Talked about Threads, Processes, and Memory
Now for "slower memory", e.g. Disks (Chapter 10 Mass Storage Structures)
"Disks" come in a variety of flavors ...
- RAM disk -- dedicate some ram to look like disk
- SSDs (Solid State Disks)
- Hard Disk -- Many Flavors
- Optical Disks
- Network "Disks"
- Magnetic Tape?
- Thumb drives, ......
- Communications with these devices over a "BUS"
  - Parallel or Serial
  - Advanced Technology Attachment (ATA, parallel)
  - serial ATA (SATA, serial)
  - universal serial bus (USB)
  - fibre channel (FC)
  - various flavors of "SCSI" (Small Computer System Interface)
  - others ....
Typical disks:

- Sector -- a single unit of read/write
- Head -- a device to physical read/write on the disk
- Platter -- a side of a disk on which a head reads/writes
- Arm -- device on which heads are mounted, moves in and out
- Cylinder -- all sectors addressable without moving the arm
- Rotational speed in RPM (rotations per minute)
  - 5400, 7200, 10000, 15000 RPM

Interesting times:
- Transfer rate
- Seek time
- Rotational latency

Typical operations:
- Seek to a cylinder
- Read/Write a sector, select platter and sector on the platter
- More recent (LBA): Read/Write logical disk sector, no seek involved
Mass Storage

Solid-State Disks
- nonvolatile memory used like a hard drive
- flash-memory
- Advantages?
  - no moving parts
  - faster transfer
  - no seek time
- SSD is starting to make rotating disks obsolete
  - 500GB ssd about $85 (10/2018)
  - 2TB rotating about $60 (10/2018)
  - 2TB ssd about $240 (10/2018)

Tape -- still used in some places
- Sequential structure, no random access
- Transfer speeds similar to disk when ready
Disk Structure for most modern disks:
- addressed as a large one-dimensional array of logical blocks
- logical block size some power of 2, 512 usually the smallest
- bad block mapping makes it hard to map logical block to disk geometry
- recent disks -- use same linear size per sector
  - longer tracks have more sectors
  - drive speed changes as head moves in/out

Disk Attachment -- Where is the disk
- Host-Attached storage
  - "same box"
  - High-end, Fibre channel (FC)
    - multiple disks, multiple hosts
- Network-Attached storage
  - NFS, CIFS, Andrew -- network based file systems (later)
  - iSCSI -- SCSI over IP
Host Attached Storage vs Network Attached Storage

- Network issues -- storage on network causes network traffic
- Storage Area Network -- e.g. storage devices on one NIC, LAN on another

Disk Scheduling

- Idea that you have a "queue" of disk requests
- How to best schedule them
  - Light load ... no issue
  - Heavy load ... how to best schedule them
    - FCFS scheduling
    - Shortest seek time scheduling
      - may cause starvation
    - Scan algorithm
      - AKA elevator algorithm
    - Circular scan
    - LOOK scheduling, look before moving the arm
Other topics

Things to read about
- disk formatting
- bad block management
- Swap space management
- RAID (Redundant arrays of independent disks)
  - making larger virtual disks by striping (RAID 0)
    - Performance gains by parallelism
    - No redundant bits
  - making error correction/recovery by redundant disks
    - RAID 1: mirrored disks
    - RAID 2: Memory-style error-correcting codes (ECC)
    - RAID 3, 4, 5, 6: other techniques ...
- Stable-Storage -- Information is never lost
  - How to implement it?
    - multiple storage devices
    - NVRAM as a cache
File Systems Interface (Ch 11)

- File System -- an abstraction on top of storage
- Typical Services
  - File abstraction
  - File manipulation
  - File protection
- Most visible service of OS
- Large code base in most OSes
File System Basics

File abstraction
- Bag of bits?
- known content? (e.g., is .txt for OS or users?)
  - By the OS?
    - executable files
- By user land Tools?
  - required

Standard attributes
- Name: (symbolic, human readable)
- Identifier: unique tag
- Type: system tag
- Location: where it is located on the storage
- Size: both logical and physical size (if different)
- Protection: who has what kind of access
- Time, date, user identification, ...
File Operations

- Creation: Adding information
- Writing: adding information, file position pointer
- Reading: file position pointer also
- Deleting: removing information
- Truncating a file: removing information

May be many other file management routines
- renaming, moving, status, ...

Management of files in the kernel
- Open syscall: looking up information ... look up file only once
- Kernel keeps an "Open File Table" in the kernel
- Open syscall:
  - lookup file in file system (could be expensive)
  - "cache" information in the open file table
  - return a "handle", some data to uniquely represent file
- Close syscall:
  - done using the file, allow file to reclaim space
Open and Close with shared files
- multiple applications may open file at the same time
- in systems with fork(), both processes have access to files
- Typically ... two levels of tables in this case
  - Kernel wide "open file table"
  - Per process "local file table" that points to open file table
Kernel global open file table
- File pointer -- offset into file
- File-open count -- how many local file entries point here
- Information for file location on disk
- Access rights
Local table
- Open flags
- Pointer to global open file table
Locks and File types

Locks -- shared or exclusive
- shared read locks
- exclusive locks
- mandatory or advisory
- deadlock issues here

File Types
- Kinds of data in files
  - executable, text, scripts, DataBase, ....
- How does OS know what is in the file?
  - file name ... extension (DOS, Windows)
    - .cpp -- file type?
      - C pre-processor input?
    - .app ?
      - OS X, extension on a directory!
- extra information?
  - Mac: creator -- program that created a file
File Types (page 2)

- □ know how to rebuild executable files? (TOPS 20)
  - □ Used time information with source to executable
  - □ Source changed, recompile before running

- □ UNIX?
  - □ "magic" numbers to start off files
  - □ file(1) command
File Structure

- Executable ... OS needs to know structure to load file
  - Blitz: OpenFile.LoadExecutable
- Other files?
  - VMS -- knew structure of system files
- Problem?
  - what if your app doesn’t want to use a known structure
- Text vs Data?
- Bag of bits?
- Mac -- Resource and Data "fork"
- Windows -- Multiple "streams" per file

- Internal structure
  - Any kind of packing?
  - Standard encoding?
    - Line in a text file? NL, CR/NL, CR
    - MPE/3000: text file, 80 character lines, all chars present
Access Methods

User level access to the file:
- Sequential
  - "tape model"
- Sequential access
- Possibly do "skip +/-n records"
- Rewind
- Go to end
  - (Tape model, multiple files per tape, double EOF => EOT)

- Direct (relative access)
  - Each read/write includes "record" number
  - Each number is a "relative record" number to start of file

- Should an OS provide both?
  - How about sequential access using direct files?
    - like UNIX: keep a file pointer
  - How about direct access using sequential files?
    - very bad!
Other Access Methods?

- Hash table?
  - e.g. Key/Data pairs as basic storage element
  - Also can be stored by trees
- Index file -- keep keys, pointer to data
- IBM ISAM -- indexed sequential-access method
  - two level of indexes to access file
General Disk Structure

File system may depend on storage

- RAM disk -- short life, temp file systems, simple structures
- Collection of disks -- long life, reliable, error protection, hot swapping
- Large disk, subdisks (minidisks, partitions, slices)
  - Allows multiple kinds of file systems on one disk

Special kinds of file systems?

- procfs -- a file system interface to "process manager"
- ZFS -- a "pool" based "general file system"
- coda, smb, afs, nfs ... -- network file systems

Volume -- contains a FS.

- May be anywhere from part of a disk to multiple disks
Directory overview

- Directory Operations
  - lookup (search)
  - add (create)
  - delete
  - list
  - rename
  - traverse the file system
Directory Structures

Single level directory
- Blitz "Stub file system"

Two level directory
- user/file -- top level contains no files
- Or volume:/user/file

Tree structured directories
- current directory, absolute path, relative path

Acyclic Graph structured
- Directory have just "links" to files or directories
- single file can appear in many directories

General Graph structured
- Acyclic?
- Livermore Timesharing System ... full graph
  - traversal algorithms had to detect cycles

Data stored in Directory Entry
- Full information: e.g. DOS
- Pointer to full information: e.g. UNIX UFS
Volume access

Each file system is placed on a "volume"
Multiple volumes to access, How?

- DOS/Windows (in USER space)
  - volume ID
  - path within that volume
  - User needs to see the volume

- UNIX -- File System "mount"
  - Associates a directory on one file system with the root of another
  - System mounts one file system as "Root"
  - Other file systems are mounted on directories of Root
  - User does not need to see mounts
  - User does not need to know file system types

- Automounting ...
  - to the desktop (Mac)

- Windows?
  - internally does mounts
  - exposes volume via special "mounts"
  - now allows full mounts
File Sharing

On the same OS with multiple users
☐ need protection and sharing to be considered
☐ what kinds of sharing
  ☐ read only sharing?
  ☐ read/write sharing?

Remote file systems
☐ NFS, DFS, SMB, FTP -- different kinds of files
  ☐ (Some systems can "mount" remote files via ftp.)
☐ sshfs -- an integrated solution for ssh access to files
☐ Lots of issues in remote file systems -- not much here yet
☐ client-server fs peer-to-peer
☐ authentication systems ... distributed naming services ...
☐ larger number of failure modes
File consistency

How are files shared ... how do reads and writes interact

- Immutable-Shared-Files semantics
  - Once shared, a file can never change

- Session Semantics
  - File gets a "snapshot" at open
  - Changes are not committed until close
  - Changes are not visible unless opened after a close

- UNIX Semantics
  - Writes are visible immediately to any process with an open file
  - Allow processes to interfere with each other.

- Network file systems have done all 3.
  - NFS -- UNIX
  - AFS, Coda -- mostly session semantics
    - (Process on the same machine get UNIX semantics)
  - SPRITE (Berkeley, very old) -- read only shared
Protection

reliability -- safe from physical damage
protection -- safe from improper access
Protection may depend on use of file system

- Operations to control: read, write, execute, append, delete, list...
  - Possibly others ... rename, copy, create

- Special directories ...
  - Take and give directories at LLNL

Approaches to access control

- Access Control Lists
  - Each file has a list of users and allowed operations
  - Not on the list? no access

- Drawback?
  - Long lists
Domain based access:
- Owner, Group, Universe
- Each file has protection for each domain
- Access checks user’s domain membership

Drawback?
- Hard to select a single user

Typical implementations
- Primary protection by domain
- Secondary protection by ACLs

Examples:
- UNIX: primary protections: read, write, execute
- NT: full control, modify, read&execute, read, write, ...
  - ACL "who" can be a domain or a user
- DOS: nothing!

Variety of ways to set these:
- NT: typically a GUI
- Solaris: has both UNIX and ACL
  - `getfacl(1)` and `setfacl(1)`
Typically file systems are stored on disks of some kind ...
They provide:
- rewrite: read data, modify, write back to same location
- random access to any block of data ... may take time

Basic File Systems -- Typical hardware components
- Disk
- Device Driver -- knows how to control disk
- Basic File System -- uses Device Driver to operate, manages buffers, caches
- File-organization module -- knows about file structure
- Logical file system -- manages meta-data information
  - meta-data -- data about the file, size, date, ...
- Management of open files ...
- Idea of a Virtual File system ...
  - One interface to ALL file systems implemented by OS
    - UNIX V-node
    - All file systems implement same API for OS to use
    - Core OS knows nothing about actual FS detail
- Best if implemented as a layers of "independent" subsystems
FUSE -- more recent Abstraction ...

- Implementation of a file system in user space
- OS passes API calls to user space
- User space program (daemon) implements FS

On Disk Structures Vs In Memory Structures

- On Disk:
  - Total information to access all data

- In Memory:
  - Caches of On Disk information
  - Dynamic information:
    - Mount information
    - Open files and file pointers
    - per-process information (file handle, file descriptor)

- Issue:
  - Keeping data in memory in sync with disk
  - Partial writes to disk in case of OS failure
Typical Disk Structures:

- Boot control "block" -- information needed by ROM/OS for boot
- Volume control "block" -- core information on FS
  - UFS: superblock, NTFS: master file table
- Directory Formats
- FS block management structures
- File/Directory block management
Directory Implementation

Directory:
- Keeps names of files with method to lookup meta-data
- Simple Method: linear
  - Fixed or variable sized entries
  - Entry data depends on kind of FS
- Search time $O(n)$, $n$ number of entries
  - Insert/Delete time?
- Hash table:
  - $O(1)$ search time, insert, delete time
  - Collision techniques?
  - Base hash table size
  - Dynamic issues hash tables
- Some kind of tree storage:
  - Trees in a linear file?
Allocation methods

Allocation of data blocks (sectors) for files

☐ Simple: Contiguous Allocation
  ☐ Define a linear ordering of sectors
  ☐ File starts at LBA (logical block address) X
  ☐ data contained in next Y blocks
  ☐ Issues?
    ☐ random access -- easy
    ☐ sequential access -- easy
    ☐ dynamic file size -- hard
    ☐ creating a new file, unknown space needs
      ☐ Start in largest block
    ☐ extending a file -- hard
    ☐ ends up with external fragmentation
    ☐ may need a de-fragmentation function
      ☐ Live or offline?
  ☐ Used by RT-11, PDP-11 computers
Linked Allocation
- directory/meta-data has first block address
- each block has a "next block" address in the block

Issues?
- creating -- easy
- writing/extending -- easy
- sequential access -- easy, may take longer than contiguous
- random access -- hard
- ends up with internal fragmentation
- dynamic file size -- easy
- data in each sector is less than sector size

Reliability?
- data corrupted (link) => lose the remainder of file
- Doubly linked list?
- Store filename, block number?
FAT -- File allocation table (MSDOS, OS-2)
- array of block numbers, one for each data block on FS
- links are in the FAT, no loss of data on disk
- not allocated: 0 entry or on a free list
- Disk reads for FAT and file
Indexed allocation
- Block of "pointers to data blocks"
- Each file has its own index block
- Directory has pointer to index block

Issues?
- Create, read, write, append, random access .... easy
  - Run out of space in index block?
- Small files ... lots of wasted space in index block
- Small index blocks ... small files
- linked scheme, last entry in index block is to next index block
- multi-level index scheme, top level points to index blocks ...
- UNIX UFS combined method
  - small index block, one level regular index block, 2 & 3 level ...

FS Performance ... a major component of "system feels fast"
- FAT/NTFS systems -- De-fragmentation -> get files closer to contiguous
- Berkeley’s changes to UFS for FFS
  - Allocate file in the same cylinder, not just contiguous
  - Other disk related tweaks .... of which many are not valid any more
Free-Space Management

Free disk space management needs to be done

- Keep track of unallocated blocks
- May use unallocated blocks to help keep track

- Bit Vectors
  - one bit per FS block
  - 0 allocated, 1 free
  - Advantage
    - compact
    - ffs (find first set) instructions
  - Disadvantage
    - large bit maps (e.g. 1TB file system)
    - ffs instructions need all bits in memory

- Linked List
  - Either in the Disk Blocks or the FAT
  - Advantage -- relative easy
  - Disadvantage -- May be hard to allocate from same cylinder ...
Counting (aka run length encoding)
- Free blocks usually come in groups
- Linked list has first block, number of blocks free
  - Advantages
    - An empty disk has one entry in the list.
  - Disadvantages
    - Turns into simple linked list after much use

Space Maps
- Sun’s ZFS -- designed for a huge number of files
- Can include multiple file systems
- Meta Data I/O is of importance
- Divides space into meta-slabs each with a spacemap
  - One spacemap easily fits into memory ... read, modify, write
- ZFS also depends on transaction processing and log file systems
  - more later on log file systems
Efficiency and Performance

Disk is the major bottleneck in OSes.
- name lookups can be expensive
- space allocation can be costly
- Size of pointers to files \(\Rightarrow\) space used to store them
  - 16, 32, 64 bit pointers
  - ZFS: 128 bit pointers
- reading and writing can cause system to slow down
  - e.g. write a block, now need it again
  - (page out, page fault is an example)
- Buffer cache
  - Cache of Disk blocks Read/Written
  - Page cache and FS cache VS Unified buffer cache
  - LRU replacement algorithm in cache
- Synchronous vs Asynchronous writes
- Read Ahead for buffer management of read files
File System Maintenance

File de-fragmentation

☐ Why needed?
☐ Which FSes need this?

File system consistency checker

☐ diskchk in DOS
☐ fsck in UNIX
☐ Make sure all structures are in tact.
   ☐ Free inodes and Used inodes add up to total
   ☐ Free blocks and Used Blocks add up to total
   ☐ File meta-data matches reality (e.g. nlinks)
   ☐ All files (inodes) are reachable in directory tree
   ☐ (ToyFs needs a fsck program! or a check option to the toyfs program)
Log-Structured File Systems

- DB style transactions as applied to file systems
- Tries to make sure that we never need to repair much

**Basic Idea**

- Write to the "log" what will be done (e.g. metadata)
- Do what you said
- Write to log you have done it.
- Log can be a circular buffer of appropriate size
- At "recovery time" can see that a log entry was not finished
  - Abort or reply entry
- Log writes are sequential and thus very fast

- Used in many file systems now, NTFS, LFS (BSD), ext3fs, FFS (BSD)

Other types of things have been used to improve speed and reliability

- ZFS -- snapshot, never overwrites blocks, no FSCK ...

Backups -- another way to preserve your FS data

- Full backups vs Incremental backups

Read 12.8 (NFS) and 12.9 (WAFL)