

CSCI 241

Lecture 5

Recursive Sorting: Mergesort and Quicksort

Announcements

- First programming assignment (A1) out today.
- Mentor hours: 4-7pm, CF162/164

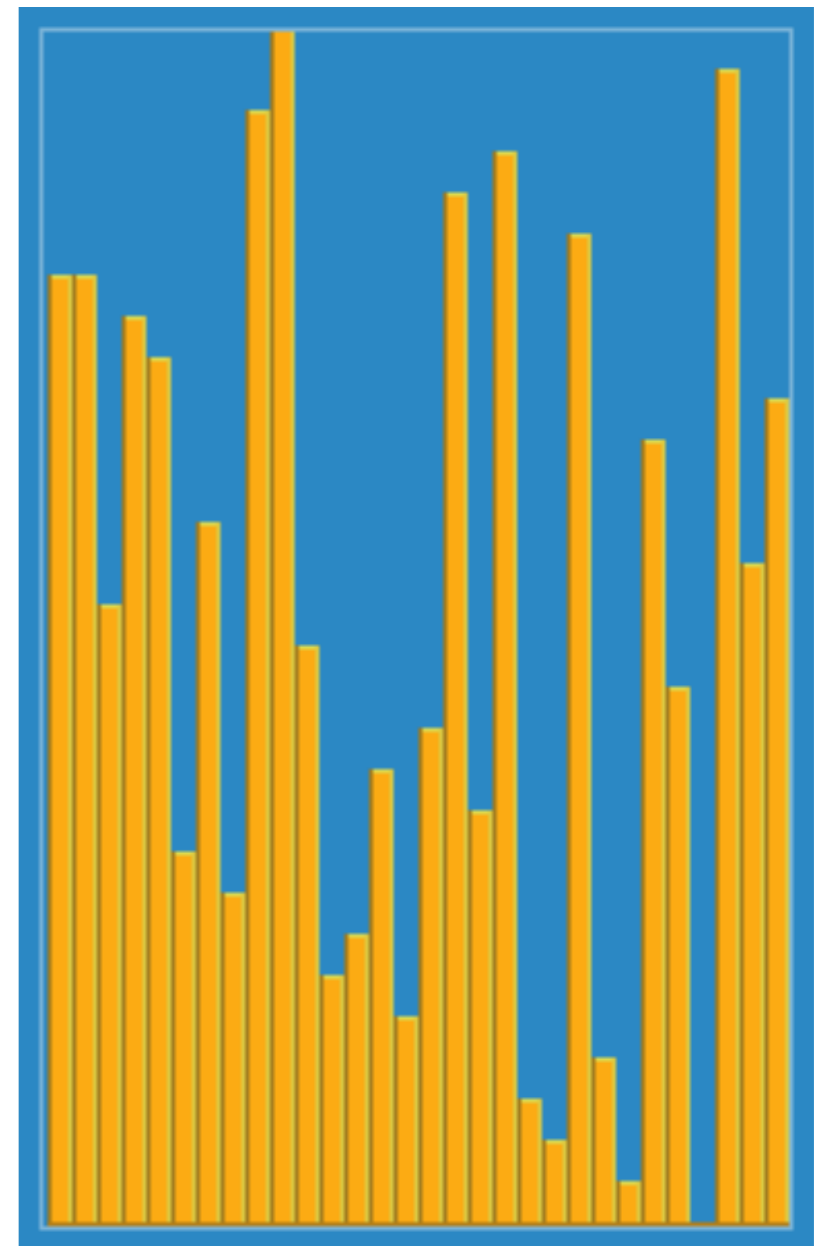
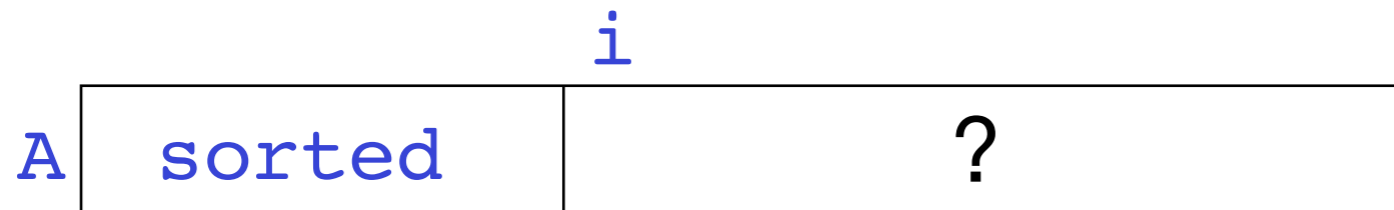
Goals:

- Know the generic steps of a divide-and-conquer algorithm.
- Thoroughly understand the mechanism of mergesort and quicksort.
- Be prepared to implement **merge** and **partition** helper methods.

Incremental Algorithms

solve a problem a little bit at a time.

Natural programming
mechanism: loops



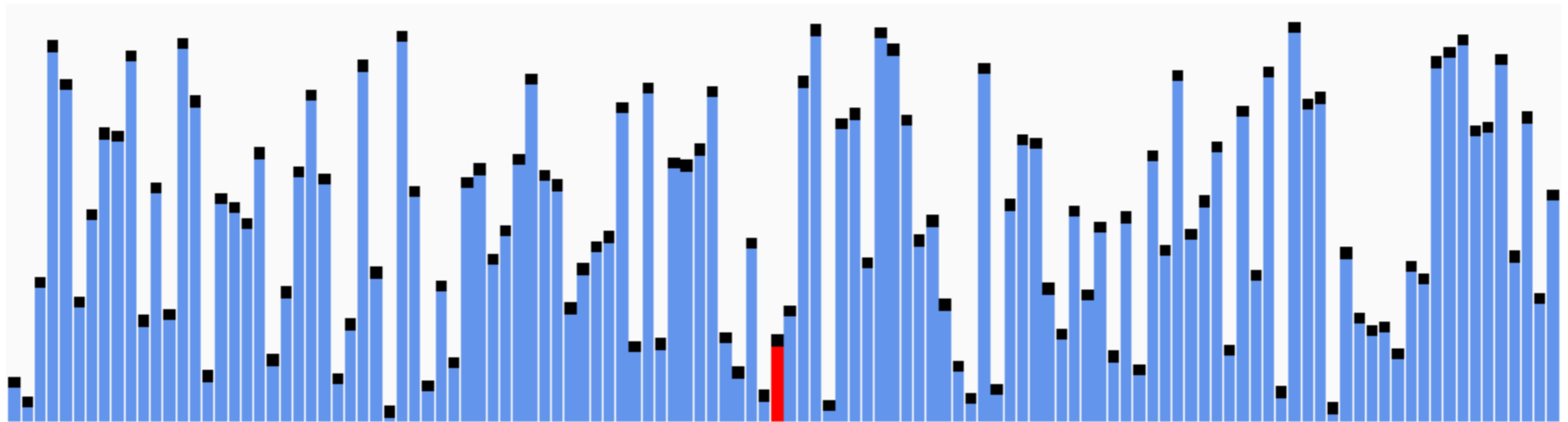
insertion sort

Divide-and-Conquer Algorithms

solve a problem by breaking it into smaller problems.

Natural programming
mechanism: recursion

↑
(easier!)



[https://upload.wikimedia.org/wikipedia/commons/f/fe/
Quicksort.gif](https://upload.wikimedia.org/wikipedia/commons/f/fe/Quicksort.gif)

Divide-and-Conquer Algorithms

solve a problem by breaking it into smaller problems.

Natural programming
mechanism: recursion

Three generic steps:

- 1. Divide (into sub-problems)**
- 2. Conquer (the sub-problems)**
- 3. Combine (into a solution to the original problem)**

Divide-and-Conquer Algorithms

solve a problem by breaking it into smaller problems.

Natural programming mechanism: **recursion**

Three generic steps:

1. **Divide (into **sub-problems**)**
2. **Conquer (the sub-problems)**
3. **Combine (into a solution to the original problem)**

Why are we talking about divide-and-conquer, I thought we were learning how to sort things?

An example of Divide-and-Conquer

```
/** sort A[start..end] using mergesort */  
mergeSort(A, start, end):  
    if (A.length < 2):  
        return  
    mid = (end-start)/2  
  
    mergeSort(A, start, mid)  
    mergeSort(A, mid, end)  
  
    merge(A, start, mid, end)
```

1. Divide

2. Conquer

3. Combine

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

```
mergeSort(A, start, mid)
```

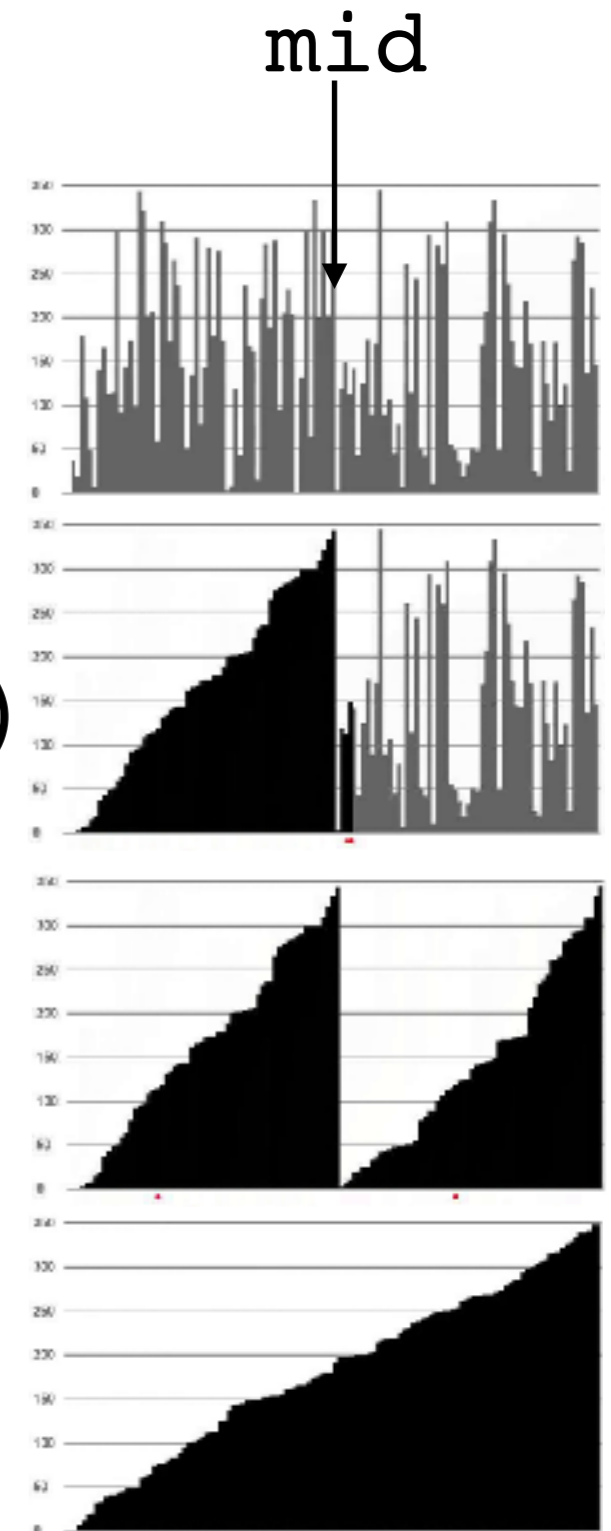
Conquer (left)

```
mergeSort(A, mid, end)
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



1. Spec

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

3. Progress

```
mergeSort(A, start, mid)
```

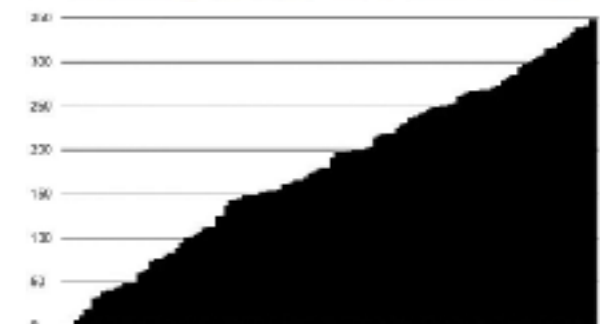
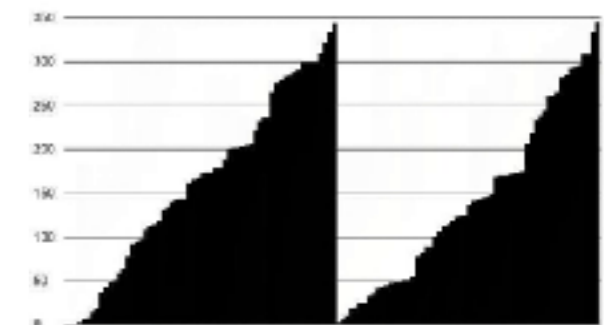
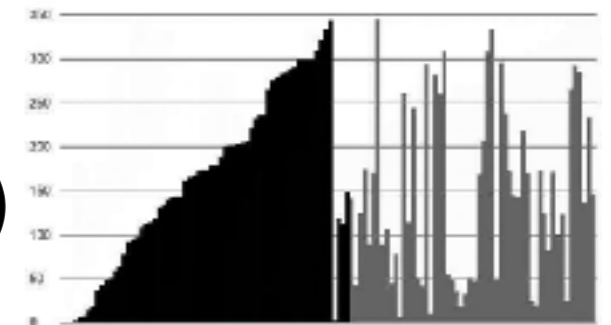
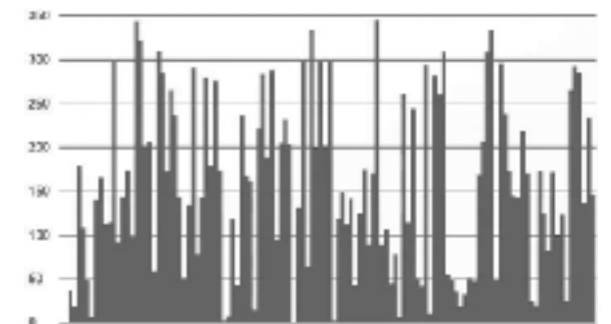
Conquer (left)

```
mergeSort(A, mid, end)
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



1. Spec

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

```
  mid = (end-start)/2
```

Divide

3. Progress

```
  sort A[start..mid]
```

Conquer (left)

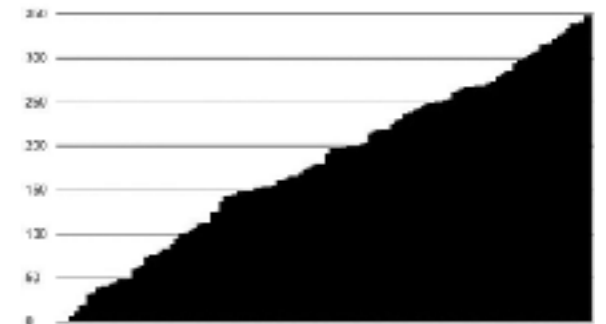
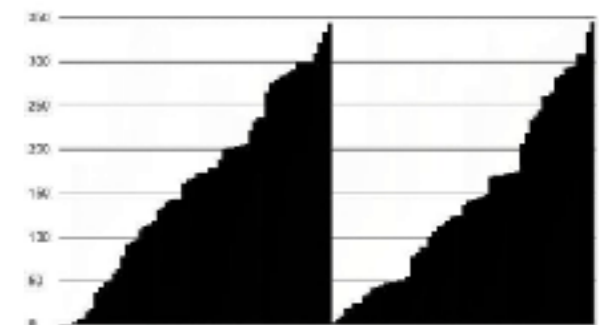
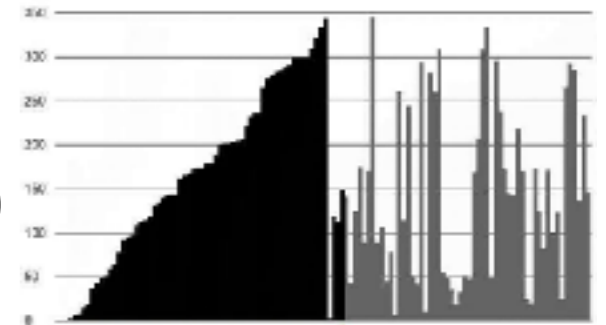
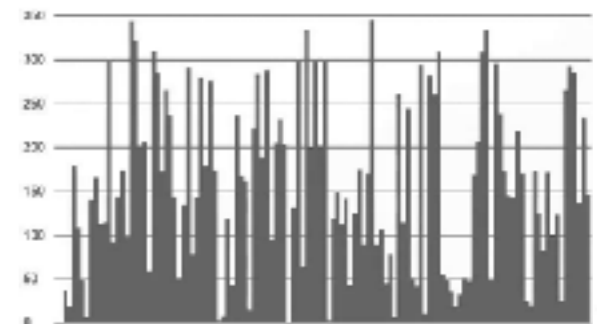
4. Replace recursive calls with spec

```
  sort A[mid..end]
```

Conquer (right)

```
merge(A, start, mid, end)
```

Combine



Merge Step

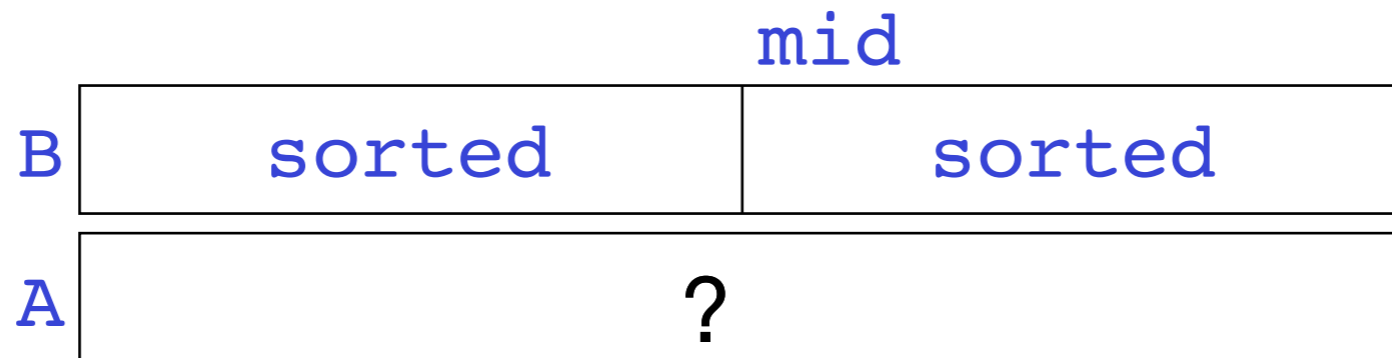
- Merge two halves, each of which is **sorted**.



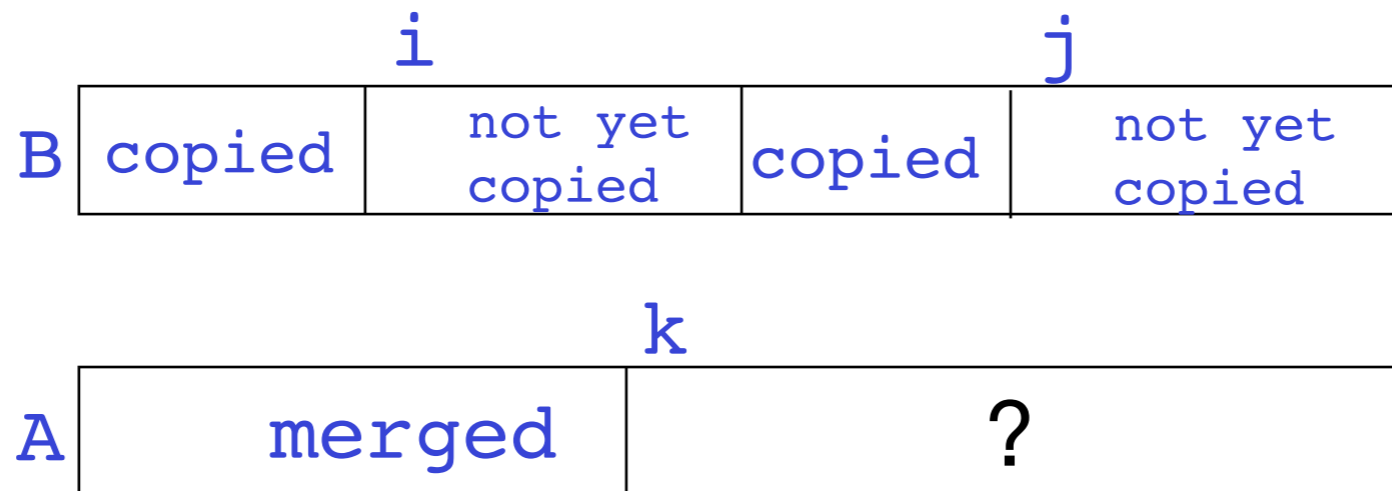
[https://facultyweb.cs.wwu.edu/~wehrwes/courses/csci241_18f/
img/merge.gif](https://facultyweb.cs.wwu.edu/~wehrwes/courses/csci241_18f/img/merge.gif)

Merge step: Loop Invariant

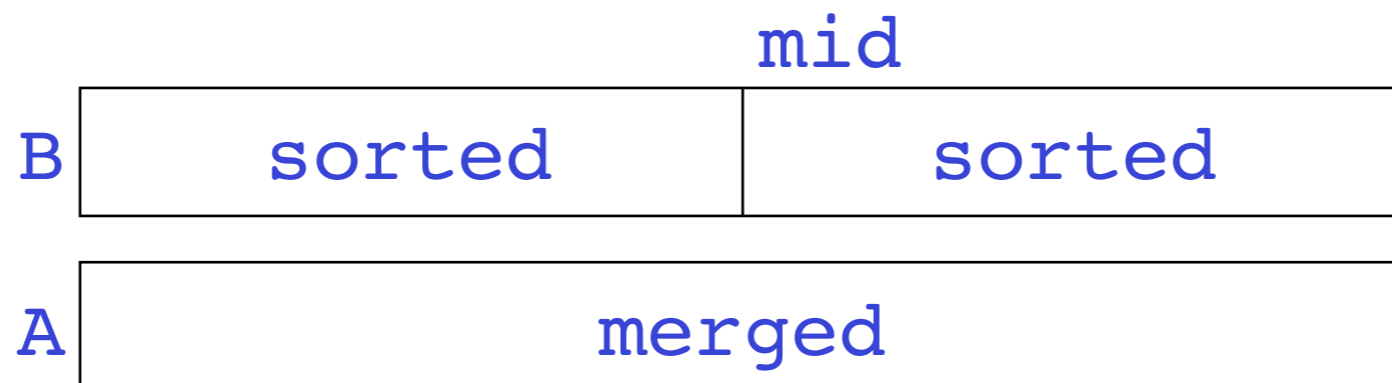
Precondition



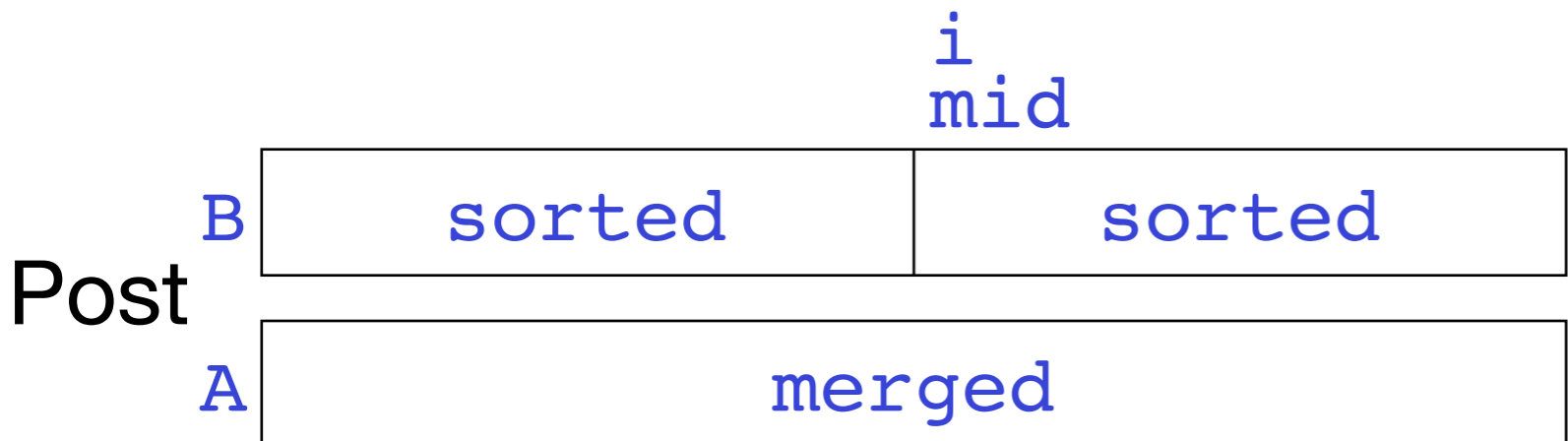
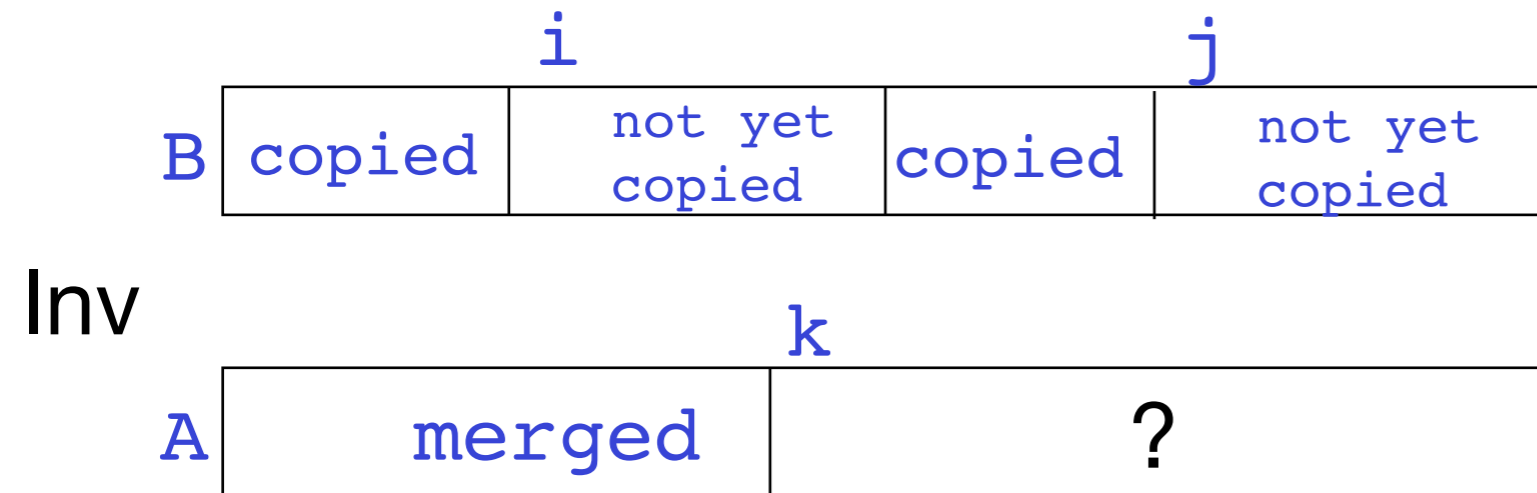
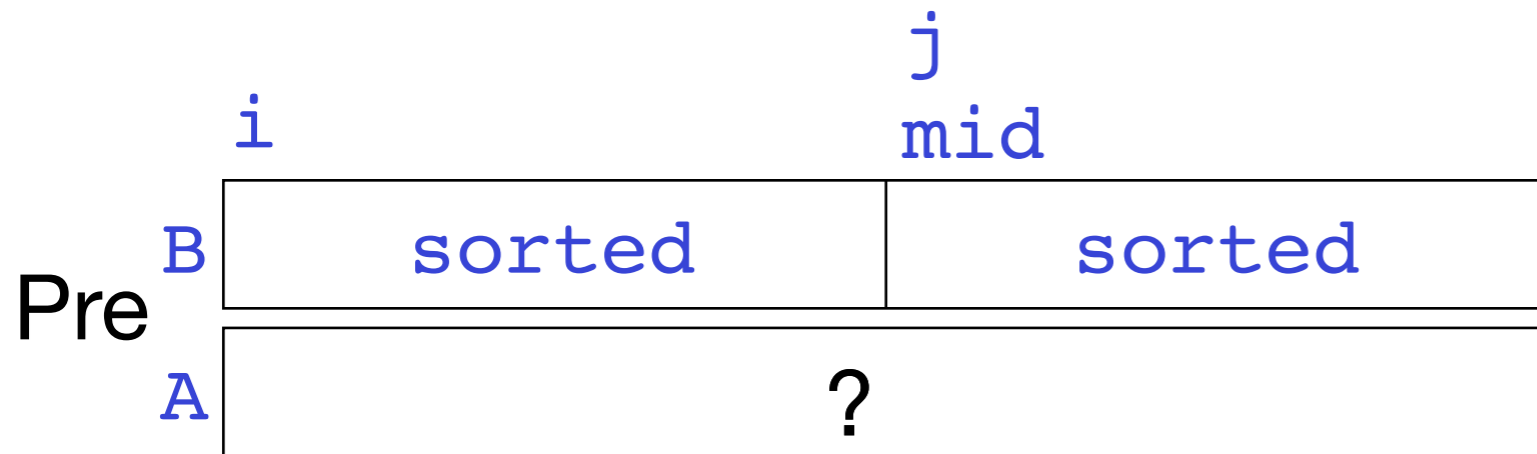
Invariant



Postcondition



Merge step



```
merge(A, start, mid, end):
    B = a deep copy of A
    i = start
    j = mid
    k = 0
    while i < mid and j < end:
        if B[i] < B[j]:
            A[k] = B[i]
            i++
        else:
            A[k] = B[j]
            j++
        k++
    while i < mid:
        A[k] = B[i]
        i++, k++
    while j < end:
        A[k] = B[j]
        j++, k++
```

Smaller thing goes first

Ran out of things in one list or the other

Copy remaining things from nonempty half

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
  if (A.length < 2):
```

```
    return
```

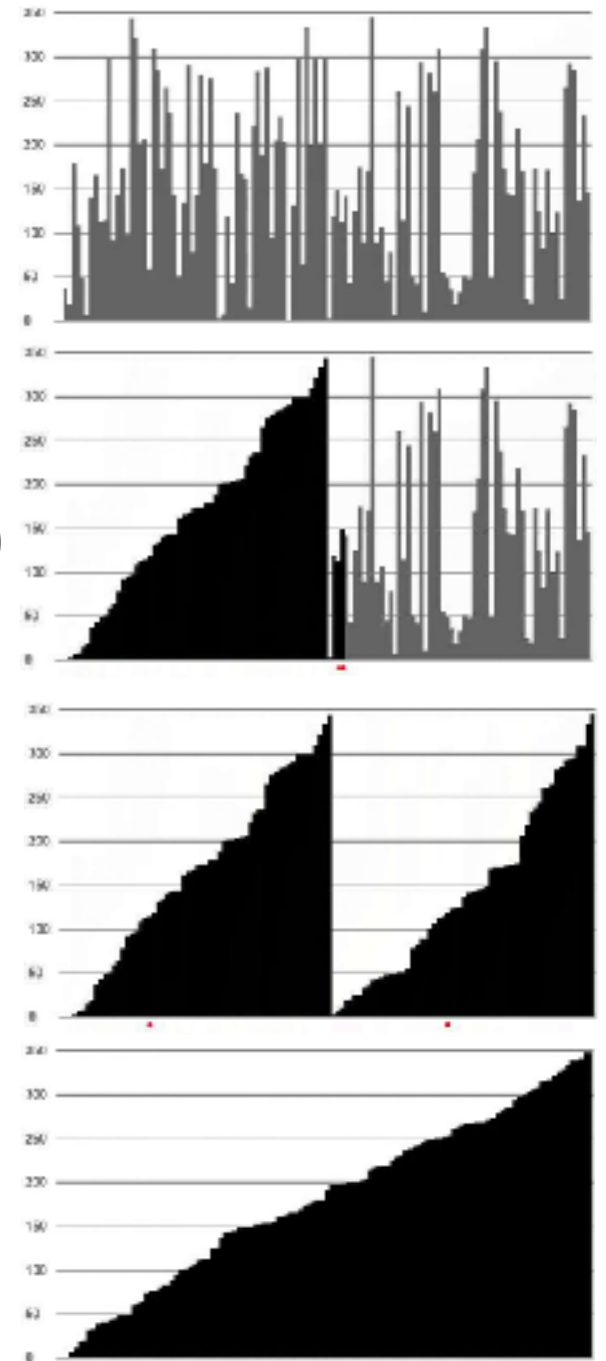
```
  mid = (end-start)/2
```

Divide

```
mergeSort(A, start, mid)    Conquer (left)
```

```
mergeSort(A, mid, end)     Conquer (right)
```

```
merge(A, start, mid, end)  Combine
```



<https://visualgo.net/bn/sorting>

Merge step

ABCD:

What's the runtime of the merge step?

- A. $O(1)$
- B. $O(n)$
- C. $O(n^2)$
- D. $O(n^3)$

```
merge(A, start, mid, end):  
    B = a deep copy of A  
    i = start  
    j = mid  
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    while i < mid and j < end:  
        if B[i] < B[j]:  
            A[k] = B[i]  
            i++  
        else:  
            A[k] = B[j]  
            j++  
        k++  
    while i < mid:  
        A[k] = B[i]  
        i++, k++  
    while j < end:  
        A[k] = B[j]  
        j++, k++
```

Smaller thing goes first

Ran out of things in one list or the other

Copy remaining things from nonempty half

MergeSort: Runtime

```
/** sort A[start..end] using mergesort */
```

```
mergeSort(A, start, end):
```

```
    if (A.length < 2):
```

O(1)

```
        return
```

```
    mid = (end-start)/2
```

O(1)

```
    mergeSort(A, start, mid)
```

O(huh?)

```
    mergeSort(A, mid, end)
```

O(huh?)

```
    merge(A, start, mid, end)
```

O(n)

How many times can we divide n by 2 before we hit 1?

$$\begin{aligned}n/2^x &= 1 \\n &= 2^x \\x &= \log_2 n\end{aligned}$$

