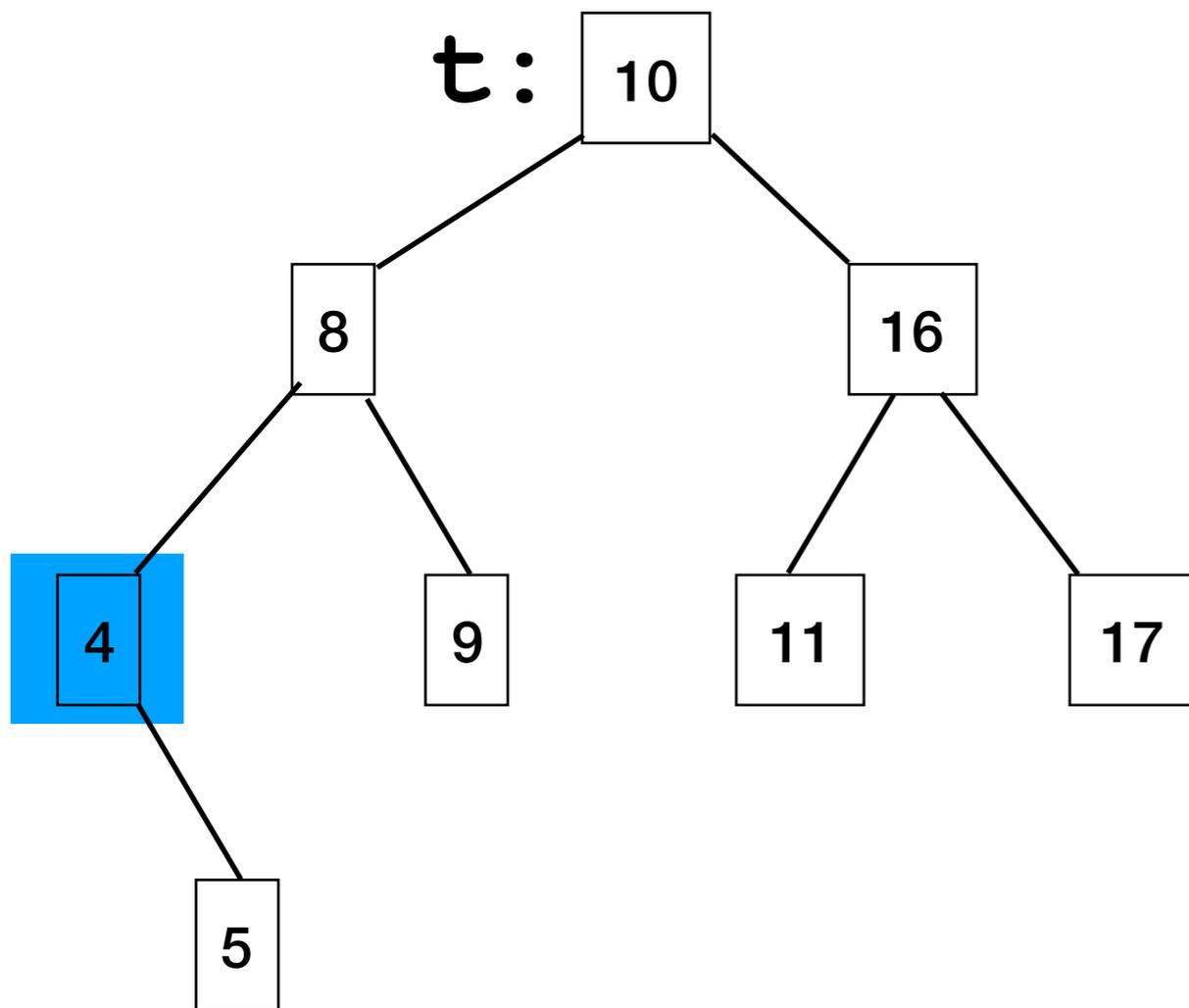
`5 < 10`

`insert(left, 5)`

`5 < 8`

`insert(left, 5)`

# Inserting into a BST - the nonexistent case



```
insert(t, 5)
```

```
5 < 10
```

```
insert(left, 5)
```

```
5 < 8
```

```
insert(left, 5)
```

```
5 > 4
```

```
insert(right, 5)
```

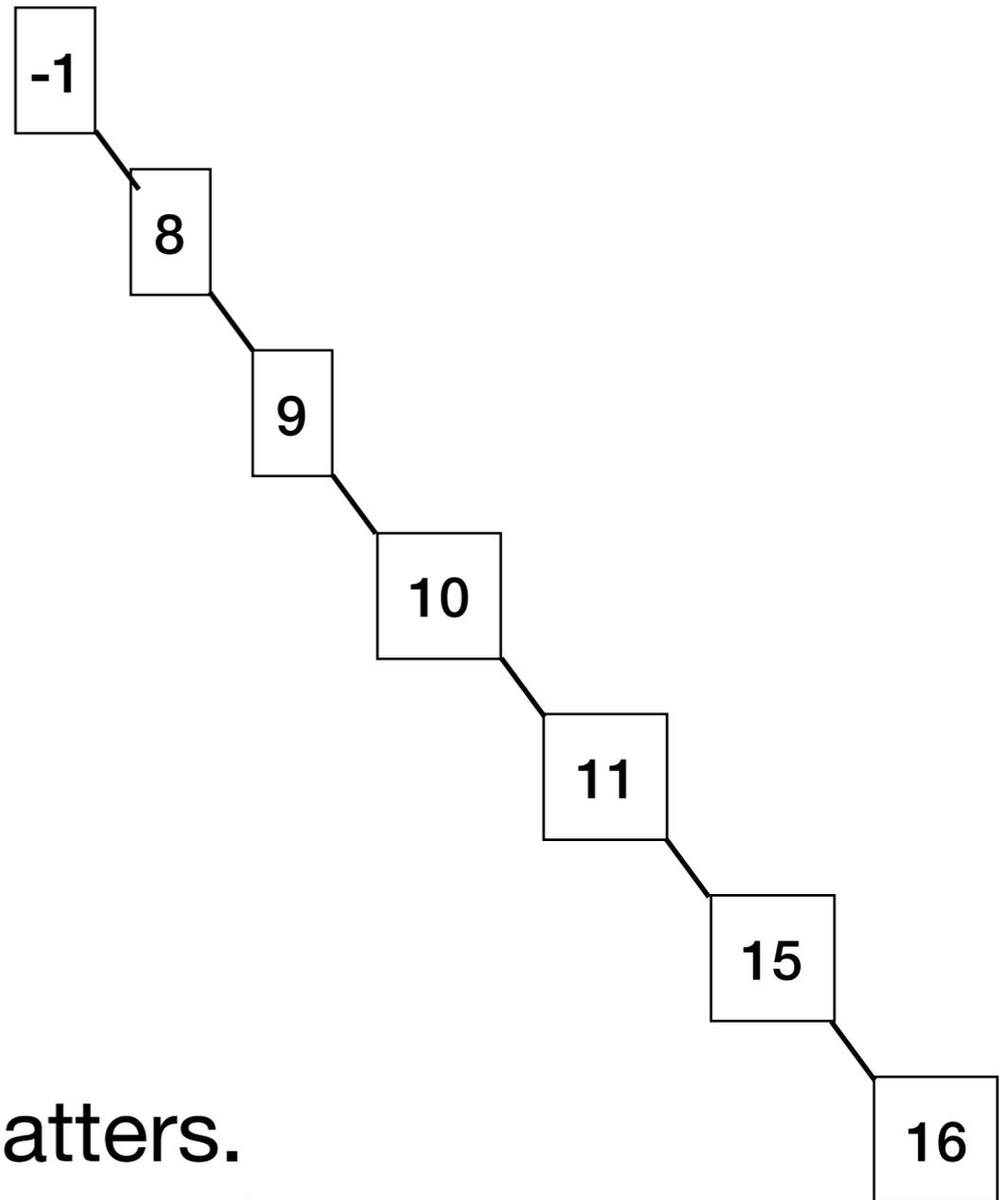
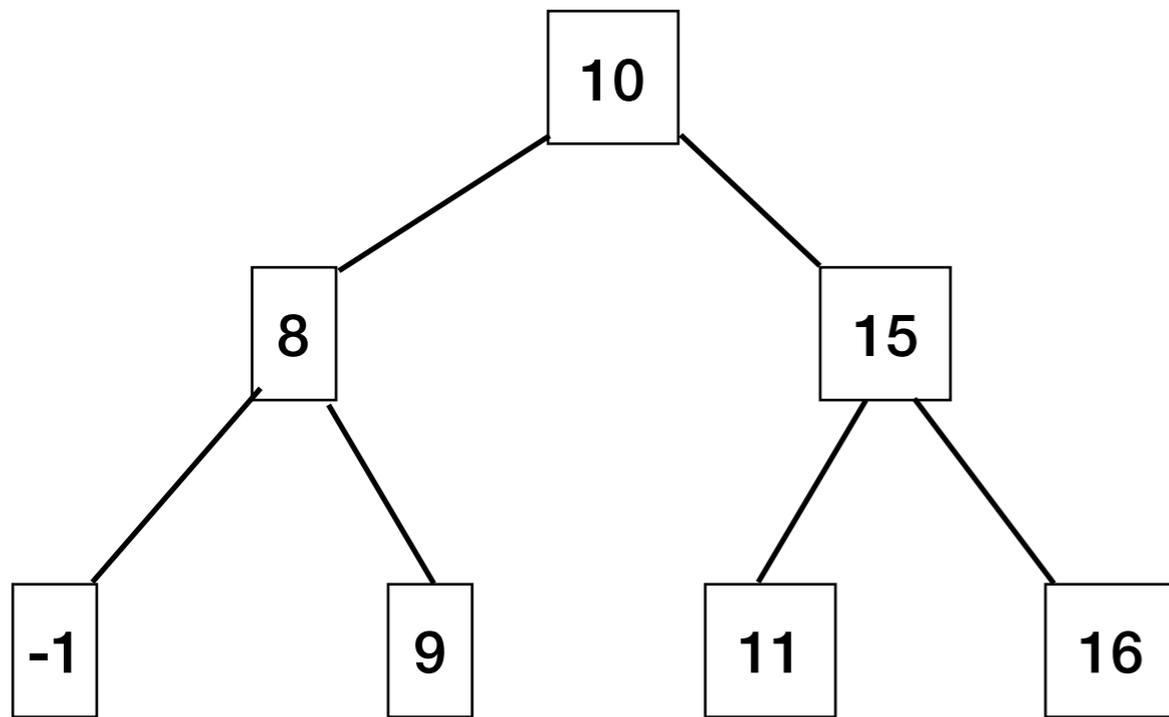
```
null - not found. insert  
it here!
```

# Let's Build Some Trees

```
t = new BST();  
t.insert(10)  
t.insert(15)  
t.insert(16)  
t.insert(8)  
t.insert(16)  
t.insert(9)  
t.insert(11)  
t.insert(-1)
```

```
t = new BST();  
t.insert(-1)  
t.insert(8)  
t.insert(9)  
t.insert(11)  
t.insert(10)  
t.insert(15)  
t.insert(16)  
t.insert(16)
```

# Let's Build Some Trees



Insertion order matters.  
We can't always control it.

# Deleting a node from a BST

Three possible cases:

1. n has no children (is a leaf)
2. n has one child
3. n has two children

